



Genomewide array comparative genomic hybridization in 55 Japanese normokaryotypic patients with non-syndromic intellectual disability

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2	normokaryotypic patients with non-syndromic intellectual disability
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Abstract

2	Background: Genomewide array comparative genomic hybridization (aCGH) has widely been
3	utilized as the diagnostic tool in patients with non-syndromic intellectual disability (ID).
4	Indeed, aCGH has identified pathogenic copy number variants (pCNVs), as well as variants of
5	uncertain clinical significance (VsUS) and benign CNVs (bCNVs), in such patients.
6	Aims: To examine the frequencies of various CNVs and clinical findings in patients with
7	non-syndromic ID.
8	Patients and Methods: We studied 55 Japanese normokaryotypic patients (35 males, 20
9	females) with apparently non-syndromic ID. Genomewide aCGH was performed using
10	leukocyte genomic DNA samples. Clinical findings were compared among patients with
11	pCNVs (group 1), those with VsUS (group 2), and those with bCNVs or no CNVs (group 3).
12	<i>Results:</i> Nine patients had pCNVs: one had 5p deletion syndrome, two had 22q11.2 deletion
13	syndrome, one had 17q23.1q23.2 microdeletion syndrome, three had CNVs involving known
14	pathogenic genes, and the remaining two had CNVs overlapping with previously described
15	CNVs in patients with ID (one with duplication at 1q36 and the other with deletion at 12q42).
16	Furthermore, 11 patients had VsUS, and nine patients had bCNVs. Clinical findings were
17	grossly comparable among groups 1–3.
18	Conclusions: The results provide further support for the usefulness of aCGH in the
19	identification of underlying genetic factor(s) for ID, although there was no clinical finding
20	indicative of the presence of pCNVs or VsUS. Furthermore, our data are expected to serve to
21	identify pathogenic genes on chromosomes 1q36 and 12q42, as well as those on several VsUS.
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23	Keywords: Array comparative genomic hybridization; Intellectual disability; Copy number
$\frac{24}{25}$	variants; Pathogenic gene; Clinical finding

Introduction

2	Intellectual disability (ID) is a highly heterogeneous condition occurring in 1–3% of the general
3	population [1]. ID is divided into syndromic and non-syndromic forms, with the former
4	accounting for roughly one-third of affected patients [2]. The syndromic form is associated
5	with a constellation of clinical features characteristic of known syndromes, and is usually
6	caused by specific genetic factors such as mutations of causative genes, copy-number variants
7	(CNVs) involving relevant genes, and aneuploidies [3]. By contrast, non-syndromic form,
8	though it may be accompanied by non-specific multiple congenital anomalies (MCA), is free
9	from diagnostic clinical manifestations, and is usually subject to multiple (epi)genetic and
10	environmental factors such as mutations of genes for non-specific ID, various usually
11	non-recurrent CNVs, central nervous infections, and environmental chemicals [4].
12	Recently, genomewide array comparative genomic hybridization (aCGH) has widely
13	been utilized as the diagnostic tool in patients with non-syndromic ID. Indeed, aCGH has
14	identified pathogenic copy number variants (pCNVs) in 5-35% of such patients (average
15	12.2%) [5]. In addition, aCGH has also detected multiple variants of uncertain clinical
16	significance (VsUS) that could be relevant to ID, and benign CNVs (bCNVs) that are
17	irrelevant to ID [6].
18	Here, we examined the frequencies of various CNVs and clinical finding in patients
19	with apparently non-syndromic ID.
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21	Patients and Methods
22	Patients
23	This study consisted of 55 Japanese patients (35 males, 20 females) with apparently
24	non-syndromic ID with or without non-specific MCA. The ages at examination ranged from
25	0.8 to 42 years (median, 4.0 years). All patients had normal karyotype in the 50 lymphocytes
26	examined by the conventional 400–550 level G-banding analysis. The ID was assessed as
27	extremely severe (developmental quotient (DQ) / intelligence quotient (IQ), < 20) in 21
28	patients, severe (DQ/IQ, 21-34) in 11 patients, moderate (DQ/IQ, 35-49) in six patients, and
29	mild (DQ/IQ, 50–69) in 17 patients, by the DSM-IV method [7].

