

Clinical significance of serum S100 calcium binding protein A4 in idiopathic pulmonary fibrosis

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43 **SUMMARY AT A GLANCE:**

44 S100 calcium binding protein A4 is a promising serum biomarker that may predict disease

45 progression and mortality in idiopathic pulmonary fibrosis patients. Insights from this

46 study may facilitate establishment of treatment strategies for idiopathic pulmonary fibrosis.

47 **ABSTRACT**

48 **Background and objective:** Idiopathic pulmonary fibrosis (IPF) is a progressive
49 interstitial lung disease with a poor prognosis. There are no established serum biomarkers
50 for predicting the outcomes of IPF. S100 calcium binding protein A4 (S100A4) is
51 considered a marker of fibroblasts; however, its clinical application remains to be
52 investigated. We evaluated the clinical relevance of S100A4 in IPF patients.

53 **Methods:** Serum S100A4 levels in 95 consecutive IPF patients and 50 healthy controls
54 (HCs) were measured using enzyme-linked immunosorbent assay. S100A4 expression in
55 lung tissues was determined using immunohistochemistry/immunofluorescence and its
56 association with disease progression (defined as deterioration in lung function or death)
57 and mortality was assessed using Kaplan–Meier method and Cox hazards analysis.

58 **Results:** Serum S100A4 levels were undetectable in all HCs but were detectable in 26
59 (27.3%) of the 95 IPF patients ($P < 0.01$). Immunostaining of lung tissues from IPF
60 patients showed aggregation of numerous S100A4-expressing cells around the fibroblastic
61 foci and mature fibrotic regions. IPF patients with higher serum S100A4 levels had a
62 significantly worse prognosis than those with low serum levels (2-year cumulative survival
63 rate, 41.7% vs. 77.0%, respectively, $P < 0.01$). On multivariate analyses, baseline serum
64 S100A4 levels (per 10 ng/mL increase) were independently associated with higher disease
65 progression rate (odds ratio 1.06, $P = 0.01$) and higher mortality (hazard ratio 1.18, $P =$

66 0.03).

67 **Conclusions:** S100A4 is a promising serum biomarker that may help predict disease
68 progression/mortality. Our findings may help establish treatment strategies for IPF.

69

70 **Key words:**

71 Biomarker; fibroblast; idiopathic pulmonary fibrosis; S100A4

72

73 **Short title:**

74 S100A4 in IPF

75

76 **Abbreviations:**

77 AE, acute exacerbation; CI, Confidence Interval; DLCO, diffusing capacity of lung for
78 carbon monoxide; FEV_{1.0}, forced expiratory volume 1.0 (sec); FVC, forced vital capacity;
79 GAP, Gender–Age–Physiology; HC, healthy control; HRCT, high-resolution computed
80 tomography; IPF, idiopathic pulmonary fibrosis; IQR, interquartile range; KL-6, Krebs von
81 den Lungen-6; OR, odds ratio; SD, standard deviation; SP-D, surfactant protein D;
82 S100A4, S100 calcium binding protein A4; UIP, usual interstitial pneumonia.

83 **INTRODUCTION**

84 Idiopathic pulmonary fibrosis (IPF) is a progressive interstitial lung disease characterized
85 by abnormal proliferation of epithelial/mesenchymal cells, disorganized deposition of
86 extracellular matrix, and fibroblastic foci.¹⁻⁵ IPF is associated with high mortality (average
87 survival: 3–5 years); however, its clinical course is highly variable.⁶ Current treatment
88 options for IPF include anti-fibrotic agents and lung transplantation.⁴ Early intervention
89 may help improve clinical outcomes.⁷ Therefore, identification of non-invasive biomarkers
90 that can predict disease progression is a key imperative.

91 S100 calcium binding protein A4 (S100A4, also termed fibroblast-specific protein-1)
92 belongs to the S100 family of proteins containing calcium-binding motifs. S100A4 is a
93 marker of fibroblasts used to study the mechanism of tissue fibrosis.⁸⁻¹⁴ Reportedly,
94 S100A4 promotes lung fibrosis via proliferation and activation of fibroblasts.¹⁵⁻¹⁷
95 Therefore, we hypothesized that serum S100A4 levels in IPF patients may reflect
96 pulmonary fibroblastic activity and may serve as a useful prognostic biomarker. The
97 clinical relevance of serum S100A4 in IPF patients remains to be investigated. Therefore,
98 this study examined the S100A4 expression in lung specimens and evaluated the
99 association of serum S100A4 level with clinical parameters and mortality in IPF patients.

100

101 **METHODS**

102 **Patients and diagnostic criteria**

103 We retrospectively screened consecutive IPF patients diagnosed between 2002 and 2016 at
104 the Hamamatsu University Hospital (Hamamatsu, Japan) and for whom serum samples
105 collected at the time of diagnosis were available. No patient had received anti-fibrotic or
106 anti-inflammatory therapy before the diagnosis of IPF. The diagnosis of IPF was based on
107 multidisciplinary discussion, according to the international consensus criteria.^{1-3, 5} All
108 patients were followed up for >1 year or until death. Acute exacerbation (AE) of IPF was
109 diagnosed on the basis of 2016 International Working Group report.¹⁸ The exclusion
110 criteria were: acute exacerbation of IPF (AE-IPF) or presence of severe comorbidity at the
111 time of diagnosis (advanced malignancy, liver cirrhosis or renal failure requiring
112 haemodialysis). Consequently, 95 IPF patients were enrolled in this study. Serum samples
113 were also collected from 50 age- and sex-matched healthy controls (HCs). This
114 retrospective study was conducted according to the Declaration of Helsinki. Signed
115 consent forms were obtained from all subjects with the exception of those who died before
116 2016. The institutional review board of the Hamamatsu University School of Medicine
117 waived the informed consent requirement for deceased patients and approved this study
118 (approval number 17-164).

119

120 **Data collection**

121 Data pertaining to the following variables were collected from medical records: sex; age;
122 smoking history; laboratory data; results of pulmonary function tests, Gender–Age–
123 Physiology (GAP) stage,¹⁹ high-resolution computed tomography (HRCT) and
124 histopathological examination of lung biopsy; treatment details; survival outcomes.

125

126 **Measurement of serum S100A4 levels**

127 Baseline serum samples were collected at the time of diagnosis and stored at –80°C until
128 further analysis. Serum S100A4 levels were retrospectively measured using commercially
129 available enzyme-linked immunosorbent assay (CircuLex S100A4 ELISA Kit Ver.2; MBL
130 CO, LTD., Nagoya, Japan) according to the manufacturer’s instructions.

131

132 **S100A4 immunohistochemistry and immunofluorescence**

133 Formalin-fixed, paraffin-embedded sections (5 µm thick) of surgically-resected lung
134 biopsy specimens from IPF patients were analysed. Peritumoural normal lung tissues from
135 lung cancer patients without IPF were used as control. Detailed methods are presented in
136 Supplementary Method S1.

