

# Involvement of PUF60 in transcriptional and post-transcriptional regulation of hepatitis B virus pregenomic RNA expression

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1 **Involvement of PUF60 in Transcriptional and Post-transcriptional**  
2 **Regulation of Hepatitis B Virus **Pregenomic RNA** Expression**

3

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25 **Abstract**

26 Here we identified PUF60, a splicing factor and a U2 small nuclear  
27 ribonucleoprotein auxiliary factor, as a versatile regulator of transcriptional and  
28 post-transcriptional steps in expression of hepatitis B virus (HBV) 3.5 kb,  
29 precore plus pregenomic RNA. We demonstrate that PUF60 is involved in: 1)  
30 up-regulation of core promoter activity through its interaction with transcription  
31 factor TCF7L2, 2) promotion of 3.5 kb RNA degradation and 3) suppression of  
32 3.5 kb RNA splicing. When the 1.24-fold HBV genome was introduced into  
33 cells with the PUF60-expression plasmid, the 3.5 kb RNA level was higher at  
34 days 1–2 post-transfection but declined thereafter in PUF60-expressing cells  
35 compared to viral replication control cells. Deletion analyses showed that the  
36 second and first RNA recognition motifs (RRMs) within PUF60 are responsible  
37 for core promoter activation and RNA degradation, respectively. Expression of  
38 PUF60 mutant deleting the first RRM led to higher HBV production.

39 To our knowledge, this is the first to identify a host factor involved in not only  
40 positively regulating viral gene expression but also negative regulation of the  
41 same viral life cycle. Functional linkage between transcriptional and  
42 post-transcriptional controls during viral replication might be involved in  
43 mechanisms for intracellular antiviral defense and viral persistence.

44 (199 words)

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## 48 **Introduction**

49 Hepatitis B virus (HBV) is a hepatotropic, enveloped virus of the  
50 *Hepadnaviridae* family with a partial double-stranded relaxed circular DNA  
51 genome. Approximately 240 million people worldwide are chronically infected  
52 with HBV. It is estimated that one million deaths occur annually due to  
53 HBV-related severe liver diseases such as liver cirrhosis, liver failure and  
54 hepatocellular carcinoma <sup>1</sup>. Although nucleoside analogues and interferons  
55 are the major chemotherapies for HBV-positive patients to date, they do not  
56 achieve HBV clearance or eliminate the viral genome when in the covalently  
57 closed circular (ccc) DNA form, which resides in the nucleus of infected cells.  
58 Long-term treatment with these antivirals may also have drawbacks such as  
59 development of drug-resistant variants and adverse side effects <sup>2,3</sup>. Thus,  
60 understanding of molecular mechanisms that determine viral replication,  
61 persistence and latency is urgently needed to develop novel treatments to  
62 achieve virological cure.

63 Upon infection, the uncoated viral genome is transported to the nucleus  
64 and converted into cccDNA, which serves as the template for synthesis of viral  
65 transcripts. Four unspliced viral RNAs, 3.5, 2.4, 2.1 and 0.7 kb, are transcribed  
66 from their respective promoters and two enhancer regions (ENI and ENII). The  
67 **3.5 kb** RNA includes precore and pregenomic RNA species. Precore mRNA  
68 encodes precore antigen (HBeAg), and **pregenomic RNA directs translation of**  
69 **core antigen (HBcAg) and polymerase.** Pregenomic RNA also serves as a  
70 reverse transcription template after encapsidation. A variety of liver-enriched  
71 and ubiquitous transcription factors target the promoter and enhancer regions

72 to regulate viral transcription and replication (as reviewed in <sup>4,5</sup>). In addition,  
73 several forms of spliced RNAs are generated from 3.5 kb RNA. These spliced  
74 forms have been observed in sera and livers of hepatitis B patients as well as  
75 in cultured cells transfected with the viral genome <sup>6-8</sup>. However, their  
76 significance and regulatory mechanisms underlying post-transcriptional  
77 processing events in the HBV life cycle are essentially unclear.

78 In this study, we aimed to clarify molecular mechanisms controlling  
79 transcriptional and post-transcriptional processes during HBV replication, in  
80 particular mechanistic coupling between transcriptional regulation and  
81 post-transcriptional mRNA processing. During the course of investigating  
82 involvement of host cell factors with dual DNA- and RNA-binding capacities in  
83 HBV replication in siRNA-mediated gene knockdown and over-expression  
84 experiments, we identified PUF60 as a versatile regulator of both  
85 transcriptional and post-transcriptional steps in expression of HBV 3.5 kb RNA.  
86 PUF60 was first discovered as a poly-U binding, 60-kDa splicing factor that is  
87 important for efficient splicing of multiple introns <sup>9</sup>. In addition, PUF60 forms a  
88 complex with far upstream element (FUSE) and FUSE-binding protein (FBP),  
89 acting as an FBP-interacting repressor (FIR), and is a transcriptional repressor  
90 of human c-myc gene <sup>10</sup>. Here, we found that PUF60 up-regulated core  
91 promoter activity through its interaction with transcription factor 7-like 2  
92 (TCF7L2), which is necessary for direct binding with the ENII region. PUF60  
93 also contributed to 3.5 kb RNA degradation and suppression of 3.5 kb RNA  
94 splicing.

95

96

## 97 **Results**

98

### 99 **Involvement of PUF60 in positive and negative regulation on HBV** 100 **replication**

101 First, to address how PUF60 is involved in gene expression and HBV  
102 replication, viral RNAs in cells co-transfected with pUC-HB-Ce carrying the  
103 1.24-fold HBV genome derived from genotype C and the FLAG-tagged  
104 PUF60-expressing plasmid (pcDNA-F-PUF60) were analyzed by northern  
105 blotting. At day 2 post-transcription (pt), the level of 3.5 kb RNA, but not 3.5 kb  
106 RNA -derived, 2.2-kb spliced (Sp1) RNA lacking intron nt 2447/489, was higher  
107 in PUF60-expressing cells compared to control cells. In contrast, at day 4 pt,  
108 both 3.5 kb RNA and Sp1 RNA levels were severely diminished in  
109 PUF60-expressing (Fig. 1a, probe PG). Influence of PUF60 expression on the  
110 HBs RNA level appeared limited compared to that on 3.5 kb RNA and Sp1  
111 RNA levels (Fig. 1a, probe S). We confirmed that no cytotoxic effect was  
112 observed by over-expressing PUF60, as judged by ribosomal RNA levels (Fig.  
113 1a) and quantification of cellular RNAs (data not shown). In semi-quantitative  
114 (Fig. 1b) and quantitative (Fig. 1c, Supplementary Fig. S1) RT-PCR analyses,  
115 both a marked increase and decrease in the 3.5 kb RNA level were observed  
116 in PUF60-expressing cells at days 2 and 4 pt, respectively, compared to data  
117 obtained from northern blotting. No contamination of the transfected HBV  
118 plasmids in our RNA preparation was confirmed by no detection of amplified  
119 DNA without reverse transcription (Fig. 1b). At both time points, Sp1 RNA

120 levels in PUF60-expressing cells were lower than those in control cells. Levels  
121 of HBV proteins such as HBs and HBc were also lower in the culture of  
122 PUF60-expressing cells (Supplementary Fig. S2). Immunoblotting showed that  
123 similar levels of PUF60, detectable as monomer and SDS-resistant dimer  
124 forms<sup>11</sup>, were expressed at days 2 and 4 pt in cells (Fig. 1b). Nuclear and  
125 cytoplasmic fractions of cells transfected with pUC-HB-Ce with or without  
126 pcDNA-F-PUF60 were isolated and 3.5 kb RNA levels in each fraction were  
127 determined. At day 1 pt, PUF60 expression resulted in a marked increase in  
128 the nuclear 3.5 kb RNA level (Fig. 1d, left). In contrast, at day 4 pt, PUF60  
129 expression led to significantly ( $p < 0.01$ ) low 3.5 kb RNA levels in both the  
130 nucleus and cytoplasm (Fig. 1d, right). Isolation of the nuclear and cytoplasmic  
131 fractions was confirmed by immunoblotting to detect each marker protein  
132 (Supplementary Fig. S3). A dose-dependent increase and decrease in the 3.5  
133 kb RNA level by PUF60 expression from various concentrations of plasmids  
134 transfected were also observed (Fig. 1e). Impact of PUF60 on the 3.5 kb RNA  
135 expression was further assessed in other HBV genotypes (Fig. 1f). Increased  
136 3.5 kb RNA levels at day 2 pt and subsequent decreased levels at day 4 pt in  
137 HBV-replicating cells with PUF60 expression were detected not only in HBV  
138 genotype C, but also HBV genotypes A and B.

139 PUF60 is known as a member of the U2 small nuclear ribonucleoprotein  
140 auxiliary factor (U2AF) family and contains two canonical RNA recognition  
141 motifs (RRMs) at its N-terminal (aa 129-207) and central (aa 226-304) regions.  
142 An additional unusual RRM called the U2AF homology motif (UHM)<sup>12</sup> is  
143 located at the C-terminus (aa 462-549) of PUF60. To identify the regions in

144 PUF60 responsible for its effects on increased and decreased 3.5 kb RNA  
145 expression, PUF60 deletion mutants, pcDNA-F-PUF60-D1, -D2 and -D3,  
146 which encode PUF60 lacking one of the motifs (PUF60-D1, -D2 and -D3)  
147 indicated above, respectively, with a FLAG tag were constructed (Fig. 2a). The  
148 subcellular localization of wild-type and mutant PUF60 strains was determined  
149 by immunostaining with anti-PUF60 antibody (Fig. 2b). Wild-type PUF60  
150 mainly localized to the nucleus and was partly present in the cytoplasm. The  
151 mutants PUF60-D1 and -D3 also mainly localized to the nucleus. In contrast,  
152 PUF60-D2 expression showed a homogeneous cytoplasmic distribution. It is  
153 thus likely that the second RRM, but not the first RRM and UHM within PUF60,  
154 is critical for its nuclear localization.

155 Effects of over-expression of each PUF60 deletion mutant on 3.5 kb RNA  
156 expression at days 2 and 4 pt were tested in cells co-transfected with  
157 pUC-HB-Ce (Fig. 2c). At day 2 pt, 3.5 kb RNA levels in cells expressing  
158 wild-type PUF60, PUF60-D1 or -D3 were 5- to 6-fold higher than that in control  
159 cells. In contrast, the 3.5 kb RNA level in cells expressing PUF60-D2 was  
160 comparable to that of control cells. At day 4 pt, although expression of  
161 wild-type PUF60, PUF60-D2 or -D3 led to decreases in the 3.5 kb RNA level,  
162 increased 3.5 kb RNA levels (e.g., 3-fold higher compared to that of the control)  
163 was maintained in cells expressing PUF60-D1. These results strongly suggest  
164 that the central (second) and N-terminal (first) RRMs, respectively, are  
165 important for up-regulation of 3.5 kb RNA expression at early time points after  
166 introduction of the HBV genome (day 2 pt) and its subsequently decreased  
167 effect on 3.5 kb RNA observed at a later time point (day 4 pt).



168 Next, to determine the effect of PUF60 on HBV production,  
169 particle-associated HBV DNA in culture supernatants of cells transfected with  
170 pUC-HB-Ce with or without pcDNA-F-PUF60 was quantitatively measured (Fig.  
171 3a). The results were comparable to those of 3.5 kb RNA in cells (Fig. 1a, b  
172 and c). Although the viral DNA level at day 2 pt was 2.5-fold higher in the  
173 culture with PUF60 expression compared to that of the control culture, the  
174 DNA level in the supernatant of PUF60-expressing cells at day 5 pt was 5-fold  
175 lower than that of control cells. Collectively, these findings suggest  
176 involvement of PUF60 in both positive and negative regulation of HBV  
177 replication.