2 Ethical approval and samples

This study was approved by the Institutional Review Board Committees of Hamamatsu
University School of Medicine, and was performed after obtaining written informed consent
for the molecular analysis and the publication of genetic and clinical data after removing
information for personal identification.

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8 Genomewide aCGH analysis

Genomewide aCGH was performed with a catalog human array (4×180K format, ID 9 10 G4449A) (Agilent Technologies) using leukocyte genomic DNA samples of all the patients, 11 the parents who agreed to genetic analysis, and sex-matched control subjects. The procedure 12was as described in the manufacturer's instructions. For autosomes and female X 13chromosomes, $\log 2$ signal ratios of around -1.0 and around +0.5 were regarded as indicative 14of heterozygous deletions and duplications, respectively. For male sex chromosomes that appear in a heterogametic condition, $\log 2$ signal ratios of $-\infty$ and around +1.0 were 1516interpreted as hemizygous deletions and duplications, respectively. When \geq three consecutive 17probes showed abnormal log2 ratios, the corresponding region was regarded as CNVs. 18Minimum and maximum sizes of CNVs were obtained as the regions between two distal ends 19of signals indicative of deletions or duplications and those between two proximal ends of 20signals indicative of normal copy numbers. The genomic position was based on human 21GRCh37/hg19 (http://genome.ucsc.edu/).

22 CNVs were regarded as pCNVs, when (1) they were identical to those of established 23 ID-positive syndromes with causative or candidate genes, (2) they included known pathogenic 24 genes in which intragenic mutations or CNVs involving the genes alone have been identified 25 in patients with ID, or (3) they shared an overlapping region with previously described plural 26 CNVs in patients with ID. By contrast, CNVs were interpreted as bCNVs, when (1) they were 27 inherited from either of the healthy parents, or (2) they have been registered as normal 28 variants in the public databases such as Database of Genomic Variants

29 (http://dgv.tcag.ca/dgv/app/home) and ClinVar (http:// http://www.ncbi.nlm.nih.gov/clinvar/).

Other CNVs were regarded as VsUS, most of which contained candidate genes for ID or were
 formed as *de novo* events.

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4 Clinical assessment

5 Multiple clinical features were examined in all the patients by two clinicians (M.A. and Y.E.), 6 except for ophthalmologic, cardiac, and renal features which were evaluated by professional 7 doctors in each field. We summarized clinical findings in patients with pCNVs (group 1), 8 those with VsUS (group 2), and those with bCNVs or no CNVs (group 3), and compared 9 them among different groups. Statistical significance of the median was examined by the 10 Mann-Whitney's *U*-test, and that of the frequency by the Fisher's exact test. P < 0.05 was 11 considered significant.

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Results

14 Genomewide aCGH analysis

Representative aCGH findings are shown in Figure 1. The data of groups 1 and 2 are 1516summarized in Table 1, and the pathogenic and candidate genes on the identified pCNVs and VsUS are shown in Supplementary Table 1. CNVs were identified in 29 of the 55 patients. Of 1718the 29 patients, nine patients (cases 1–9) were assessed to have pCNVs, because: (1) case 2, 19and cases 7 and 8, had deletions for 5p deletion syndrome (Cri Du Chat syndrome) [8] and 2022q11.2 deletion syndrome (Di George syndrome) [9] with known pathogenic genes, 21respectively; (2) case 6 had a deletion typical of 17q23.1q23.2 microdeletion syndrome with candidate genes [10]; (3) cases 3, 4, and 9 had CNVs involving known pathogenic genes, as 2223well as candidate genes; and (4) cases 1 and 5 had CNVs partially overlapping with 24previously described CNVs in patients with ID (Figure 2 and Figure 3). Furthermore, of case 1-9, cases 1-3, 5, 6, and 9 had *de novo* CNVs. By contrast, nine of the 20 patients were 2526evaluated to have bCNVs, because they were present in either of the healthy parents. The $\mathbf{27}$ remaining 11 patients (cases 10–20) were assessed to have VsUS with or without candidate 28genes. Of cases 10–20, cases 10, 14, 17, and 18 had de novo CNVs. Notably, cases 4, 10, and 12-14 had plural CNVs. In particular, the co-existing two VsUS in cases 10 and those in case 29

14 were found to be generated as *de novo* CNVs, although parental samples were not
 available in cases 4, 12, and 13.