137

138 **Statistical methods**

139 Statistical analyses were performed using EZR (Jichi Medical University, Saitama, Japan),

140 which is a graphical user interface for R (The R Foundation for Statistical Computing,
141 Vienna, Austria). Data are expressed as median [interquartile range (IQR) or range] or as
142 frequency (%). Fisher's exact test was used for comparing proportions among groups.
143 Between-group differences were assessed using the Wilcoxon/Kruskal–Wallis test.
144 Correlation between different parameters was evaluated using the Spearman's correlation
145 test. Disease progression was defined as deterioration in lung function [$\geq 10\%$ relative
146 decline in %predicted forced vital capacity (%FVC)] or death. Progression-free survival
147 (PFS) was defined as the time from the date of diagnosis until the date of first 'disease
148 progression', death or the most recent follow-up. The observation period lasted from the
149 date of diagnosis until the most recent follow-up or the date of death. Patients were
150 censored if they remained alive until 31 August 2018. Receiver-operating characteristic
151 (ROC) curve analysis was performed to identify an optimal cut-off value, which was
152 decided as the point with the highest value of sensitivity + specificity – 1 (Youden's index).
153 Survival rates and between-group differences were calculated using the Kaplan–Meier
154 analysis and log-rank test, respectively. Cox proportional hazards regression analyses with
155 time-dependent covariates were performed to identify factors associated with disease
156 progression and mortality in IPF patients; age, sex, anti-fibrotic treatment, and all variables
157 that showed a significant association in univariate analysis were included in the
158 multivariate analysis. $P < 0.05$ was considered statistically significant.

159

160 **RESULTS**

161 **Baseline characteristics**

162 Baseline characteristics are summarized in Table 1. No significant differences were
163 observed between IPF patients and HCs with respect to age or sex. Among the 95 IPF
164 patients, 71 (74.7%) experienced disease progression, 26 (27.4%) developed AE, and 51
165 (53.7%) died during the observation period. Forty-six deaths were due to respiratory
166 failure, 3 were attributed to lung cancer that developed after IPF diagnosis, and 2 were
167 attributed to a coronary event.

168 Treatment details pertaining to the observation period are presented in Supplementary
169 Table S1. Among the 95 patients, 65 (68.4%) received specific treatment for IPF. Fifty
170 (52.6%) patients were treated with ant-fibrotic agents (pirfenidone and nintedanib).
171 Thirty-two (33.7%) patients were treated with corticosteroids with/without
172 immunosuppressants during the observation period due to AE-IPF that developed after IPF
173 diagnosis in most cases.

174

175 **Serum S100A4 levels**

176 Baseline serum S100A4 levels are presented in Figure 1. Serum S100A4 were
177 undetectable in all the HCs (nearly 0 ng/mL), but were detectable in 26 of the 95 (27.3%)

178 IPF patients ($P < 0.01$).

179

180 **S100A4 expression in lung tissues**

181 Representative results of immunostaining are presented in Figure 2 and Supplementary

182 Figure S1-S2. Immunohistochemistry of control lungs ($n = 4$) demonstrated expression of

183 S100A4 in intra-alveolar macrophages; however, S100A4 was sparsely expressed in

184 normal alveolar tissue (Figure 2A). Conversely, lung tissues of IPF patients exhibited

185 diffuse and partially strong expression of S100A4 ($n = 8$; Figure 2B). In particular,

186 abundant S100A4-expressing cells were observed in the areas between the periphery of

187 fibroblastic foci and adjacent, nearly intact alveolar structures as well as adjacent to areas

188 of mature fibrosis (Figures 2B and 2C). Immunofluorescence also showed abundant

189 S100A4-expressing cells around the periphery of fibroblastic foci that were constituted by

190 α SMA-positive but S100A4-negative myofibroblasts (Supplementary Figure S1A–D).

191 Although there were a small number of S100A4-expressing cells among the fibrotic foci,

192 co-expression of S100A4 and α SMA was not observed in these cells (Supplementary

193 Figure S1D).

194

195 **S100A4 levels and clinical parameters**

196 Serum S100A4 levels in IPF patients showed neither a significant correlation with any

197 clinical parameter (age, laboratory data or results of pulmonary function tests;
198 Supplementary Table S2) nor an association with smoking habit (Supplementary Figure
199 S3).

200

201 **Subgroup analysis based on S100A4 levels**

202 ROC curve analysis was performed to identify the optimal cut-off values of serum S100A4
203 for predicting disease progression (Supplementary Figure S4). Using 22.3 ng/mL as the
204 cut-off value of serum S100A4, the sensitivity and specificity were 42.9% and 83.3%,
205 respectively. Considering this result, patients with serum S100A4 level < 22.3 ng/mL and
206 those with serum S100A4 level \geq 22.3 ng/mL were categorized as serum S100A4^{low} and
207 serum S100A4^{high} subgroups, respectively.

208 The baseline characteristics of IPF patients disaggregated into S100A4^{high} and
209 S100A4^{low} subgroups and are presented in Supplementary Table S3. The median PaO₂
210 level in the S100A4^{high} subgroup was significantly higher than that in the S100A4^{low}
211 subgroup (80 Torr vs. 75 Torr, respectively; $P = 0.04$). A significant between-group
212 difference was observed in baseline GAP stage ($P < 0.01$). However, no significant
213 between-group differences were observed with respect to other baseline characteristics.

214 Kaplan–Meier survival curves of the S100A4^{high} and S100A4^{low} subgroups are shown
215 in Figure 3. PFS rate in the S100A4^{high} subgroup was significantly lower than that in the

216 S100A4^{low} subgroup (1-year cumulative PFS rate, 58.4% vs. 77.8%, respectively; $P =$
217 0.01; Figure 3A). Survival rate in the S100A4^{high} subgroup was significantly lower than
218 that in the S100A4^{low} subgroup (2-year cumulative survival rate, 41.7% vs. 77.0%,
219 respectively; $P < 0.01$; Figure 3B).

220 Analyses regarding the combination of serum S100A4 and GAP staging system are
221 presented in Supplementary Figure S5. GAP system solely showed a good prognostic
222 separation (Figure S5A). Regarding cumulative survival rates in the GAP stage III group,
223 the S100A4^{high} and S100A4^{low} subgroups showed no between-group differences (log-rank,
224 $P = 0.93$; Figure S5B). In the GAP stage I group, the S100A4^{high} subgroup tended to have a
225 lower cumulative survival rate compared with that in the S100A4^{low} subgroup (log-rank, P
226 $= 0.09$; Figure S5C).

227

228 **Prognostic significance of serum S100A4**

229 The results of Cox proportional hazards regression analysis showing correlates of
230 disease progression are presented in Table 2. On univariate analysis, higher PaO₂ levels,
231 higher %DLCO, and higher %FVC were associated with a lower disease progression rate.
232 Conversely, serum S100A4^{high} (vs. S100A4^{low}) and higher serum S100A4 levels (per 10
233 ng/mL increase) were associated with a higher disease progression rate. We adjusted for
234 each of ‘serum S100A4^{high}’ and ‘serum S100A4 level’ in separate multivariate models. In

235 both models, higher %FVC was independently associated with a lower disease progression
236 rate, whereas higher serum S100A4 levels [hazard ratio (HR) 1.06 per 10 ng/mL increase,
237 $P = 0.01$], but not serum S100A4^{high} (vs. serum S100A4^{low}; HR 1.65, $P = 0.07$), was an
238 independent predictor of a higher disease progression rate.