178 We next used the HBV infection system with NTCP-expressing HepG2  
179 cells, HepG2-hNTCP-C4 cells<sup>13</sup>, to assess the influence of PUF60 on viral  
180 infection (Fig. 3b). After 12 h of transfection with pcDNA-F-PUF60 or an empty  
181 vector, HepG2-hNTCP-C4 cells were inoculated with HBV prepared from the  
182 culture supernatant of HepG38.7-Tet<sup>14</sup> and cultured for 5 days. Total cellular  
183 RNA levels were then determined by reverse-transcription quantitative PCR  
184 (RT-qPCR). As expected, both 3.5 kb RNA and Sp1 RNA levels in infected  
185 cells with PUF60 expression were significantly lower than those in control  
186 infected cells. At earlier time points, such as day 2 post-infection, it was difficult  
187 to assess the influence of PUF60 expression since basal levels of HBV RNAs  
188 were quite low in this setting.

189

### 190 **PUF60 as a positive regulator of HBV core promoter activity**

191 Based on the positive effect of PUF60 on 3.5 kb RNA, in particular at the

192 nuclear level, at the early phase pt (Fig. 1a, b, c and d), we next investigated  
193 whether PUF60 plays a role in transcriptional regulation of 3.5 kb RNA. Effect  
194 of PUF60 on HBV promoter activities was analyzed by transfection of HuH-7  
195 cells with a luciferase reporter carrying either the entire core promoter (nt  
196 900-1817), ENII/basal core promoter (BCP) (nt 1627-1817), preS1 promoter  
197 (nt 2707-2847) or preS2/S promoter (nt 2937-3204) with or without  
198 pcDNA-F-PUF60. Reporter activities in the cells were measured at 24 h pt (Fig.  
199 4a). The activities of both the entire core promoter and ENII/BCP were  
200 significantly higher in cells over-expressing PUF60. In contrast, preS1 and  
201 preS2/S promoter activities were not affected by PUF60 expression. PUF60  
202 also had little or no influence on human ubiquitin C promoter and human  
203 elongation factor 1 $\alpha$  promoter activities. At day 4 pt, no significant effect of  
204 PUF60 expression on core promoter activity was observed (Supplementary  
205 Fig. S4). Effects of PUF60 knockdown on core promoter activity and 3.5 kb  
206 RNA expression were further assessed (Fig. 4b). As expected, at day 2 pt,  
207 siRNA-based silencing of PUF60 reduced both promoter activity and the 3.5 kb  
208 RNA level. In contrast, at day 4 pt, PUF60 knockdown led to a marginal effect  
209 on the core promoter activity but, somewhat unexpectedly, reduced the 3.5 kb  
210 RNA level (Supplementary Fig. S5). Knockdown efficiency of PUF60 gene was  
211 confirmed by immunoblotting (Supplementary Fig. S6).

212 PUF60 deletion experiments (Fig. 2) identified protein regions critical for  
213 positive and negative regulation of 3.5 kb RNA expression by PUF60. Effect of  
214 PUF60 deletions on activation of the core promoter was further assessed (Fig.  
215 4c). Consistent with the result shown in Fig. 2c, the increase in core promoter

216 activity induced by PUF60 expression was cancelled with expression of  
217 PUF60-D2. Thus, it appears that up-regulation of **core promoter** activity  
218 mediated by the central RRM within PUF60 led to an increase in the **3.5 kb**  
219 RNA level seen at day 2 pt with the PUF60-expressing plasmid.

220

221 **Involvement of TCF7L2 in up-regulation of **core promoter** activity**  
222 **potentially via interaction with the ENII region and PUF60**

223 To address the molecular mechanism underlying PUF60 regulation of the  
224 **core promoter**, a series of reporter constructs with partial ENII/BCP deletions  
225 were first generated (Fig. 5, left) to identify the element(s) responsible within  
226 the ENII/BCP sequence for transcriptional regulation by PUF60. Luciferase  
227 activities were determined by co-transfection of HuH-7 cells with or without  
228 pcDNA-F-PUF60 (Fig. 5, right). Although most deletions tested maintained the  
229 increase in reporter activity by PUF60 expression, HBenIIcp-del-5 (deletion of  
230 nt 1689-1726) and -6 (deletion of nt 1710-1742) cancelled the effect by PUF60.  
231 This result indicates that the nt 1689-1742 region, located in ENII, is important  
232 for transcriptional regulation of **3.5 kb** RNA mediated by PUF60.

233 From our transcription factor database search, six transcription-related  
234 proteins, HNF1 $\alpha$ , SRY, TCF7L2, SP1, FOXM1 and KLF5, were predicted to be  
235 possible binding factors within the nt 1689-1742 region of ENII. Thus, whether  
236 these proteins and PUF60 are able to bind directly to the sequence was  
237 assessed by the gel shift assay using *in vitro* synthesized proteins and  
238 end-labeled oligonucleotide probes spanning the nt 1689-1726 and nt  
239 1710-1742 regions. Among the proteins tested, TCF7L2<sup>15</sup>, a member of the

240 TCF family of transcription factors that is predicted to bind to nt 1708-1713  
241 (TTCAAAG) from the search program, was found to bind to the nt 1689-1726  
242 sequence (Fig. 6a) but not to the nt 1710-1742 sequence (data not shown).  
243 Other proteins including PUF60 did not bind to these sequences. From these  
244 results, we hypothesized that PUF60 possibly accesses ENII of the HBV **core**  
245 **promoter** via interaction with ENII-binding partner, TCF7L2, leading to  
246 up-regulation of **3.5 kb** RNA transcription.

247 To address this hypothesis, interaction between PUF60 and TCF7L2 in  
248 cells was tested (Fig. 6b). PUF60 co-precipitated with TCF7L2 but not with  
249 HNF4 $\alpha$ . PUF60-TCF7L2 interaction was largely cancelled in  
250 PUF60-D2-expressing cells (Fig. 2a), which cannot increase the **3.5 kb** RNA  
251 level (Fig. 2c) or **core promoter** activity (Fig. 4c). The Chromatin  
252 immunoprecipitation (ChIP) assay with or without knockdown of TCF7L2 was  
253 further performed to determine PUF60 recruitment to the ENII region (Fig. 6c).  
254 Amplified DNA covering the nt 1589-1828 region was detectable after  
255 immunoprecipitation of cell lysates with or without expressing FLAG-tagged  
256 PUF60 with an anti-FLAG antibody. Additionally, the DNA level was clearly  
257 lower in TCF7L2-knockdown cells, indicating involvement of TCF7L2 in PUF60  
258 recruitment to the ENII region, which is important for **3.5 kb** RNA expression.

259 Involvement of TCF7L2 in ENII/BCP activity was examined by the reporter  
260 assay in which luciferase activities of the cells were measured at 24 h pt (Fig.  
261 6d). Over-expression of either TCF7L2 or PUF60 led to a comparable increase  
262 in ENII/BCP activity, which was further increased by co-expression of both  
263 TCF7L2 and PUF60. Effects of TCF7L2 over-expression with or without

264 PUF60 on 3.5 kb RNA expression at days 2 and 4 pt were assessed in cells  
265 co-transfected with pUC-HB-Ce (Fig. 6e). As expected, at day 2 pt, the 3.5 kb  
266 RNA level in cells co-expressing both TCF7L2 and PUF60 were markedly  
267 higher than those in cells expressing either TCF7L2 or PUF60, in which  
268 significantly increased 3.5 kb RNA levels were observed compared to control  
269 cells. At day 4 pt, in contrast to the effect of PUF60 shown in Fig. 1c, the  
270 increase in 3.5 kb RNA levels induced by TCF7L2 expression alone was  
271 maintained.

272 These results strongly suggest that PUF60 acts as a positive regulator of  
273 ENII/BCP activity during 3.5 kb RNA transcription cooperatively with TCF7L2.  
274 It has been shown that PUF60, also known as FIR, plays a role in c-myc  
275 transcription via interaction with FBP, which targets FUSE located upstream of  
276 the c-myc promoter<sup>10</sup>. Although no typical FUSE-like sequence was detected  
277 within the HBV genome, we further examined whether FBP is involved in 3.5  
278 kb RNA expression (Fig. 6f). No significant impact on core promoter and  
279 ENII/BCP activities by over-expression of FBP was found. A proper expression  
280 of HA-tagged FBP from the expression plasmid used was confirmed  
281 (Supplementary Fig. S7). The results indicated that FBP does not participate in  
282 the PUF60-dependent mechanism on ENII/BCP regulation.

283

#### 284 **Role of PUF60 on HBV 3.5 kb RNA degradation**

285 In addition to the positive effect on 3.5 kb RNA expression, the findings  
286 described above demonstrate that PUF60 potentially has a negative role on  
287 the steady state level of 3.5 kb RNA during the HBV life cycle. To address the

288 mechanism underlying this negative regulation, the effect of PUF60 expression  
289 on 3.5 kb RNA decay or degradation was determined (Fig. 7a). Cells  
290 replicating the HBV genome with or without PUF60 expression were treated  
291 with actinomycin D to arrest de novo RNA synthesis at day 2 pt, followed by  
292 RNA isolation at 0, 6 and 12 h after addition of actinomycin D. PUF60  
293 expression resulted in faster degradation of 3.5 kb RNA (Fig. 7a, left) but not of  
294 cellular mRNA of constitutively expressed heat shock protein family A member  
295 1B (HSPA1B) (Fig. 7a, right). At day 4 pt, it appeared difficult to evaluate effect  
296 of PUF60 expression on decay of the 3.5 kb RNA since the RNA level in  
297 PUF60-expressing cells was quite low even at 0 h (Supplementary Fig. S8). As  
298 indicated in Fig. 2, the N-terminal RRM region within PUF60 is important for its  
299 inhibitory effect on 3.5 kb RNA expression. The effect on 3.5 kb RNA  
300 degradation was cancelled by deleting the RRM region (PUF60-D1; Fig. 7a,  
301 left). Interaction of PUF60 with 3.5 kb RNA was detectable in HBV  
302 genome-replicating cells that expressed the full-length PUF60 but not  
303 PUF60-D1 by immunoprecipitation and RT-qPCR analyses (Fig. 7b). Time  
304 course changes in the 3.5 kb RNA level in cells replicating the viral genome in  
305 the presence of PUF60-D1 was compared with that in the presence of  
306 full-length PUF60 (Fig. 7c, left). At day 2 pt, the 3.5 kb RNA level in cells  
307 expressing PUF60-D1 was comparable to that in cells expressing full-length  
308 PUF60 and markedly higher than control cells without PUF60 expression.  
309 Interestingly, at day 4 pt, in contrast to the decreased level of 3.5 kb RNA in  
310 cells expressing full-length PUF60 compared to control cells, PUF60-D1  
311 expression maintained the increased level of 3.5 kb RNA seen at day 2 pt.

312 These findings demonstrate a critical role of the N-terminal RRM region within  
313 PUF60 in HBV 3.5 kb RNA degradation.

314 Ratio of spliced RNAs/unspliced 3.5 kb RNA, was also compared in cells  
315 expressing full-length PUF60 versus PUF60-D1 (Fig. 7c, middle). The ratio in  
316 cells expressing PUF60-D1 was significantly higher ( $p < 0.05$ ) than that of cells  
317 expressing full-length PUF 60 but was still lower than that of the control. By  
318 semi-quantitative RT-PCR, not only a change in the ratio of spliced/unspliced  
319 3.5 kb RNA but variation of alternative splicing induced by PUF60- or  
320 PUF60-D1 expression were observed (Fig. 7c, right). Expression of PUF60 or  
321 PUF60-D1 resulted in decreasing Sp1 RNA, which was dominant in the control  
322 cells, but in increasing other spliced RNAs, indicating involvement of PUF60  
323 on splicing events of HBV pregenomic RNA. In contrast, PUF60 knockdown  
324 resulted in increase of the spliced/unspliced 3.5 kb RNA ratio (Fig. 7d). These  
325 findings suggest the N-terminal RRM region is important for regulation of 3.5  
326 kb RNA splicing mediated by PUF60 but additional region(s) in PUF60 may  
327 also be involved in this regulation. It may be likely that PUF60-D1 expression  
328 contributes to an increased level of HBV production. To address this issue, we  
329 evaluated HuH-7 cells replicating the HBV genome transfected with the  
330 PUF60-D1 expression plasmid. Approximately 2-fold higher levels of  
331 particle-associated HBV DNA in the culture supernatant as well as 3.5 kb RNA  
332 in these cells compared to cells without PUF60-D1 expression was observed  
333 at day 5 pt (Fig. 7e).