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4 Clinical assessment

5 Clinical features in patients of groups 1 and 2 are described in Table 1. Clinical features were 6 highly variable with no pathognomonic features. Indeed, while case 2 had 5p deletion for Cri 7 Du Chat syndrome, she showed no characteristic mewing cry. Similarly, while case 7 and 8 8 had 22q11.2 deletion for Di George syndrome, they were free from cardiovascular anomalies, 9 abnormal calcium metabolism, and immune deficiency. In addition, while case 6 had a 10 deletion typical of 17q23.1q23.2 microdeletion syndrome, his overall clinical features 11 remained rather non-specific.

Detailed clinical findings in groups 1–3 are summarized in Table 2. Male-dominant sex ratio was common to groups 1–3 as well as total patients, and the examined age and the degree of ID were similar among groups 1–3. Furthermore, the frequencies of clinical features were similar among groups 1–3, except for significantly high frequency of eating disorder in group 2 and that of sleep disorder in group 1.

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Discussion

19This study identified pCNVs in nine of 55 patients with apparently non-syndromic ID. The 20frequency (16%) is grossly similar to that reported previously [5]. The results provide further 21support for the usefulness of aCGH in the clarification of underlying genetic factor(s) for ID. Of cases 1–9 with pCNVs, cases 2–4 and 7–9 had pathogenic genes for ID on the pCNVs 2223(Supplementary Table 1). Indeed, intragenic mutations of CTNND2 [11], DOCK8 [12], 24ILIRAPLI [13], and TBXI [14], and loss of only TERT [15], are associated with ID, as are duplication of only MECP2 [16] or overexpression of MEF2C [17]. Thus, copy number 2526alterations of these genes would have played a major role in the development of ID in the six $\mathbf{27}$ cases, while the relevance of candidate genes on the pCNVs in case 4, and probably other 28non-specified genes as well, would remain tenable. In addition, since the CNV in case 2 and 29the CNVs in cases 7 and 8 are known to cause 5p deletion syndrome and 21q22.11 deletion

syndrome, respectively [8,9], this confirms their pathogenicity. Furthermore, since the CNVs
 in cases 2, 3, and 9 were formed as *de novo* events, this would also support their
 pathogenicity.

The remaining cases 1, 5, and 6 were also interpreted as having pCNVs. Actually, they 4 had de novo CNVs, although there was no definitive pathogenic genes on the identified CNVs. $\mathbf{5}$ 6 In particular, the ~ 2.2 Mb deletion in case 6 is identical to that reported as chromosome 717q23.1-q23.2 microdeletion syndrome with ID, and TBX2 and TBX4 have been regarded as 8 candidate genes for ID because of their biological functions and expression pattern [18]. Since this 17q23.1-q23.2 microdeletion is known to be generated by non-allelic homologous 9 recombination mediated by low-copy repeats [10], this would explain the recurrence of the 10 11 same deletion in multiple unrelated subjects. For the ~ 9 Mb duplication on chromosome 121p36.21-p36.12 in case 1 and the ~ 6 Mb deletion on chromosome 12q24.31-q24.32 in case 5, previous studies have revealed similar duplications [19] and deletions [20,21] in patients with 1314ID (Figure 2 and Figure 3). Notably, although there is no segment shared by all the duplications and deletions, the duplicated region in case 1 and the deleted region in case 5 1516encompass three different smallest regions of overlaps (SROs-A-C) common to plural patients, and SRO-C on chromosome 1p36 and SRO-A on chromosome 12q24 harbor 1718candidate genes for ID. Thus, it is likely that the duplication in case 1 and the deletion in case 195 are pathogenic, and that different genes are involved in the development of ID in patients 20with duplications involving 1p36 and in those with deletions affecting 12q24.