239 Results of the Cox proportional hazards regression analysis of mortality are presented
240 in Table 3. On univariate analysis, higher PaO₂ levels, higher %DLCO, and higher %FVC
241 were associated with significantly lower mortality rate. Conversely, higher age, serum
242 S100A4^{high} (vs. S100A4^{low}), and higher serum S100A4 levels were associated with a
243 higher mortality rate. We also adjusted for both ‘serum S100A4^{high}’ and ‘serum S100A4
244 level’ in separate multivariate models. In both models, higher PaO₂ levels and
245 higher %FVC showed an independent association with a lower mortality rate, whereas
246 higher serum S100A4 levels (HR 1.18 per 10 ng/mL increase, $P = 0.03$), but not serum
247 S100A4^{high} (vs. serum S100A4^{low}; HR 1.69, $P = 0.10$), was an independent predictor of a
248 higher mortality rate.

249

250 **DISCUSSION**

251 In this study, serum S100A4 levels were undetectable in all HCs but were detectable in
252 approximately 27% of IPF patients. Immunostaining demonstrated infiltration of abundant
253 S100A4-expressing cells in lung tissues of IPF patients. Multivariate analyses revealed an

254 independent association of higher serum S100A4 levels with both a higher disease
255 progression rate and a higher mortality rate. To our knowledge, this is the first study that
256 identified the clinical significance of serum S100A4 in IPF patients.

257 Activated fibroblasts and myofibroblasts play a key role in fibrogenesis in IPF.²⁰⁻²³
258 S100A4 reportedly promotes the transition of fibroblasts to myofibroblasts, inducing
259 α SMA and collagen 1 expression.⁸ In this context, the role of S100A4 in lung fibrosis has
260 been investigated in a mouse model of bleomycin-induced lung fibrosis.^{9, 11, 15-17} Tanjore et
261 al. found that S100A4-positive lung fibroblasts were derived from both lung epithelial
262 cells undergoing epithelial–mesenchymal transition and bone marrow progenitor cells.⁹
263 Xia et al. reported that administration of mesenchymal progenitor cells derived from IPF
264 patients converts the model of self-limited lung fibrosis to model of persistent fibrosis in
265 an S100A4-dependent manner.¹⁵ Li et al. reported that while the deficiency of S100A4
266 attenuated pulmonary fibrosis, adoptive transfer of S100A4⁺ macrophages induced lung
267 injury/fibrosis in S100A4^{-/-} mice.¹⁶ Collectively, these studies indicated a
268 pro-fibrotic/pathogenic role of tissue S100A4 in pulmonary fibrosis.

269 Two studies have documented increased expression of S100A4 in lung tissues of IPF
270 patients by immunohistochemistry.^{11, 15} Consistently, we also found prominent expression
271 of S100A4-positive cells in IPF lungs. Considering that S100A4 is a protein that can be
272 secreted into extracellular space,⁸ fibrotic lung tissue is a potential source of serum

273 S100A4 in IPF patients. Interestingly, a previous study found numerous
274 S100A4-expressing cells primarily in the interface between relatively unaffected alveolar
275 structures and the periphery of fibroblastic foci that were constituted by α SMA-positive
276 but S100A4-negative myofibroblasts; these findings suggested that these regions are an
277 active fibrotic front-line and that S100A4-expressing cells induce fibrosis in the adjacent
278 unaffected alveolar structures in IPF patients.¹⁵ This is consistent with our findings.
279 Moreover, we found infiltration of abundant S100A4-expressing cells in areas adjoining
280 the area of mature fibrosis. These findings also suggest that greater expression of S100A4
281 in lung tissues represents higher pro-fibrotic activity, which participates in the progression
282 of IPF.

283 In this study, serum S100A4 level was an independent prognostic factor in IPF
284 patients. Higher serum S100A4 levels were independently associated with a higher disease
285 progression rate. Therefore, the increased mortality risk in the S100A4^{high} subgroup is
286 likely attributable to the higher disease progression rate. These results suggest that serum
287 S100A4 level as well as tissue S100A4 expression reflects the activity of lung fibrosis.
288 Interestingly, the combination of S100A4 and GAP system suggested that serum S100A4
289 facilitates further prognostic stratification in the GAP I group of this study. However, the
290 sample size may have been too small to detect a significant difference. A prospective larger
291 study is required to validate this result. Collectively, the serum S100A4 level can be a

292 useful prognostic biomarker that may help identify patients who may benefit from early
293 therapeutic intervention (e.g., pirfenidone or nintedanib therapy).

294 Several studies have evaluated the potential of S100A4 protein as a therapeutic target.
295 In studies by Li et al. and Zhang et al., blockade with neutralizing antibodies against
296 S100A4 and pharmacologic inhibition of S100A4, respectively, attenuated pulmonary
297 fibrosis in the bleomycin mouse model.^{16, 17} Our results suggest that IPF patients with
298 elevated serum S100A4 levels represent a phenotype associated with poor prognosis.
299 Therefore, these patients may possibly benefit from anti-S100A4 therapy. Stratification of
300 IPF patients based on S100A4 expression level seems a plausible strategy under the
301 paradigm of precision medicine that warrants further investigation.

302 The present study had several limitations. First, the retrospective design of the study
303 renders it vulnerable to several biases. Our institution is a regional ILD referral centre,
304 which may have introduced an element of selection bias. Second, baseline serum S100A4
305 levels were detectable in approximately 27% of IPF patients. It is possible that its clinical
306 usefulness is applicable only to a subgroup of IPF patients. Additionally, a larger study is
307 required to identify the prevalence of IPF patients with high serum S100A4 levels and its
308 optimal cut-off value for predicting outcome. Third, we did not evaluate serial changes in
309 serum S100A4 levels. Further investigation is required to determine whether serum
310 S100A4 levels in S100A4^{low} IPF patients increase over their clinical course, thereby

311 clarifying the association between its changes and their clinical outcome. Future studies
312 should perform repeated measurements of serum S100A4 levels to unravel predictors of
313 the risk of disease progression or mortality. Finally, the different treatment regimens for
314 IPF may have affected the outcomes in our study population.

315 In conclusion, the present study indicated that serum S100A4 is a promising
316 non-invasive biomarker that may help predict disease progression/mortality in IPF patients.
317 This biomarker may facilitate risk stratification of patients. A prospective, multicentre
318 study is required to validate the clinical and pathophysiological utility of serum S100A4 in
319 IPF.

320

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332 **Author contributions:**

333 N.A., H.H., N.E., T.F., N.I., Y.N., and T.S. designed the research; N.A., H.H., T.I., J.O.,

334 K.S., H.Y., Y.S., M.K., M.K., K.F., N.E., T.F., N.I., Y.N., and T.S. contributed to the

335 acquisition or analysis of the data; N, A. and H.H. wrote the initial and final drafts of the

336 manuscript; N.A., H.H., T.I., J.O., K.S., H.Y., Y.S., M.K., M.K., K.F., N.E., T.F., N.I., Y.N.,

337 and T.S. revised the drafts of the manuscript; and all authors approved the final version of

338 the manuscript.

339

340 **Guarantor statement:**

341 H.H. had full access to all the data in the study and takes responsibility for the integrity of

342 the data and the accuracy of the data analysis.