334 Collectively, these results suggest that human hepatoma cells with ectopic  
335 expression of cell-derived proteins such as PUF60-D1, which contribute to

336 up-regulation of 3.5 kb RNA but have no effect on its degradation, are  
337 potentially useful to increase HBV production.

338

339

## 340 **Discussion**

341 In general, nucleic acid binding proteins play roles in a variety of cellular  
342 processes, including transcriptional regulation, pre-mRNA splicing and nucleic  
343 acid transport. Although proteins that bind both mRNAs and their encoding  
344 promoters are considered to have functional advantages or flexibility in  
345 generating cellular responses, DNA- and RNA-binding proteins have been  
346 largely studied independently in modulating gene expression. In the course of  
347 study to determine roles of host proteins that have DNA- and RNA-binding  
348 properties in HBV replication, we found that PUF60 potentially functions as a  
349 versatile regulator of both transcriptional and post-transcriptional steps of HBV  
350 pregenome expression.

351 In this study, we demonstrated that PUF60 expression leads to: 1)  
352 up-regulation of core promoter activity, 2) suppression of pregenome-derived  
353 RNA splicing and 3) promotion of pregenome degradation. When the 1.24-fold  
354 HBV genome plasmid was introduced into cells with co-transfection of the  
355 PUF60-expression vector, the 3.5 kb RNA level increased at an early time  
356 point, such as days 1-2 pt, but subsequently decreased compared to control  
357 HBV-replicating cells. To our knowledge, this is the first study to demonstrate  
358 involvement of a host factor in not only positively regulating gene expression  
359 and replication of virus, but also the negative regulation of the same viral life



360 cycle. While why both decreased and increased expression of PUF60 lowered  
361 the 3.5 kb RNA at day 4 pt (Fig. 1, Supplementary Fig. S5) is unclear to date,  
362 critical contributions of PUF60 to diverse biological pathways in transcriptional-  
363 and post-transcriptional processes during the viral replication potentially in a  
364 time-dependent manner might lead to such an unusual regulatory paradigm.

365 PUF60 is a splicing factor that associates with splicing factors involved in  
366 early spliceosome assembly and plays a role in the recognition of the 3' splice  
367 site during recruitment of small nuclear ribonucleoproteins (snRNPs) to the  
368 intron for splicing<sup>16,17</sup>. PUF60 is classified as a member of the U2AF protein  
369 family, where canonical RRM motifs with distinct features of protein recognition are  
370 conserved<sup>12</sup>. U2AF-related proteins are potentially involved in changes in  
371 available splice sites by preventing initial binding of U1 snRNP and U2AF  
372 during spliceosome assembly.

373 Although mRNA turnover is critical for gene expression in eukaryotic cells,  
374 contribution of decay factors to mRNA degradation machineries remains poorly  
375 understood because of their complexity. In particular, evidence for roles of  
376 U2AF-related proteins in mRNA degradation is quite limited. T-cell intracellular  
377 antigen 1 (TIA1), known to possess U2AF homology motifs, has been shown  
378 to contribute to modulation of the mRNA level of programmed cell death 4  
379 (PDCD4) through binding to PDCD4 3' UTR mRNA<sup>18</sup>. Competition between  
380 TIA1 and another RNA-binding factor, HuR, for binding on PDCD4 mRNA is  
381 thought to be important for fine-tuning PDCD4 expression in cells. Additionally,  
382 TIA1 has been shown to contribute to HBs expression possibly via interaction  
383 with a particular HBV RNA sequence, post-transcriptional regulatory element

384 (PRE)<sup>19</sup>.

385 RNA decay mechanisms such as innate immune recognition,  
386 nonsense-mediated decay, RNA exosome and canonical RNA decay  
387 machinery are now recognized to play an important role in antiviral defense in  
388 mammalian cells. For example, as anti-HBV defense mechanisms, the zinc  
389 finger antiviral protein ZAP has been shown to target HBV 3.5 kb RNA,  
390 resulting in RNA decay<sup>20</sup>, as seen in retroviruses, alphaviruses and filoviruses  
391<sup>21-23</sup>. Cytidine deaminase possibly triggers HBV RNA degradation by tethering  
392 the RNA exosome to the viral protein/RNA complex<sup>24</sup>. Non-stop-mediated  
393 RNA quality control is potentially involved in degradation of the viral X mRNA at  
394 the RNA exosome complex<sup>25</sup>. To our knowledge, this study is the first to reveal  
395 the role of PUF60 in mRNA degradation, and PUF60-mediated degradation of  
396 viral RNAs might be a novel type of antiviral defense mechanism. PUF60 was  
397 first identified as a 60-kDa protein that efficiently binds to the poly-U tract.  
398 However, no typical or consensus motif for PUF60 binding is observed in HBV  
399 RNAs. To determine the HBV RNA degradation mechanism mediated by  
400 PUF60, we found direct binding of PUF60 to PRE within HBV RNA but not with  
401 its reverse sequence in the in vitro assay (Supplementary Fig. S9). Although  
402 HBV PRE has been reported to be involved in viral mRNA regulation such as  
403 nuclear export, mRNA stability and splicing<sup>26-29</sup>, little is understood about the  
404 underlying molecular mechanisms. Further study to elucidate the significance  
405 of PUF60-PRE interaction on stability of viral RNAs and PUF60-dependent  
406 pathway of RNA degradation is currently underway.

407 In addition to roles as an RNA-binding factor, it has been shown that

408 PUF60 potentially controls the expression of c-myc at the transcriptional step  
409 by inhibiting the transcription factor, FBP<sup>10</sup>. PUF60 is thus termed FIR. It is  
410 thought that the interplay between FUSE, FBP and FIR/PUF60 influences the  
411 timing and level of c-myc expression<sup>30</sup>.

412 Our findings suggest that PUF60 positively regulates ENII/BCP activity via  
413 interaction with TCF7L2, which can bind directly to the ENII sequence.  
414 Interaction of PUF60 with TCF7L2 was cancelled by deleting the aa 210-281  
415 region of PUF60 (Fig. 5), which is essential for the positive regulation of 3.5 kb  
416 RNA expression (Fig. 2c). Despite the lack of direct binding between PUF60  
417 and the ENII sequence (Fig. 6a), results of the ChIP assay indicated that  
418 PUF60 can be recruited to the ENII region of the HBV genome, and this  
419 recruitment is impaired by knockdown of TCF7L2 (Fig. 6c). The highest  
420 positive impact on ENII/BCP activity and 3.5 kb RNA expression was found in  
421 cells co-expressing PUF60 and TCF7L2 compared to cells over-expressing  
422 either PUF60 or TCF7L2 alone (Fig. 6d and e). It is also noted that the  
423 consensus DNA sequence for TCF7L2 binding is well conserved within the  
424 ENII region among HBV isolates including HBV genotypes A to D.

425 TCF7L2 is a key member of the TCF family of transcription factors, which  
426 are known as downstream transcriptional effectors of Wnt signaling<sup>31</sup> and  
427 have been shown to bind DNA directly and recruit multiple transcriptional  
428 factors such as GATA3,  $\beta$ -catenin, HNF4 $\alpha$  and FOXO1<sup>32-34</sup>. Genetic variants  
429 of TCF7L2 showed the strongest association with type 2 diabetes/gestational  
430 diabetes mellitus to date. Several studies have demonstrated that, in the liver,  
431 TCF7L2 potentially serves as an important regulator of glucose homeostasis

432 by regulating proinsulin production and processing<sup>35,36</sup>. It is further suggested  
433 that TCF7L2 also plays metabolic roles in lipid and amino acid metabolism,  
434 and such diverse roles are possibly accomplished via its interactions with  
435 various transcriptional factors as shown above. Here, we found that TCF7L2  
436 also plays a role in viral transcription. TCF7L2 functions as a positive regulator  
437 of ENII/BCP activity in HBV via binding to the ENII region. Moreover, its  
438 interaction with PUF60 leads to further acceleration of ENII/BCP activity and  
439 3.5 kb RNA expression. It will be of interest to determine if 3.5 kb RNA  
440 expression regulated by TCF7L2 has an influence on metabolic gene  
441 expression mediated by TCF7L2 and if the interaction with PUF60 is also  
442 involved in TCF7L2-dependent regulation of cellular gene expression. Given  
443 the role as a host restriction factor that limits HBV replication, the positive  
444 regulation of ENII/BCP activity induced by PUF60, coupled with TCF7L2,  
445 might be an evolutionally acquired strategy to avoid or reduce antiviral effects  
446 via the RNA decay pathway.

447 In conclusion, we identified PUF60 as a versatile regulator of the HBV life  
448 cycle, capable not only of transcriptional up-regulation of 3.5 kb RNA  
449 expression, but also post-transcriptional involvement including accelerating 3.5  
450 kb RNA decay and suppressing 3.5 kb RNA splicing. It appears that PUF60  
451 potentially changes the balance of RNA generation and decay in a  
452 time-dependent manner. Although further detailed analyses to understand the  
453 regulatory mechanisms of HBV life cycle mediated by PUF60 are required,  
454 these findings lead to insight on the functional linkage between transcriptional  
455 and post-transcriptional regulations on viral replication and a potential

456 mechanism(s) to control antiviral host defense and viral persistence.

457

458

## 459 **Methods**

460

### 461 **Plasmids**

462 Plasmids containing the 1.24-fold HBV genomes derived from HBV  
463 genotypes Ae and Bj, pUC-HB-Ae and pUC-HB-Bj<sup>37,38</sup>, respectively, were gifts  
464 from Dr. Mizokami (National Center for Global Health and Medicine, Japan).  
465 pUC-HB-Ce, which contains the 1.24-fold HBV genome derived from a  
466 consensus sequence of HBV genotype Ce, was designed in accordance with  
467 the most common nucleotide observed among HBV genotype Ce clones  
468 (AB014381, AB205124, AB033551, AB198081, AY596108, AB198080,  
469 AB222714 and AY066028) at each position and was artificially synthesized by  
470 Eurofins Genomics (Ebersberg, Germany). DNA fragments of HBV **core**  
471 **promoter** derived from HBV genotypes B and C were designed in accordance  
472 with the most common nucleotide among HBV genotypes Bj and Ce,  
473 respectively, via searching the database of HBV sequences, and were then  
474 synthesized by Eurofins Genomics. To construct pGL4.74-HBpg-Ce,  
475 synthesized fragments corresponding to the nt 900-1817 region of the HBV  
476 genome digested by KpnI and HindIII were inserted upstream of the luciferase  
477 reporter gene of pGL4.74 (Promega, Madison, WI, USA). To construct  
478 pGL4.74-HBenIIcp-Bj/Ce, synthesized fragments corresponding to the nt  
479 1627-1817 region were amplified by PCR and cloned into pGL4.74 as

480 described above. The sequences of preS1 and preS2/S promoters were  
481 obtained from nt 2707-2847 and nt 2937-3204 regions, respectively, from a  
482 consensus sequence of genotype Ce. Promoter sequences of human ubiquitin  
483 C and human elongation factor 1 $\alpha$  subunit were obtained from pUB6 and pEF6  
484 (Thermo Fisher Scientific, Waltham, MA, USA), respectively, and subsequently  
485 inserted into pGL4.74. A series of deletion mutants, pGL4.74-HBenIIcp-Bj-D1–  
486 D10 (Fig. 5a), were generated based on pGL4.74-HBenIIcp-Bj. To create the  
487 PUF60 expression plasmid pcDNA-F-PUF60, the cDNA sequence of human  
488 PUF60 (Gene ID: 22827) was amplified by PCR using HuH-7 cells as the  
489 template, followed by digestion with HindIII and XbaI and subsequent insertion  
490 into pcDNA3.1 (Thermo Fisher Scientific). Plasmids expressing PUF60  
491 deletion mutants were generated via several PCRs using pcDNA-F-PUF60 as  
492 the template, resulting in pcDNA-F-PUF60-D1, -D2 and -D3 (Fig. 2a). To  
493 create the FBP expression plasmid pcDNA-HA-FBP, the cDNA sequence of  
494 human FBP (Gene ID: 8880) was amplified by PCR as described above,  
495 followed by digestion with HindIII and XbaI and subsequent insertion into  
496 pcDNA3.1. pcDNA-F-TCF7L2 is kindly gift from Prof. Peggy Farnham  
497 (University of Southern California). Expression plasmid for HNF4 $\alpha$  was  
498 generated previously<sup>39</sup>.