21Cases 10-20 were evaluated to have VsUS rather than pCNVs. Indeed, since similar CNVs have not been reported in patients with ID, their pathogenicity remains uncertain. 2223However, the VsUS in cases 10, 14, 17, and 18 were *de novo* CNVs, and those in cases 10–13, 2414-16, and 18-20 harbor candidate genes (Supplementary Table 1). Thus, some of the VsUS would actually be pCNVs that harbor hitherto unrecognized pathogenic genes for ID. One 2526may argue that the intragenic deletion of DMD identified in female case 19 is unlikely to $\mathbf{27}$ explain her phenotype, although it could have phenotypic effects depending on the 28X-inactivation patterns in target tissues. However, since it is a disease-causing pCNV and 29could lead to ID in affected males [22], we categorized this intragenic deletion in female case

1 19 as a VUS rather than a pCNV or a bCNV.

Unexpectedly, plural pCNVs or VsUS were found in cases 4, 10, and 12–14. In
particular those in cases 10 and 14 were generated as *de novo* abnormalities. Such
co-existence of plural CNVs have been reported previously [23]. This would imply that *de novo* CNVs can occur with a certain frequency.

6 Several findings are noteworthy with regard to the clinical findings. First, the patient 7number was larger in males than in females. This would primarily be due to the presence of a 8 large number of genes for X-linked non-syndromic ID [11]. It is predicted that a substantial fraction of male patients have hidden mutations of such genes. Furthermore, identification of 9 pCNVs involving such X-linked genes in cases 4 (IL1RAPL1) and 9 (MECP2) suggests that 10 11 X-chromosomal pCNVs are also more frequent in males than in females. Second, the age at 12examination, the degree of ID, and the frequencies of various features were grossly similar 13among groups 1–3. This suggests lack of a clinical indication for pCNVs as well as VsUS in 14patients with non-syndromic ID.

In summary, we performed aCGH in 55 patients with non-syndromic ID. The results provide further support for the usefulness of aCGH in the identification of underlying genetic factor(s) for ID, although there was no clinical finding indicative of the presence of pCNVs or VsUS. Furthermore, our data are expected to serve to identify pathogenic genes on chromosomes 1q36 and 12q42, as well as those on several VsUS.

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21 Disclosure

22 The authors have nothing to declare.

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1 **Figure legends** $\mathbf{2}$ 3 Figure 1. Representative results of aCGH analysis. The black, the red, and the green dots denote signals indicative of the normal, the increased (log2 signal ratio > +0.4), and the 4 decreased (log2 signal ratio < -0.8) copy numbers, respectively. The rectangles highlighted $\mathbf{5}$ 6 with light green and light red denote the deleted and the duplicated regions, respectively. 78 Figure 2. Chromosome 1p36 duplicated regions in patients with non-syndromic ID. Shown 9 on the top schema is aCGH data in case 1. The duplicated region in five patients are depicted by horizontal blue lines. The maximum duplicated regions are: (1) case 1: 13,178,528 -10 11 22,364,327; (2) Lee et al. [19]: 10,536,144 - 13,992,333; (3) DECIPHER 257814: 11,860,126 - 20,573,006; (4) DECIPHER 300533: 15,443,521 - 15,739,333; and (5) DECIPHER 1213273011: 17,753,669 - 24,376,460 (DECIPHER, https://decipher.sanger.ac.uk/). The red, green, 14and orange rectangles (A, B, and C) represent the smallest overlapping regions in plural patients. The A-C region carry multiple genes, and the C region harbors candidate genes 1516ARHGEF10L and EMC1. 1718Figure 3. Chromosome 12q24 deleted regions in patients with non-syndromic ID. Shown on 19the top schema is aCGH data in case 5. The deleted region in eight patients are depicted by 20horizontal blue lines. The maximum deleted regions are: (1) case 5, 121,530,401 -21127,569,632 (2) DECIPHER 272960: 121,441,374 – 122,441,868; (3) Palumbo et al. [20]: 121,887,158 – 123,552,213; (4) DECIPHER 294371: 122,212,162 – 124,354,904; and (5) 2223Zahrani et al. [21]: 123,065,364 – 132,293,878. The red, green, and orange rectangles (A, B, 24and C) represent the smallest overlapping regions in several patients. The A-C region carry

25 multiple genes, and the A regions contain a candidate gene *KDM2*.