343

344 **Conflict of interest:**

345 This study was conducted as a collaboration between Hamamatsu University School of

346 Medicine and Medical and Biological Laboratories. Takuya Isayama, Jun Okada, and

347 Katsunori Sugiura are employees of Medical and Biological Laboratories. The remaining

348 authors declare no conflict of interests, including personal or financial support, and no

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450

451 **Table 1 Baseline characteristics**

	IPF n = 95	HC n = 50	P-value
Age, years	71 (64–77)	69 (66–73)	0.77
Male/female	83 (87.4)/12 (12.6)	42 (84)/8 (16)	0.62
Smoking habit, never/former/current	19 (20.0) / 61 (64.2)/15 (15.8)		
Diagnosis, clinical/pathologically proven	72 (75.8)/23 (24.2)		
Laboratory data			
KL-6, U/mL	924 (596–1390)		
PaO ₂ , Torr	76 (69–85)		
Pulmonary function test			
%FVC, %	74 (58–89)		
FEV _{1.0} /FVC, %	82 (79–89)		
%DLCO, %*	61.5 (44.8–86)		
GAP stage, I/II/III [§]	32 (47.8)/20 (29.9)/15 (22.4)		
Observation period, months	33.5 (15.1–50.1)		
Disease progression [‡]	71 (74.7)		
Progression-free survival, months	22.3 (11.3–44.4)		
Deterioration in lung function	41 (43.1)		
Death	30 (31.6)		
AE [‡]	26 (27.4)		
All-cause death [‡]	51 (53.7)		
Death from respiratory failure [‡]	46 (48.4)		

452 Data are presented as median (IQR), or number (%).

453 * n = 58

454 § n = 67

455 ‡ During observation period

456 IPF, idiopathic pulmonary fibrosis; HC, healthy control; KL-6, Krebs von den Lungen-6;

457 PaO₂, arterial oxygen pressure; %FVC, percent predicted forced vital capacity; FEV_{1.0},

458 forced expiratory volume 1.0 (sec); %DLCO, percent predicted diffusing capacity of the

459 lung carbon monoxide; GAP, Gender–Age–Physiology index; AE, acute exacerbation.

460 **Table 2 Results of the Cox proportional hazards regression analysis of disease**
 461 **progression**

Variable	HR	95%CI	P-value
Univariate analysis			
Male (vs. female)	0.65	0.34–1.42	0.26
Age, years	1.03	0.99–1.06	0.10
UIP pattern on HRCT, yes (vs. no)	0.98	0.55–1.66	0.94
PaO ₂ , per 1Torr increase	0.97	0.95–0.99	0.03*
%DLCO, per 1% increase §	0.97	0.96–0.99	<0.01*
%FVC, per 1% increase	0.96	0.95–0.97	< 0.01*
KL-6, per 100 U/mL increase	1.01	0.99–1.03	0.36
Anti-fibrotic treatment, yes (vs. no)	0.79	0.42–1.49	0.47
Serum S100A4 ^{high} (vs. S100A4 ^{low})	1.85	1.11–3.02	0.02*
Serum S100A4, per 10 ng/mL increase	1.05	1.01–1.08	0.01*
Multivariate analysis model 1			
Male (vs. female)	0.84	0.42–1.90	0.66
Age, years	1.02	0.98–1.05	0.33
PaO ₂ , per 1Torr increase	0.98	0.96–1.004	0.12
%DLCO, per 1% increase §	0.98	0.96–0.99	0.02*
%FVC, per 1% increase	0.97	0.95–0.98	< 0.01*
Anti-fibrotic treatment, yes (vs. no)	0.97	0.59–1.56	0.89
S100A4 ^{high} (vs. S100A4 ^{low})	1.65	0.96–2.78	0.07
Multivariate analysis model 2			
Male (vs. female)	0.80	0.40–1.78	0.56
Age, years	1.02	0.98–1.05	0.30
PaO ₂ , per 1Torr increase	0.98	0.96–1.003	0.11
%DLCO, per 1% increase §	0.98	0.96–1.002	0.08
%FVC, per 1% increase	0.97	0.95–0.98	<0.01*
Anti-fibrotic treatment, yes (vs. no)	0.95	0.58–1.53	0.82
Serum S100A4, per 10 ng/mL increase	1.06	1.02–1.10	0.01*

462 * $P < 0.05$.

463 § $n = 58$

464 HR, hazard ratio; 95%CI, 95% confidence interval; UIP, usual interstitial pneumonia;
 465 HRCT, high-resolution computed tomography; PaO₂, arterial oxygen pressure; %FVC,
 466 predicted forced vital capacity; FEV_{1.0}, forced expiratory volume 1.0(sec); %DLCO,
 467 predicted diffusing capacity of the lung carbon monoxide; KL-6, Krebs von den Lungen-6;
 468 SP-D, surfactant protein D.

469 **Table 3 Results of the Cox proportional hazards regression analysis of mortality**

Variable	HR	95%CI	P-value
Univariate analysis			
Male (vs. female)	0.65	0.30–1.71	0.35
Age, years	1.04	1.01–1.09	0.02*
UIP pattern on HRCT, yes (vs. no)	0.98	0.53–1.91	0.95
PaO ₂ , per 1 Torr increase	0.94	0.91–0.97	<0.01*
%DLCO, per 1% increase §	0.97	0.95–0.99	<0.01*
%FVC, per 1 % increase	0.95	0.93–0.96	<0.01*
KL-6, per 100 U/mL increase	1.02	0.99–1.04	0.15
Anti-fibrotic treatment, yes (vs. no)	0.68	0.39–1.20	0.19
Serum S100A4 ^{high} (vs. S100A4 ^{low})	2.10	1.18–3.69	0.01*
Serum S100A4, per 10 ng/mL increase	1.07	1.03–1.10	<0.01*
Multivariate analysis model 1			
Male (vs. female)	0.65	0.04–3.38	0.67
Age, years	1.03	0.97–1.09	0.29
PaO ₂ , per 1Torr increase	0.92	0.87–0.96	<0.01*
%DLCO, per 1% increase §	0.98	0.95–1.002	0.06
%FVC, per 1% increase	0.96	0.94–0.99	<0.01*
Anti-fibrotic treatment, yes (vs. no)	0.28	0.09–0.87	0.03*
Serum S100A4 ^{high} (vs. S100A4 ^{low})	1.69	0.88–3.20	0.10
Multivariate analysis model 2			
Male (vs. female)	0.72	0.04–3.73	0.74
Age, years	1.06	0.99–1.13	0.08
PaO ₂ , per 1Torr increase	0.91	0.87–0.96	<0.01*
%DLCO, per 1% increase §	0.98	0.95–1.001	0.06
%FVC, per 1% increase	0.96	0.94–0.99	<0.01*
Anti-fibrotic treatment, yes (vs. no)	0.39	0.12–1.30	0.12
Serum S100A4, per 10 ng/mL increase	1.18	1.01–1.37	0.03*

470 *P < 0.05.

471 § n = 58

472 HR, hazard ratio; 95%CI, 95% confidence interval; UIP, usual interstitial pneumonia;
 473 HRCT, high-resolution computed tomography; PaO₂, arterial oxygen pressure; %FVC,
 474 predicted forced vital capacity; FEV_{1.0}, forced expiratory volume 1.0(sec); %DLCO,
 475 predicted diffusing capacity of the lung carbon monoxide; KL-6, Krebs von den Lungen-6.