499

## 500 **Cell culture, transfection and RNA interference**

501 Human hepatoma derived cells [HuH-7, HepG2, HepG38.7-Tet and  
502 HepG-hNTCP-C4<sup>13</sup>] were maintained in Dulbecco's modified Eagle medium  
503 (DMEM) supplemented with 10% fetal bovine serum. Cells ( $1 \times 10^5$  cells/well in

504 a 24-well plate) were transiently transfected with 1 µg of plasmid DNA mixed  
505 with Lipofectamine LTX (Thermo Fisher Scientific). Synthetic siRNAs were  
506 provided by Ambion (Thermo Fisher Scientific) and were transfected into cells  
507 using Lipofectamine RNAiMAX Reagent (Thermo Fisher Scientific).

508

### 509 **HBV infection**

510 The culture supernatant of HepG38.7-Tet cells <sup>14</sup> was concentrated using  
511 Amicon Ultra-15 Centrifugal Filter Devices (MILLIPORE, Darmstadt, Germany)  
512 and the resulting HBV sample (HBV DNA copies  $2 \times 10^8$ /ml) was used as an  
513 inoculum for infection assays. HepG2-hNTCP-C4 cells cultured in a 24-well  
514 collagen-coated plate were transfected with pcDNA-F-PUF60 and then  
515 inoculated with the HBV sample (50 µl) in DMEM containing 4% polyethylene  
516 glycol (PEG) 8000 (Promega) after 12 h of transfection. The cells were washed  
517 3 times with PBS after 24 h of infection and then subjected to RT-qPCR after  
518 96 h of further culture.

519

### 520 **Quantification of HBV DNA and RNA**

521 Quantification of HBV DNA was carried out as previously described <sup>39</sup>. To  
522 quantify particle-associated HBV DNA, culture supernatants collected from  
523 transfected cells were treated with PNE solution (8.45% PEG, 0.445 M NaCl  
524 and 13 mM EDTA) for 1 h on ice. To remove free nucleic acids, the pellets were  
525 incubated at 37°C for 1 h with DNase I (TaKaRa, Shiga, Japan) and RNase  
526 (TaKaRa). After treatment with proteinase K at 56°C overnight, HBV DNA was  
527 isolated by phenol/chloroform extraction and ethanol precipitation. HBV DNA

528 copies were determined by qPCR with primers

529 5'-TCCCTCGCCTCGCAGACG-3' and 5'-GTTTCCCACCTTATGAGTC-3'.

530 Quantification of unspliced and spliced forms derived from HBV 3.5 kb

531 RNA and host-derived mRNAs were performed as described previously with

532 some modifications<sup>39,40</sup>. Total RNA was extracted from transfected cells with

533 TRI Reagent (Molecular Research Center, Cincinnati, OH, USA). After

534 treatment with DNase I and RNase inhibitor, cDNA templates were

535 synthesized and HBV RNAs were quantified by qPCR using the SYBR qPCR

536 Mix kit (Toyobo, Osaka, Japan) with the following primer sets:

537 5'-TCCCTCGCCTCGCAGACG-3' and 5'-GTTTCCCACCTTATGAGTC-3' for

538 unspliced 3.5 kb RNA, and 5'-CCGCGTCGCAGAAGATCT-3' and

539 5'-CTGAGGCCCACTCCCATAGG-3' for spliced RNAs derived from 3.5 kb

540 RNA. 5'-TTCTACAATGAGCTGCGTGTG-3' and 5'-

541 GGGGTGTTGAAGGTCTCAAA-3' for  $\beta$ -actin mRNA,

542 5'-AAGGGTGTTCGTTCCCTTT-3' and 5'-TAGTGTTTTCGCCAAGCAAA-3'

543 for HSPA1B mRNA, and 5'-AGCAGCAGCTCACCAACC-3' and

544 5'-CATCGATTGCAAAGGTGAGA-3' for PUF60 mRNA. For semi-quantitative

545 RT-PCR, cDNA templates were amplified with primers

546 5'-AGCCTCCAAGCTGTGCCTTGGGTG-3' and

547 5'-AACCACTGAACAAATGGCACTAGTAACTGAGC-3'. Unspliced and

548 spliced forms of 3.5 kb RNA were analyzed by agarose gel electrophoresis.

549

#### 550 **Northern blot analysis**

551 Total RNA was extracted from cells transfected with HBV plasmids using



552 TRI Reagent. After treatment with DNase I and RNase inhibitor, RNA samples  
553 were separated on 1.2% agarose gel with 7% formaldehyde at 60 V for 3 h in  
554 1x 3-(N-morpholino)propanesulfonic acid (MOPS) buffer (20 mM MOPS, 5 mM  
555 sodium acetate and 2 mM EDTA). The samples were transferred to a nylon  
556 membrane (Roche Diagnostics, Tokyo, Japan) with 20x SSC transfer buffer for  
557 16 h, and subsequently cross-linked to the membrane by ultraviolet light (120  
558 mJ/cm<sup>2</sup>). After washing, the blotted membrane was dried at room temperature.  
559 The blot was prehybridized with DIG Easy Hybridization buffer (Roche  
560 Diagnostics) in 68°C and hybridized with an appropriate DIG-labeled RNA  
561 probe labeled with DIG-11-UTP at 68°C overnight using the DIG Northern  
562 Starter Kit (Roche Diagnostics). To generate a DIG-labeled RNA probe with  
563 specific binding to HBV pregenome and HBs RNA, PCR fragments covering  
564 the nt 1998-2447 and nt 3205-488 regions were used as templates for in vitro  
565 transcription for the pregenome probe and HBs probe, respectively. RNA was  
566 labeled in the T7 promoter transcriptional system with DIG-11-UTP using a  
567 labeling mixture from the DIG Northern Starter Kit (Roche Diagnostics).  
568 Detection of the DIG-labeled probe on the blot was performed using CDP-Star  
569 detection reagent (GE Healthcare, Tokyo, Japan).

570

#### 571 **RNA degradation assay**

572 At day 2 post-transfection (pt) with pUC-HB-Ce and pcDNA-F-PUF60 or  
573 pcDNA-F-PUF60-D1, aliquots of the cells were harvested (designated as 0 h)  
574 and the other cells were treated with actinomycin D (5 µg/ml), followed by  
575 further culture for 6 or 12 h. At each time point, total RNA was extracted from

576 transfected cells with TRI Reagent and the HBV 3.5 kb RNA level was  
577 determined by RT-qPCR using the SYBR qPCR Mix kit (Toyobo).

578

#### 579 **Luciferase reporter assay**

580 Cells were transiently co-transfected with pcDNA-F-PUF60,  
581 pcDNA-F-PUF60-D1, -D2, -D3 or empty vector and the *Renilla* luciferase  
582 reporter which carries either of HBV promoter or host cellular promoter. At 24  
583 or 48 h pt, luciferase activities in cell lysates were measured with the *Renilla*  
584 luciferase reporter assay kit (Promega). Total protein concentrations in cell  
585 lysates were measured and used to normalize luciferase activities.

586

#### 587 **Gel mobility shift assay**

588 To determine *in vitro* binding between transcriptional factors and HBV  
589 DNA sequence of the ENII/BCP region, HNF1 $\alpha$ , SRY, TCF7L2, FOXM1, SP1,  
590 KLF5 and PUF60 were synthesized *in vitro*. In brief, cDNAs encoding these  
591 seven transcription factors were isolated from MGC clones (DNAFORM,  
592 Yokohama, Japan) and individually inserted into a pEU vector<sup>41</sup> to express an  
593 N-terminal FLAG-fusion protein. Each transcription factor was synthesized in a  
594 wheat cell-free system as previously described<sup>42</sup>. The 3'-ends of synthesized  
595 oligonucleotides (nt 1689-1726) were labeled by DIG-11-dUTP using the DIG  
596 gel shift kit (Roche Diagnostics). The labeled oligonucleotide probe was mixed  
597 with each synthesized protein, and the gel shift reaction was performed  
598 according to the manufacturer's instructions. The resulting samples were  
599 analyzed by native PAGE using a 6% gel. The labeled DNA-protein complexes

600 as well as the probe were blotted to a nylon membrane and detected using  
601 CDP-Star detection reagent (GE Healthcare, Buckinghamshire, UK).

602

### 603 **Immunoblotting and immunocytochemistry**

604 Immunoblotting was performed as previously described with slight  
605 modification <sup>43</sup>. Briefly, cell lysates were separated by SDS-PAGE and  
606 transferred onto polyvinylidene difluoride membranes. After blocking,  
607 membranes were incubated with an antibody against PUF60 (GeneTex, Irvine,  
608 CA), FLAG M2 (Sigma-Aldrich, Tokyo, Japan) or GAPDH (Santa Cruz  
609 Biotechnology, Dallas, TX) or HA (MBL, Nagoya, Japan) for 1 h. After washing,  
610 membranes were incubated with an HRP-conjugated secondary antibody (Cell  
611 Signaling Technology, Danvers, MA) for 0.5–1 h. Antigen-antibody complexes  
612 were detected using the ChemiDoc™ Imaging System (BIO-RAD Laboratories,  
613 Tokyo, Japan). For immunocytochemistry, cells grown on a glass bottom plate  
614 were fixed with 4% paraformaldehyde for 15 min and permeabilized in 0.5%  
615 Triton X-100 in PBS, followed by blocking with 1% bovine serum albumin  
616 (BSA). Immunocytochemistry was performed by incubation with the  
617 anti-PUF60 antibody (GeneTex, Irvine, CA) for 2 h, followed by incubation with  
618 Alexa Fluor 488 anti-rabbit IgG (H+L) antibody (Vector Laboratories,  
619 Burlingame, CA, USA) for 2 h. Double-stranded DNA was stained with Hoechst  
620 33342 (Dojin, Tokyo, Japan). Subcellular localization of PUF60 was observed  
621 under a confocal microscope (Leica TCS SP8; Leica, Wetzlar, Germany).

622

### 623 **ChIP assay**

624       ChIP followed by qPCR was performed as previously described with some  
625 modification <sup>44</sup>. Briefly, cells seeded in 100-mm dishes were transfected with  
626 siTCF7L2 RNA. After 48 h, the cells were co-transfected with pUC-HB-Ce and  
627 the pcDNA-F-PUF60 expression vector or empty vector. After 48 h, ChIP was  
628 performed by the Chromatin IP kit (Cell Signaling Technology). Proteins in the  
629 cells were cross-linked with DNA using 1% formaldehyde for 10 min at room  
630 temperature. The cross-linking reaction was stopped by the addition of 1 ml of  
631 10x glycine to each dish and incubation for 5 min at room temperature. After  
632 washing two times with ice-cold PBS, the cells were scraped into PIC buffer (1  
633 ml PBS and 5 µl 200x protease inhibitor cocktail) and sonicated to shear DNA  
634 to lengths between 150 and 900 bp. After 5-fold dilution of the sonicated cell  
635 supernatants in 100 µl 1x ChIP buffer and 0.5 µl 200x PIC,  
636 immunoprecipitations were carried out overnight at 4°C with the anti-FLAG M2  
637 antibody. Protein G agarose beads were added and incubated for 2 h at 4°C  
638 with rotation. DNA-protein complexes were eluted from the beads with a buffer  
639 containing 1% SDS and 0.1 M NaHCO<sub>3</sub>. The cross-links were reversed by  
640 incubating the eluates with proteinase K solution (final concentration: 200 mM  
641 NaCl and 266 µg/ml proteinase K) overnight at 65°C. DNA was recovered by  
642 phenol/chloroform extraction and ethanol precipitation. ChIPped DNA was  
643 analyzed for the presence of HBV gene promoter sequence by qPCR. Viral  
644 DNA covering the nt 1589-1828 region was detected by using the SYBR qPCR  
645 Mix kit (Toyobo) with the following primer set:  
646 5'-CTTCACCTCTGCACGTCGCATG-3' and  
647 5'-GTGAAAAAGTTGCATGGTGCTGGTG-3'.