476 **Figure legends**

477 **Figure 1.** Baseline serum S100A4 levels

478 Serum S100A4 levels were undetectable in all HCs (approximately 0 ng/mL) but were
479 detectable in 26 (27.3%) of the 95 IPF patients ($P < 0.01$). The median serum S100A4
480 level (range) in IPF patients was 0 (0–450) ng/mL.

481 IPF, idiopathic pulmonary fibrosis; HC, healthy control

482

483 **Figure 2.** S100A4 immunostaining in lung tissues from controls and IPF patients

484 A: A representative image of control lung tissues ($\times 100$ magnification, scale bar: 50 μm).

485 S100A4 is expressed in intra-alveolar macrophages (arrowhead); normal alveolar
486 structures show sparse expression of S100A4 (arrow).

487 B: A representative image of lung tissues of an IPF patient ($\times 12.5$ magnification, scale bar
488 500 μm). Numerous S100A4-expressing cells are present largely adjacent to areas of
489 mature fibrosis.

490 C: A representative image of lung tissues from an IPF patient ($\times 100$ magnification, scale
491 bar: 50 μm). Abundant S100A4-expressing cells (arrow) are present in the areas between
492 the periphery of fibroblastic foci (arrowhead) and adjacent nearly intact alveolar structures.
493 The S100A4-expressing cell mostly exhibit spindle-like shape, a finding consistent with
494 fibroblasts.

495

496 **Figure 3.** Kaplan–Meier survival curve

497 A: PFS rate of IPF patients in the S100A4^{high} subgroup was significantly lower than that in
498 the S100A4^{low} subgroup (1-year cumulative PFS rate, 58.4% vs. 77.8%, respectively; $P =$
499 0.01).

500 B: Survival rate of IPF patients in the S100A4^{high} subgroup was significantly lower than
501 that in the S100A4^{low} subgroup (2-year cumulative survival rate, 41.7% vs. 77.0%,
502 respectively; $P < 0.01$).

503 PFS, progression-free survival; IPF, idiopathic pulmonary fibrosis. S100A4^{high} subgroup
504 had serum S100A4 level ≥ 22.3 ng/mL; S100A4^{low} subgroup had serum S100A4 level $<$
505 22.3 ng/mL.

Figure.2

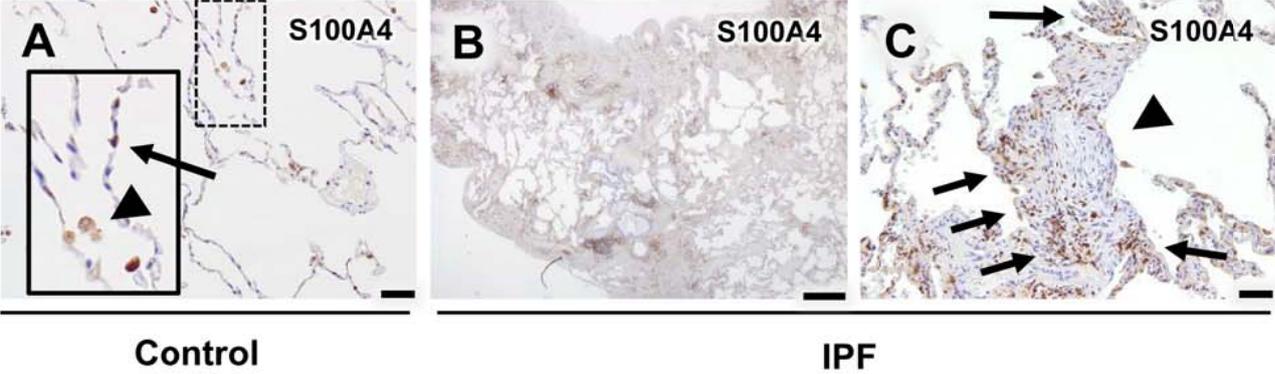
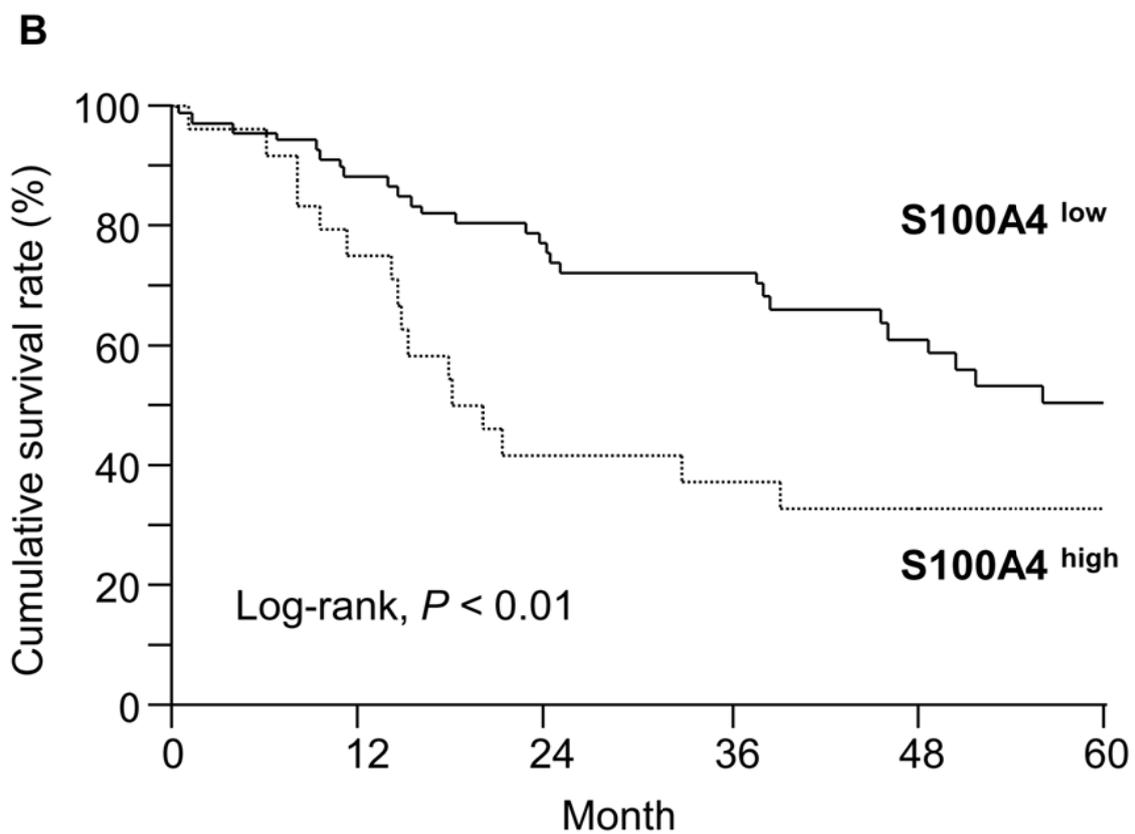
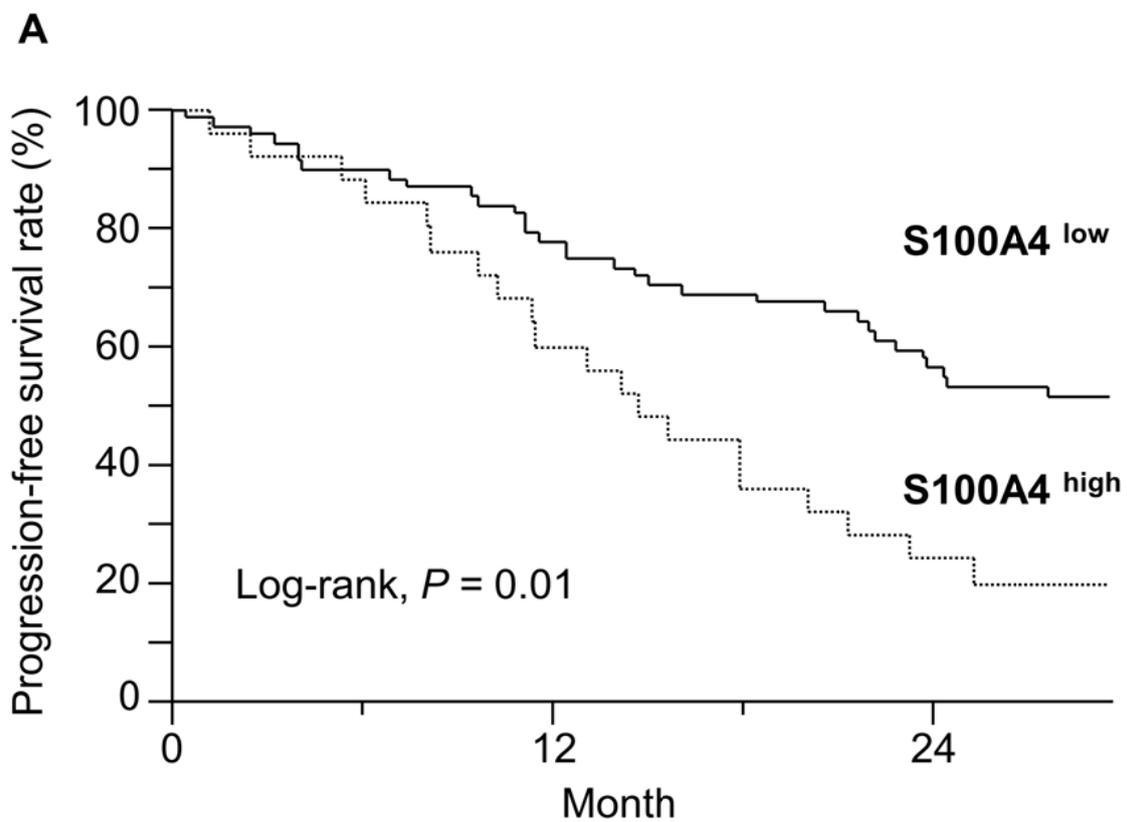