648

### 649 **Immunoprecipitation**

650 Immunoprecipitation was performed as previously described with slight  
651 modification <sup>14</sup>. Briefly, cells were lysed with lysis buffer (0.5% NP-40 in PBS)  
652 and centrifuged at 15,000 rpm for 10 min at 4°C. The supernatants were  
653 incubated with Protein G agarose beads, which were prewashed with lysis  
654 buffer, and anti-HA antibody or anti-FLAG antibody for 60 min at 4°C. The  
655 samples were then centrifuged, and the resulting pellets were washed 4 times  
656 with lysis buffer and subjected to SDS-PAGE.

657

### 658 **Subcellular fractionation**

659 Cells were suspended with hypotonic buffer (0.5% NP40, 10 mM Tris-HCl  
660 pH8.0, 10 mM NaCl, 3 mM MgCl<sub>2</sub>, 5mM DTT), followed by centrifugation at  
661 500 × g for 5 min at 4 °C. The supernatant was collected and termed as the  
662 cytoplasmic fraction. The pellet containing the nuclear fraction was  
663 re-suspended with disruption buffer (1% Triton, 1% DOC, 0.1% SDS, 25 mM  
664 Tris-HCl pH 7.6, 150 mM NaCl, 1 mM EDTA, 5mM DTT).

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822

823       **Author Contributions Statement**

824       Tetsuro S., T.W., Tatsuya S., designed the study. S.S., K.N., M.I., Y.L., T.C.,  
825 H.T. and K.W. performed experiments and analyzed data. Tetsuro S. and S.S.  
826 wrote the main manuscript text. All authors reviewed the manuscript.

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828       **Competing financial interests**

829       The authors declare no competing financial interests.

830

831 **Figure legends**

832

833 Fig. 1 Involvement of PUF60 in regulation of HBV RNA expression. (a) A  
834 schematic diagram of HBV RNAs and regions used as probes for northern  
835 blotting is indicated (top). pcDNA-F-PUF60 or an empty vector (EV) was  
836 co-transfected with pUC-HB-Ce into HuH-7 cells. At day 2 or 4  
837 post-transfection (pt), total RNA was extracted from cells and separated on an  
838 agarose gel. HBV 3.5 kb RNA and spRNA (upper panels) and 3.5 kb RNA and  
839 HBs RNA (lower panels) were detected by northern blotting using probe PG (nt  
840 1998-2447) and probe S (nt 3205-488), respectively. Band intensities of 3.5 kb  
841 RNA on the blots with PG probe were determined by Image-J software and  
842 those of control samples (EV) were calculated as 1. (b) Total RNAs prepared  
843 as described above were used for semi-quantitative RT-PCR with (RT(+)) or  
844 without (RT(-)) reverse transcription. cDNA bands corresponding to unspliced  
845 3.5 kb RNA and its spliced forms (spRNAs) were detected by agarose gel  
846 electrophoresis. 18S ribosomal RNA (18S) was also detected. Immunoblotting  
847 indicated expression of PUF60 and GAPDH in transfected cells. (c) RT-qPCR  
848 analysis was performed to determine 3.5 kb RNA and spRNA levels in cells as  
849 described above. (d) Nuclear and cytoplasmic fractions of cells transfected  
850 with pUC-HB-Ce with pcDNA-F-PUF60 or EV were isolated and 3.5 kb RNA  
851 levels in each fraction were determined at days 1 and 4 pt. (e)  
852 Dose-dependent effect of PUF60 on 3.5 kb RNA levels was determined in cells  
853 transfected with pUC-HB-Ce with various concentrations of pcDNA-F-PUF60  
854 by RT-qPCR. (f) Effect of PUF60 expression on 3.5 kb RNA levels of various

855 HBV genotypes was determined in cells transfected with pcDNA-F-PUF60 and  
856 a plasmid carrying the 1.24-fold HBV genome derived from HBV genotype  
857 (GT) A, B or C. (c) - (f) Data are normalized to that of  $\beta$ -actin mRNA and values  
858 of "EV" (GT-A EV in case of (f)) are set to 1. Values shown represent means  $\pm$   
859 SD obtained from three independent samples. Statistical differences  
860 compared with the control (EV) are shown. \*\* $p < 0.01$ , Student's t test.

861 Full-length blots in (a) and (b) are presented in Supplementary Figures S10  
862 and S11, respectively.

863

864 Fig. 2 Effect of PUF60 deletion mutants on HBV 3.5 kb RNA expression. (a)  
865 Three PUF60 deletion mutants were used in this study. A schematic diagram of  
866 RNA recognition motifs (RRM1 and RRM2) and U2AF homology motif (UHM)  
867 within PUF60 is indicated at the top. (b) Subcellular localization of wild-type  
868 and mutant PUF60 strains was determined. At day 2 pt, cells were fixed and  
869 stained with Hoechst 33342, followed by immunostaining with anti-PUF60  
870 antibody. (c) Effect of over-expression of PUF60 deletion mutants on 3.5 kb  
871 RNA expression at days 2 and 4 pt was evaluated in cells co-transfected with  
872 pUC-HB-Ce. Data are normalized to that of  $\beta$ -actin mRNA and the values in  
873 cells transfected with EV are set to 1. Values shown represent means  $\pm$  SD  
874 obtained from three independent samples. Expression of each PUF60 deletion  
875 mutant was evaluated by immunoblotting. Full-length blot is presented in  
876 Supplementary Figure S12. Statistical significances compared with the control  
877 (EV) were shown. \* $p < 0.05$ , \*\* $p < 0.01$ , Student's t test.

878

879 Fig. 3 Effect of PUF60 on HBV production. (a) Particle-associated HBV DNA  
880 in culture supernatants of cells transfected with pUC-HB-Ce and  
881 pcDNA-F-PUF60 or empty vector (EV) was quantitatively measured at days 2  
882 and 5 pt. (b) After 12 h of transfection with pcDNA-F-PUF60 or EV,  
883 HepG2-hNTCP-C4 cells were infected with HBV and cultured for 5 days, and  
884 total cellular RNA was analyzed by RT-qPCR to determine levels of **3.5 kb**  
885 RNA (left) and spRNAs (right). (a) and (b) Values shown represent means  $\pm$   
886 SD obtained from three independent samples. Statistical differences  
887 compared with the control (EV) are shown. \* $p < 0.05$ , Student's t test.

888  
889 Fig. 4 Up-regulation of HBV **core promoter** activity induced by PUF60. (a)  
890 Effect of PUF60 expression on HBV or cellular promoter activities was  
891 analyzed by transfection of HuH-7 cells with the luciferase reporter carrying the  
892 entire **core promoter** (nt 900-1817), ENII/BCP (nt 1627-1817), preS1 promoter  
893 (nt 2707-2847), preS2/S promoter (nt 2937-3204), human ubiquitin C promoter  
894 or human elongation factor 1 $\alpha$  promoter and pcDNA-F-PUF60 or empty vector  
895 (EV). Reporter activities in the cells were measured at 24 h pt. Values are  
896 normalized to total protein concentrations in cell lysates. (b) Knockdown effect  
897 of PUF60 on **core promoter** activity (left) and **3.5 kb** RNA expression (middle)  
898 as well as knockdown efficiency of PUF60 (right) were assessed. At 2 days  
899 after introducing PUF60 siRNAs (siPUF60) or its negative control (siNC),  
900 HuH-7 cells were transfected with pGLHBp900/1817 or pUC-HB-Ce and then  
901 reporter activities and RNA levels, respectively, were measured after 2 days of  
902 further culture. PUF60 mRNA expression was also determined. (c) Effect of

903 PUF60 deletion on activation of the **core promoter** was assessed. HuH-7 cells  
904 were transfected with pGLHBp900/1817 and a plasmid expressing either  
905 wild-type PUF60, PUF60-D1, -D2, -D3 or EV. Reporter activities were  
906 measured at day 1 pt. (a) - (c) Data are normalized to that of  $\beta$ -actin mRNA  
907 and the values in cells transfected with EV or siNC are set to 1. All assays  
908 were performed in triplicate and results are presented as means  $\pm$  SD.  
909 Statistical differences compared with the control (EV or siNC) are shown.  
910 \* $p < 0.05$ , \*\* $p < 0.01$ , Student's t test.

911

912 Fig. 5 Identification of the ENII/BCP element(s) responsible for transcriptional  
913 regulation by PUF60. A series of reporter constructs with partial deletions  
914 within ENII/BCP were generated (left). Luciferase activities were determined in  
915 cells transfected with each reporter construct and pcDNA-F-PUF60 or empty  
916 vector (EV) at day 2 pt (right). Relative changes in reporter activities induced  
917 by PUF60 calculated as the ratios of reporter activities in cells expressing  
918 PUF60 to those in control cells are shown.

919

920 Fig. 6 Involvement of TCF7L2 in up-regulation of **core promoter** activity. (a)  
921 Transcription-related proteins from database search (HNF1 $\alpha$ , SRY, TCF7L2,  
922 SP1, FOXM1 and KLF5) and PUF60 were synthesized *in vitro* and used for the  
923 gel shift assay with an end-labeled oligonucleotide probe (nt 1689-1726). (b)  
924 Interaction of PUF60 with TCF7L2 in cells was tested. Cells transfected with  
925 pcDNA-HA-PUF60 or -PUF60-D2 and pcDNA-F-TCF7L2 or -HNF4 $\alpha$  plasmids  
926 were lysed at day 2 pt and subjected to immunoprecipitation (IP) with anti-HA

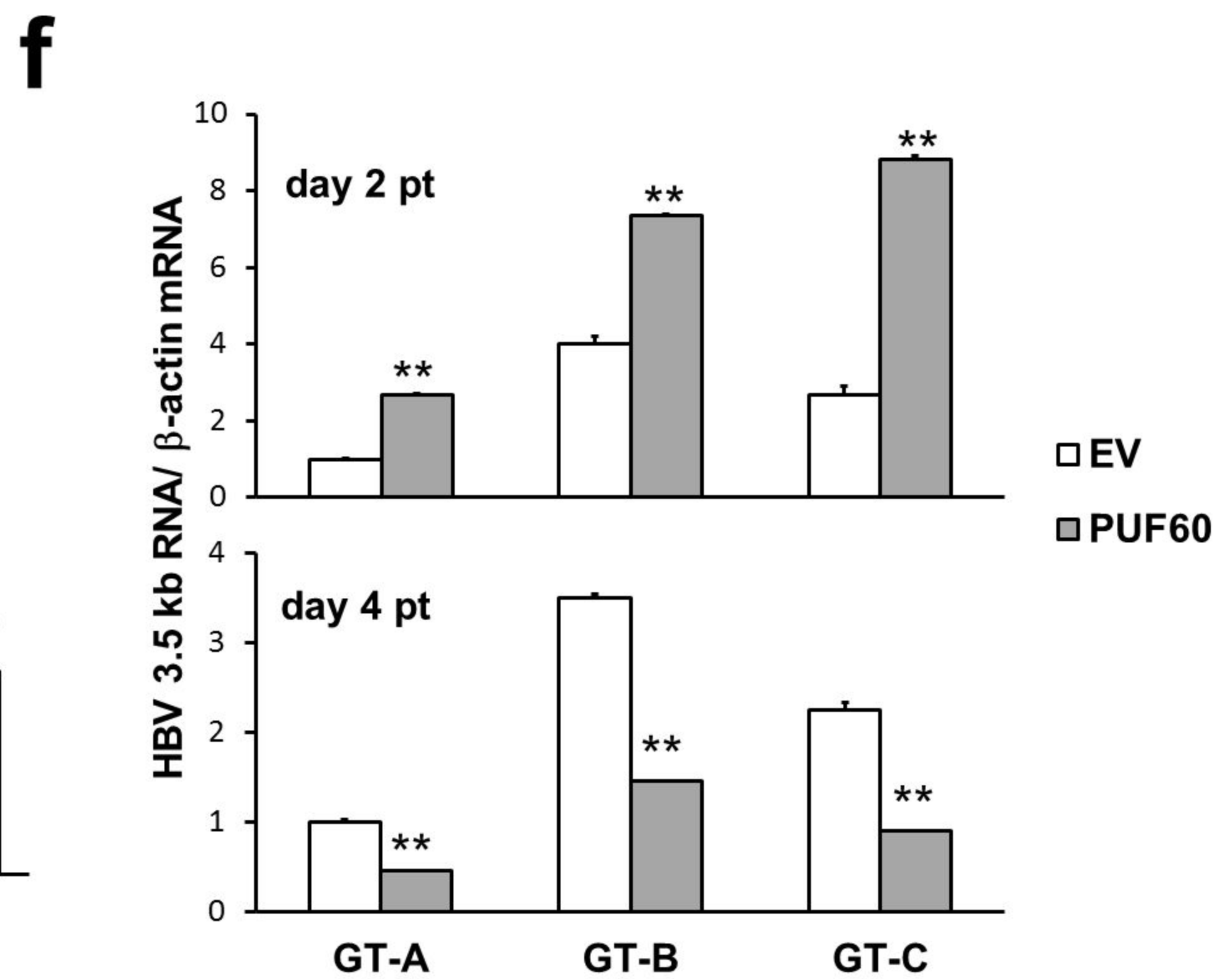
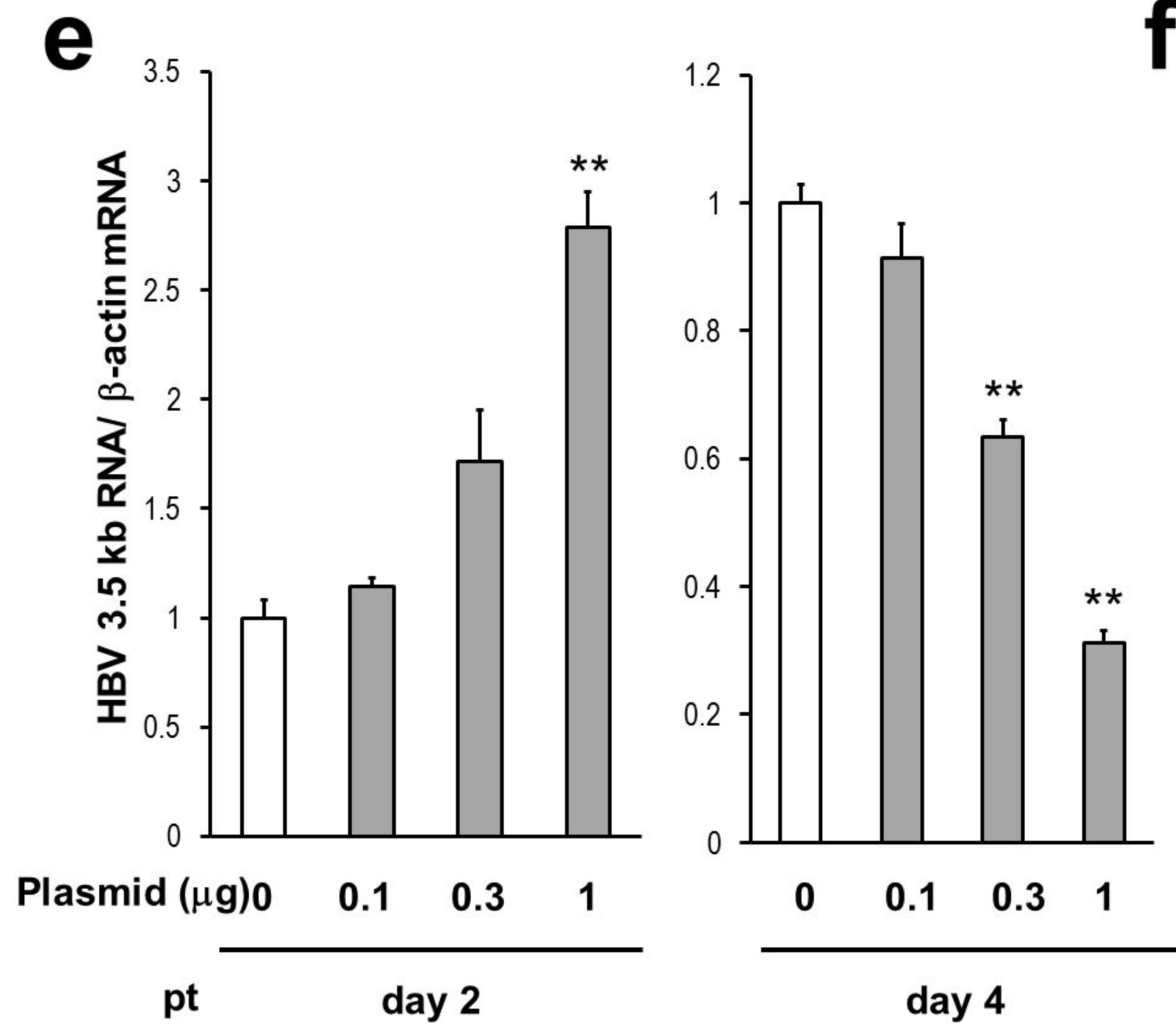
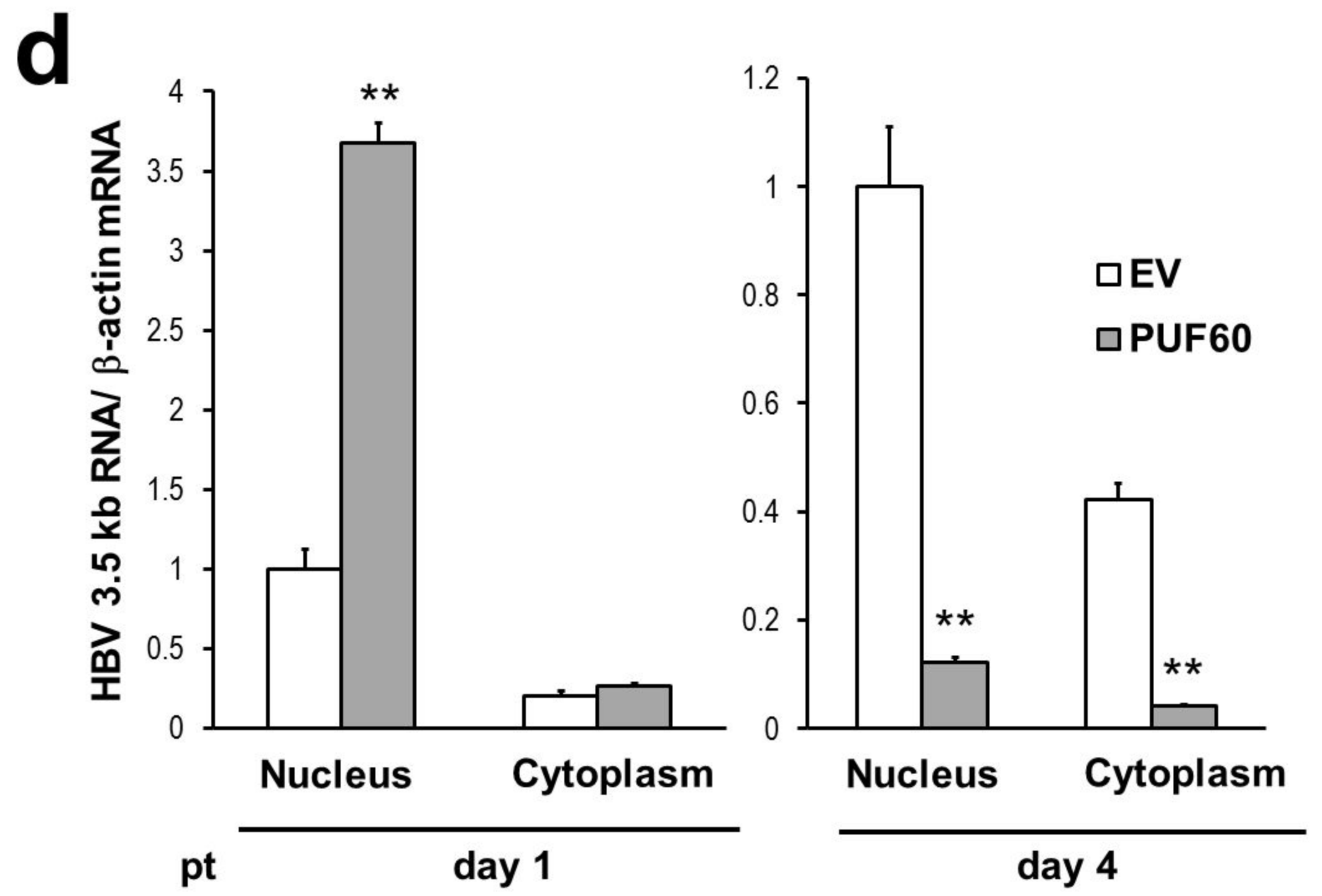
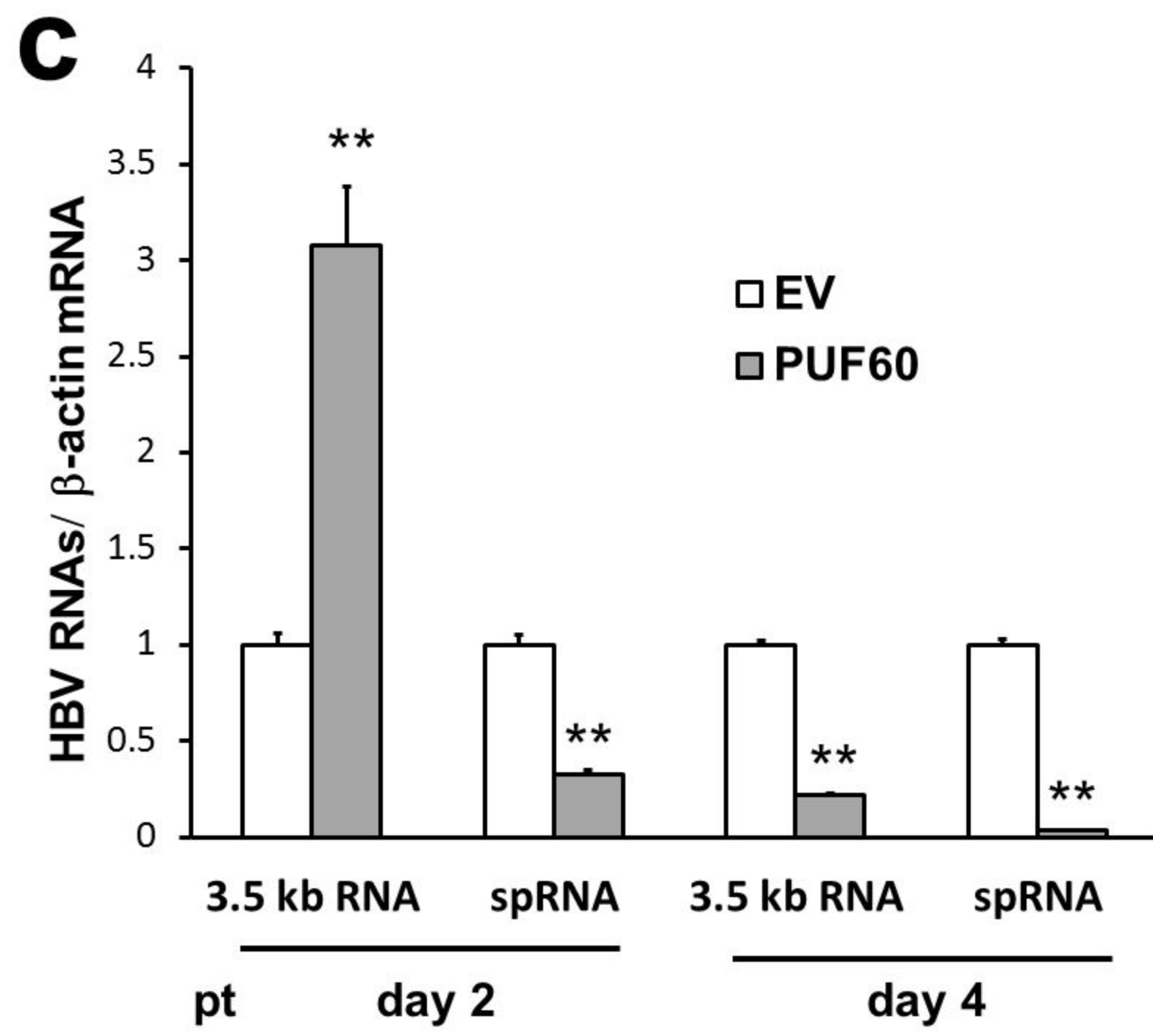
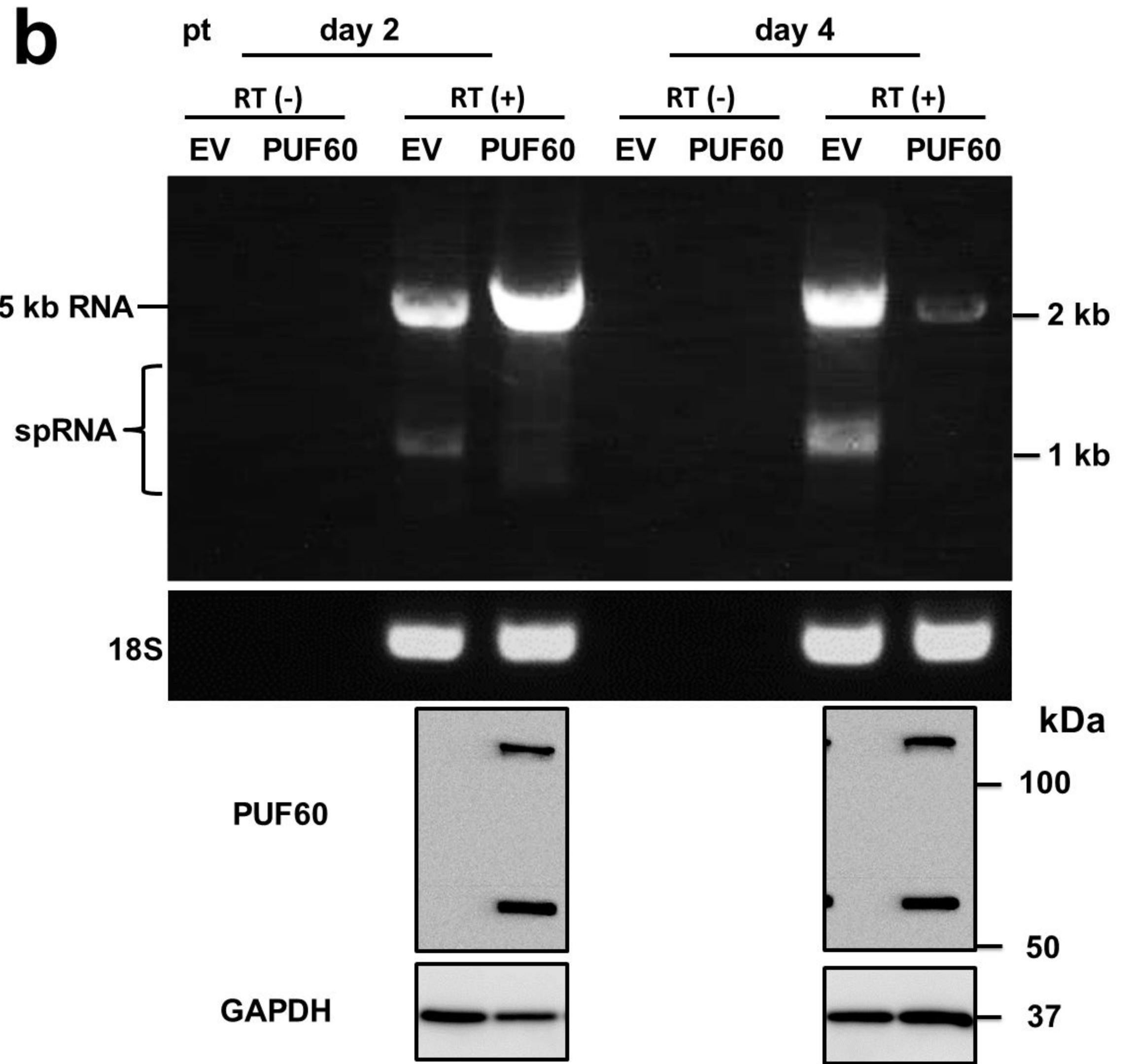
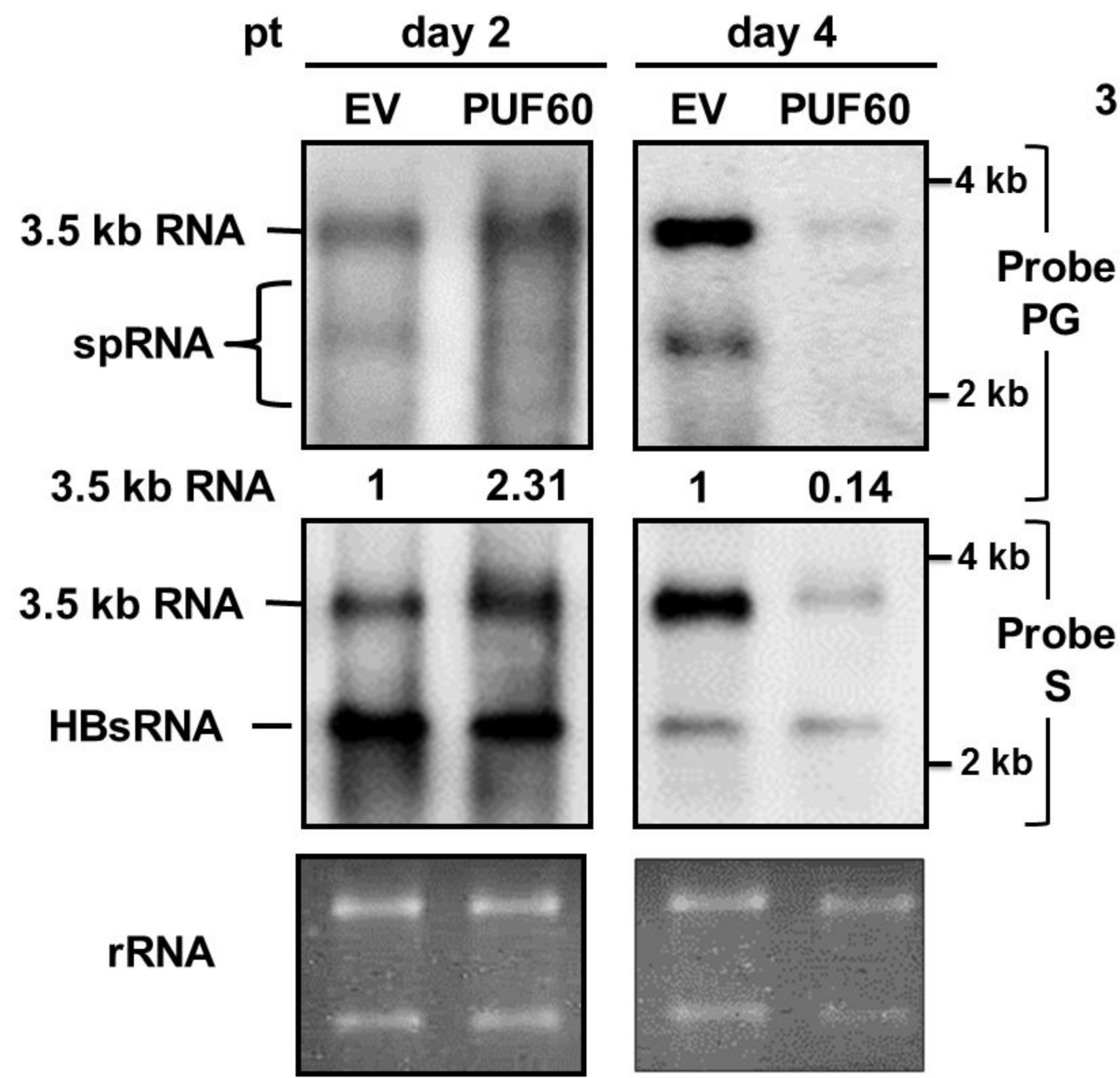
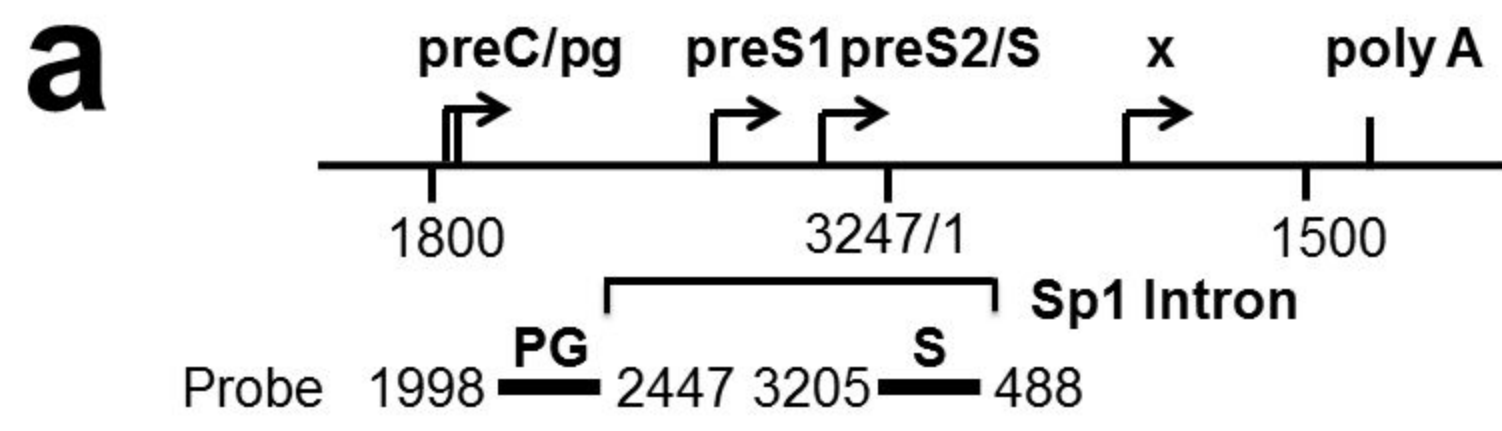