Figure. 3



Supplementary Information template:

SUPPLEMENTARY INFORMATION

TITLE: Clinical significance of serum S100 calcium binding protein A4 in idiopathic pulmonary fibrosis

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Supplementary Method S1- S100A4 immunohistochemistry and immunofluorescence

Immunohistochemistry

The sections were deparaffinized and were then preheated for 30 min in 0.05% citrate buffer pH 6.0. After blocking endogenous peroxidase activity with 3% H₂O₂ for 15 min, the sections were incubated with a primary rabbit anti-human S100A4 monoclonal antibody (1:250; Anti-S100A4 antibody; Abcam, Cambridge, UK) or isotype control rabbit IgG for 1 hour.

Subsequently, the sections were incubated with visualization reagent (Histofine simple stain MAX-PO [M]; Nichirei Co., Tokyo, Japan) for 30 min. The immunoreaction was visualized using 3, 3-diaminobenzidine chromogen and the sections were counterstained with hematoxylin.

Immunofluorescence

The sections were deparaffinized and subsequently preheated for 30 min in 0.05% citrate buffer pH 6.0. The sections were incubated with a blocking solution (Blocking One Histo; nacalai tesque., Kyoto, Japan) for 10 minutes and subsequently incubated with the primary antibody mixture of the anti-human S100A4 antibody (1:200) and a mouse anti-human alpha smooth muscle actin (α SMA) monoclonal antibody (1:200; anti- α SMA antibody; Abcam, Cambridge, UK) or the isotype control mixture of rabbit IgG and mouse IgG2a for 1 hour. Subsequently, the sections were incubated with DAPI (1:1000; sigma, New York, USA) with Alexa Fluor conjugated secondary antibodies (1:1000; Goat Anti-Rabbit IgG H&L Alexa Fluor® 555 and Goat Anti-Mouse IgG H&L Alexa Fluor® 647; Abcam, Cambridge, UK) for 1 hour. To reduce auto-fluorescence in the sections, an auto-fluorescence quenching kit was used (TrueVIEW™ Autofluorescence Quenching Kit; Vector Laboratories, Inc., California, USA). The sections were visualized using a confocal microscope (Leica, Wetzlar, Germany).

Table S1- Treatment for IPF during the observation period

	IPF (n = 95)
Treatment for IPF, n (%)	
Any	65 (68.4)
Anti-fibrotic	50 (52.6)
Pirfenidone	44 (46.3)
Nintedanib	12 (12.6)
Anti-inflammatory	
Corticosteroids	32 (33.7)
with immunosuppressant	13 (13.7)
Cyclophosphamide	6
Cyclosporin A	6
Azathioprine	1

Data are presented as n (%)

IPF, idiopathic pulmonary fibrosis

Table S2- Correlation between serum S100A4 levels and clinical parameters

Characteristics	Correlation coefficient	P-value
Age, years	-0.09	0.52
Laboratory data		
KL-6, U/mL	0.02	0.84
PaO ₂ , Torr	0.15	0.15
Pulmonary function test		
%FVC, %	-0.07	0.88
FEV ₁ /FVC, %	0.02	0.88
%DLCO, % *	0.15	0.24

KL-6, Krebs von den Lungen-6; PaO₂, arterial oxygen pressure; %FVC, predicted forced vital capacity; FEV_{1.0}, forced expiratory volume 1.0 (sec); %DLCO, predicted diffusing capacity of the lung carbon monoxide.

* n = 58

Table S3- Characteristics of IPF patients disaggregated by S100A4^{high} and S100A4^{low} subgroups