927 antibody. Resulting precipitates and whole cell lysates were examined by  
928 immunoblotting using anti-FLAG, anti-HA or anti-GAPDH antibody. (c) ChIP  
929 assay was performed to determine recruitment of PUF60 to the **core promoter**  
930 in cells. After 2 days with or without knockdown of TCF7L2, cells were  
931 transfected with pcDNA-F-PUF60 or empty vector (EV). Further 2 days later,  
932 cell lysates were immunoprecipitated with anti-FLAG antibody, and HBV DNA  
933 in the precipitates was measured by qPCR. FLAG-PUF60 and GAPDH in the  
934 precipitates were detected by immunoblotting. (d) Effect of TCF7L2 expression  
935 on ENII/BCP activity was determined by the reporter assay. Cells were  
936 transfected with pcDNA-F-PUF60 or -TCF7L2 or both and pGLHBp1627/1817.  
937 At 24 h pt, *Renilla* luciferase activities in cells were measured. (e) Effect of  
938 TCF7L2 over-expression with or without PUF60 on **3.5 kb** RNA expression  
939 was determined. Cells were transfected with pcDNA-F-PUF60 or -TCF7L2 or  
940 both and pUC-HB-Ce. At day 2 or 4 pt, total RNA was extracted and HBV **3.5**  
941 **kb** RNA level was assessed by RT-qPCR. Results were normalized to that of  
942  $\beta$ -actin mRNA. (f) Effect of FBP over-expression with or without PUF60 on **core**  
943 **promoter** and ENII/BCP activities was assessed. Cells were transfected with  
944 pcDNA-HA-FBP or -F-PUF60 or both and pGLHBp900/1817 or  
945 pGLHBp1627/1817. Luciferase activities in cell lysates were measured at day  
946 2 pt. (d) - (f) The values in cells transfected with EV are set to 1. Results are  
947 presented as means  $\pm$  SD from at least three independent samples. Statistical  
948 differences compared with the negative control (EV only) are shown. \* $p < 0.05$ ,  
949 \*\* $p < 0.01$ , Student's t test. **Full-length blots in (b) and (c) are presented in**  
950 **Supplementary Figures S13 and S14, respectively.**