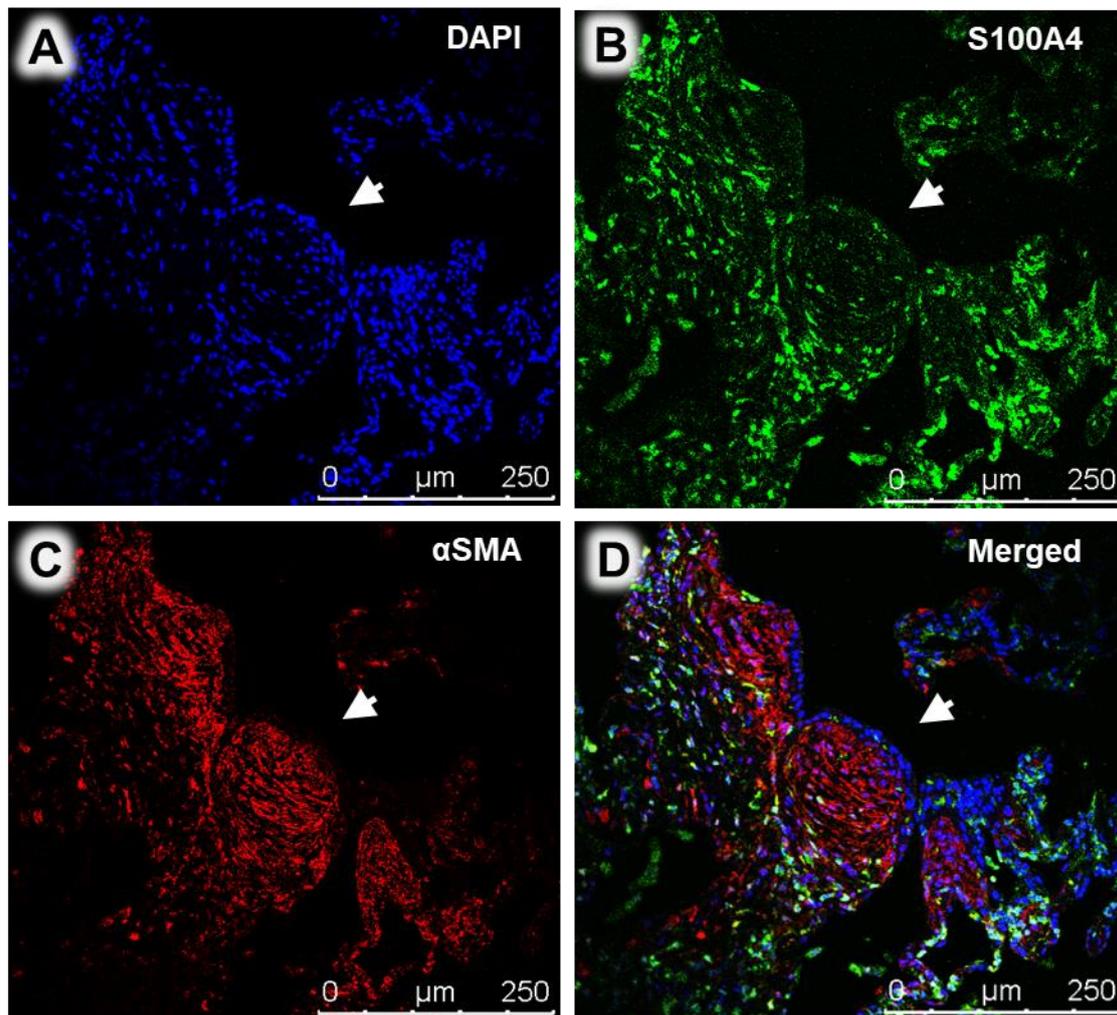
Characteristics	S100A4 ^{high} n = 25	S100A4 ^{low} n = 70	P-value
Age, years	71 (64–77)	71 (65–77)	0.51
Male / female	20 (80)/5 (20)	63 (90)/7 (10)	0.29
Smoking habit, never / former or current	8 (32)/17 (68)	11 (16)/59 (84)	0.09
Diagnosis, clinical / biopsy proven	17 (68)/8 (32)	55 (79)/15 (21)	0.29
Laboratory test			
KL-6, U/mL	1030 (551–1605)	922 (606–1292)	0.51
PaO ₂ , Torr	80 (73–92)	75 (69–82)	0.04*
Pulmonary function test			
% FVC, %	63 (52–85)	77 (63–90)	0.10
FEV _{1.0} / FVC, %	86 (81–91)	82 (79–87)	0.09
%DLCO, % ‡	71 (59–86)	61 (44–86)	0.33
GAP stage, I / II / III ¶	6 (50)/0 (0)/6 (50)	26 (47.3)/20 (36.4)/9 (16.4)	<0.01*
Observation period, months	19.7 (12.6–55.5)	35.9 (16.1–53.7)	0.29
Disease progression §	25 (100)	46 (65.7)	<0.01*
Progression-free survival, months	14.8 (9.1–24.7)	24.8 (11.7–47.4)	0.10
Deterioration in lung function	15	26	
Death	10	20	
Acute exacerbation §	10 (40.0)	16 (22.9)	0.12
All-cause death §	21 (84.0)	30 (42.9)	< 0.01*
Death from respiratory failure §	20 (80.0)	26 (37.1)	< 0.01*

Data are presented as median (IQR) or number (%).

* $P < 0.05$, ‡ n = 58, ¶ n = 67, § During observation period

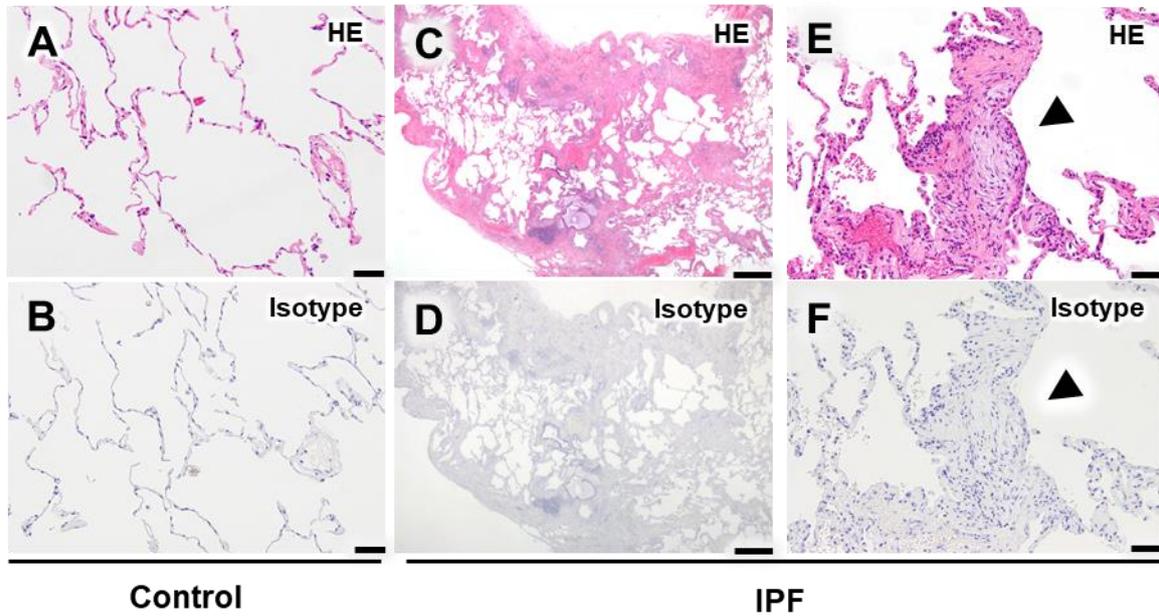
S100A4^{high} subgroup had serum S100A4 level ≥ 22.3 ng/mL; S100A4^{low} subgroup had serum S100A4 level < 22.3 ng/mL. IPF, idiopathic pulmonary fibrosis; KL-6, Krebs von den Lungen-6; SP-D, surfactant protein D; PaO₂, arterial oxygen pressure; %FVC, %predicted forced vital capacity; FEV_{1.0}, forced expiratory volume 1.0 (sec); %DLCO, %predicted diffusing capacity of the lung carbon monoxide; GAP, Gender–Age–Physiology.

Figure S1- Immunofluorescence images of lung



A, B, C, D: Immunofluorescence images of lung tissues from a patient with IPF ($\times 200$ magnification, scale bar: 250 μ m), stained by DAPI (blue), anti-S100A4 antibody (green), and anti- α SMA antibody (red) and merged image, respectively. Abundant S100A4-expressing cells (arrowhead) have infiltrated around the periphery of fibroblastic foci that are constituted by α SMA-positive but S100A4-negative myofibroblasts. Although there are a small number of S100A4-expressing cells among fibrotic foci, co-expression of S100A4 and α SMA is not observed in these cells.

Figure S2- Histological images of lung



A, B: Control lung tissues ($\times 100$ magnification, scale bar: 50 μm)

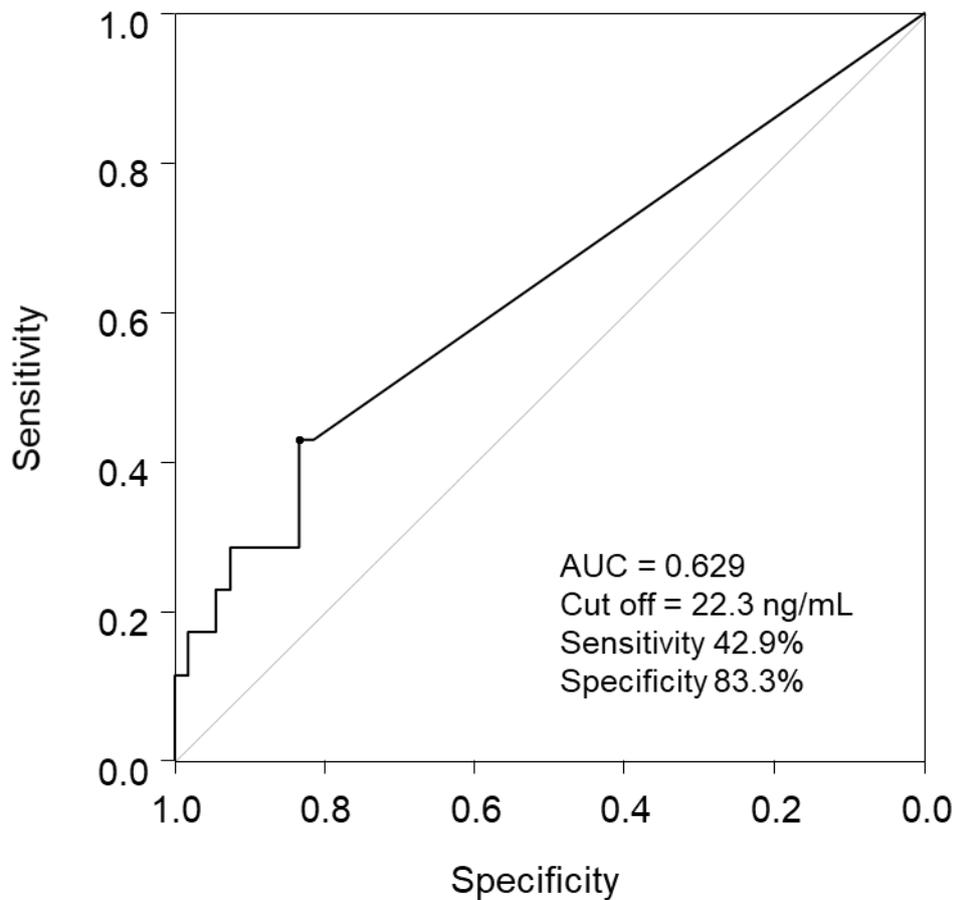
C, D: Lung tissues from a patient with IPF ($\times 12.5$ magnification, scale bar 500 μm)

E, F: Lung tissues from a patient with IPF ($\times 100$ magnification, scale bar: 50 μm)

Arrowhead: fibroblastic foci

HE, Hematoxylin-Eosin (HE); Isotype, isotype IgG

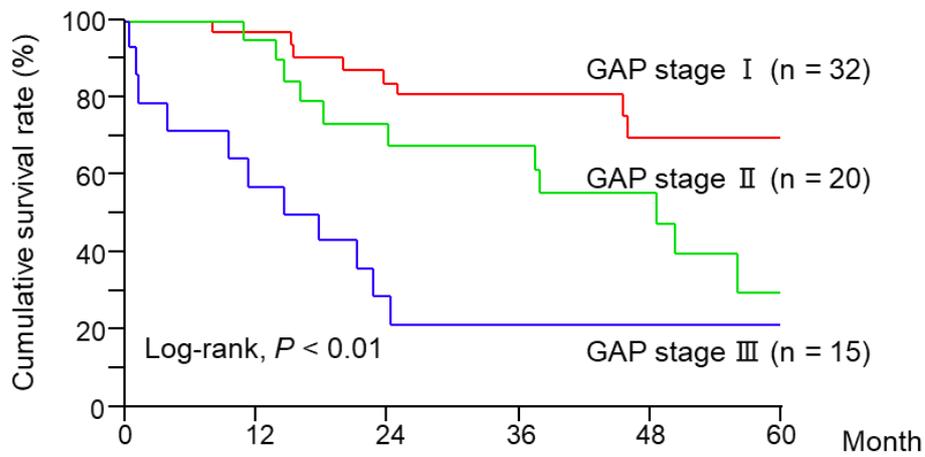
Figure S4- Receiver operating characteristic curve



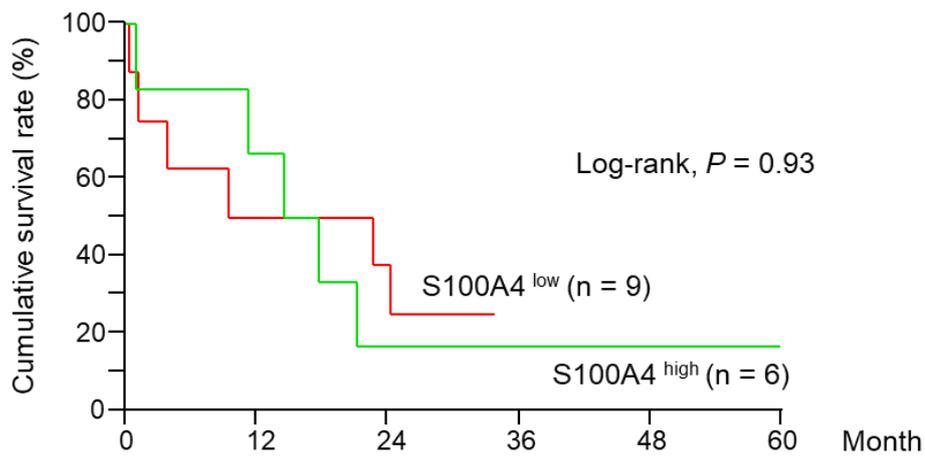
Receiver operating characteristic curve of serum S100A4 for predicting disease progression within the first year from the date of diagnosis. The area under the curve (AUC) was 0.629. Using 22.3 ng/mL as the cut-off level of serum S100A4, the sensitivity and specificity were 42.9% and 83.3%, respectively.

Figure S5- Analyses regarding the combination of serum S100A4 and GAP staging system

A Cumulative survival rates according to IPF GAP stage



B Cumulative survival rates according to serum S100A4 status in GAP stage III group



C Cumulative survival rates according to serum S100A4 status in GAP stage I group