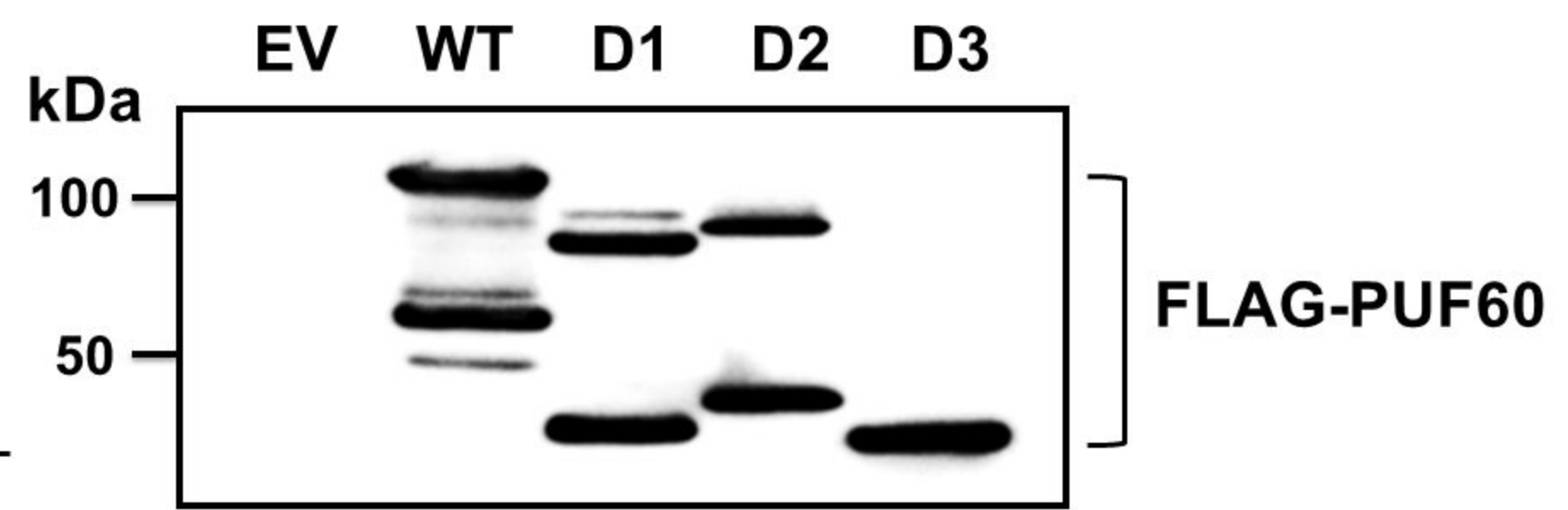
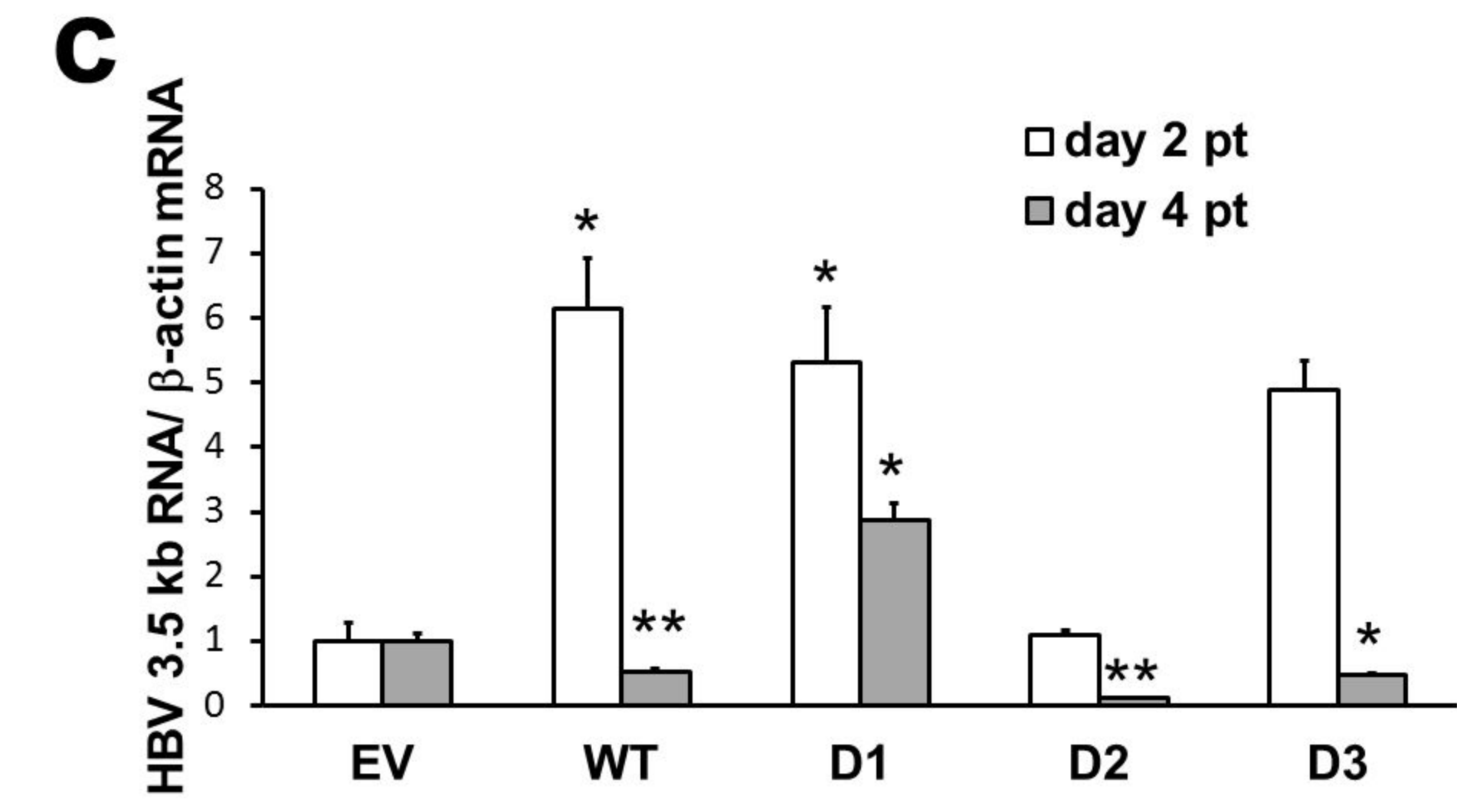
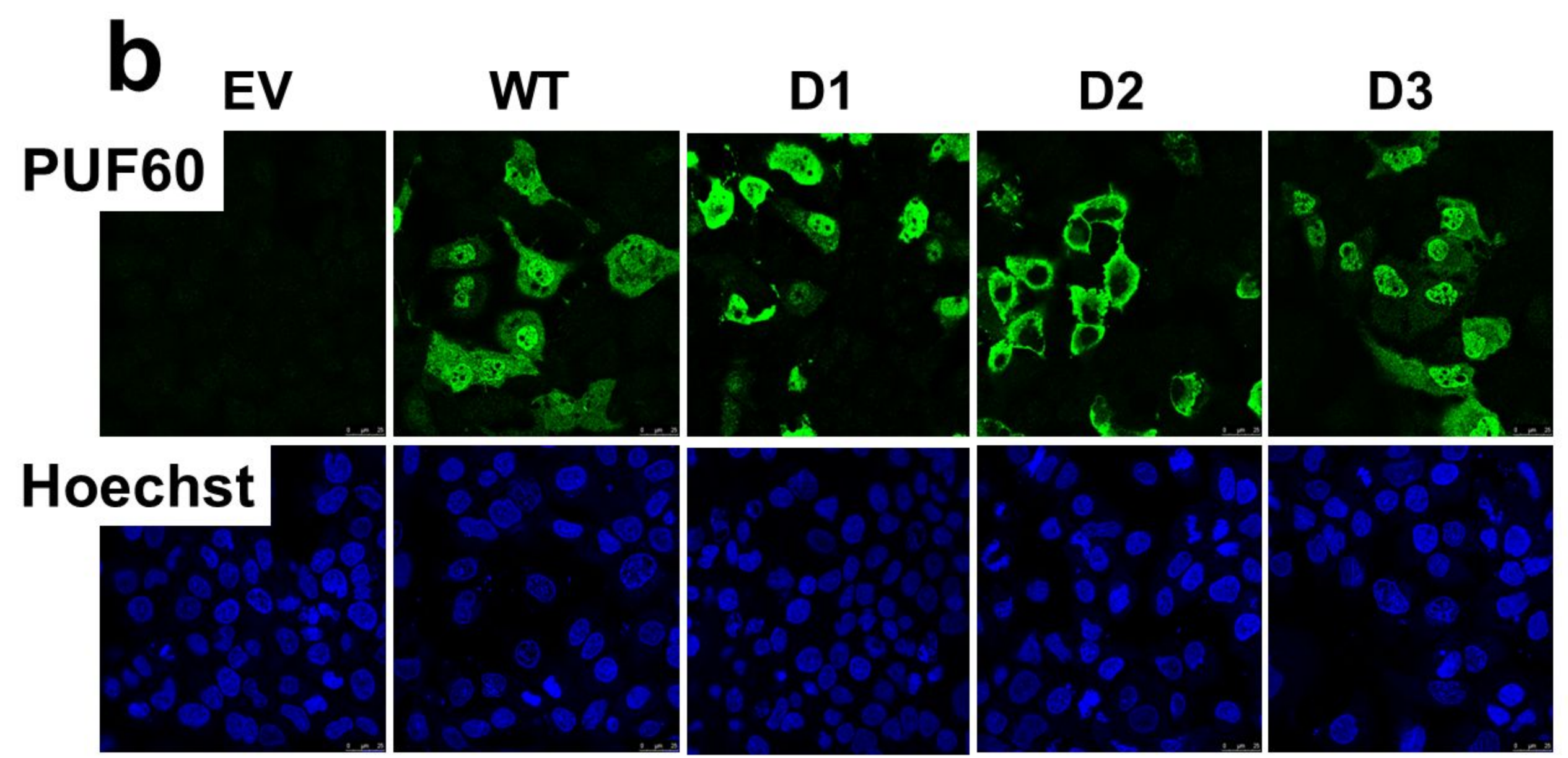
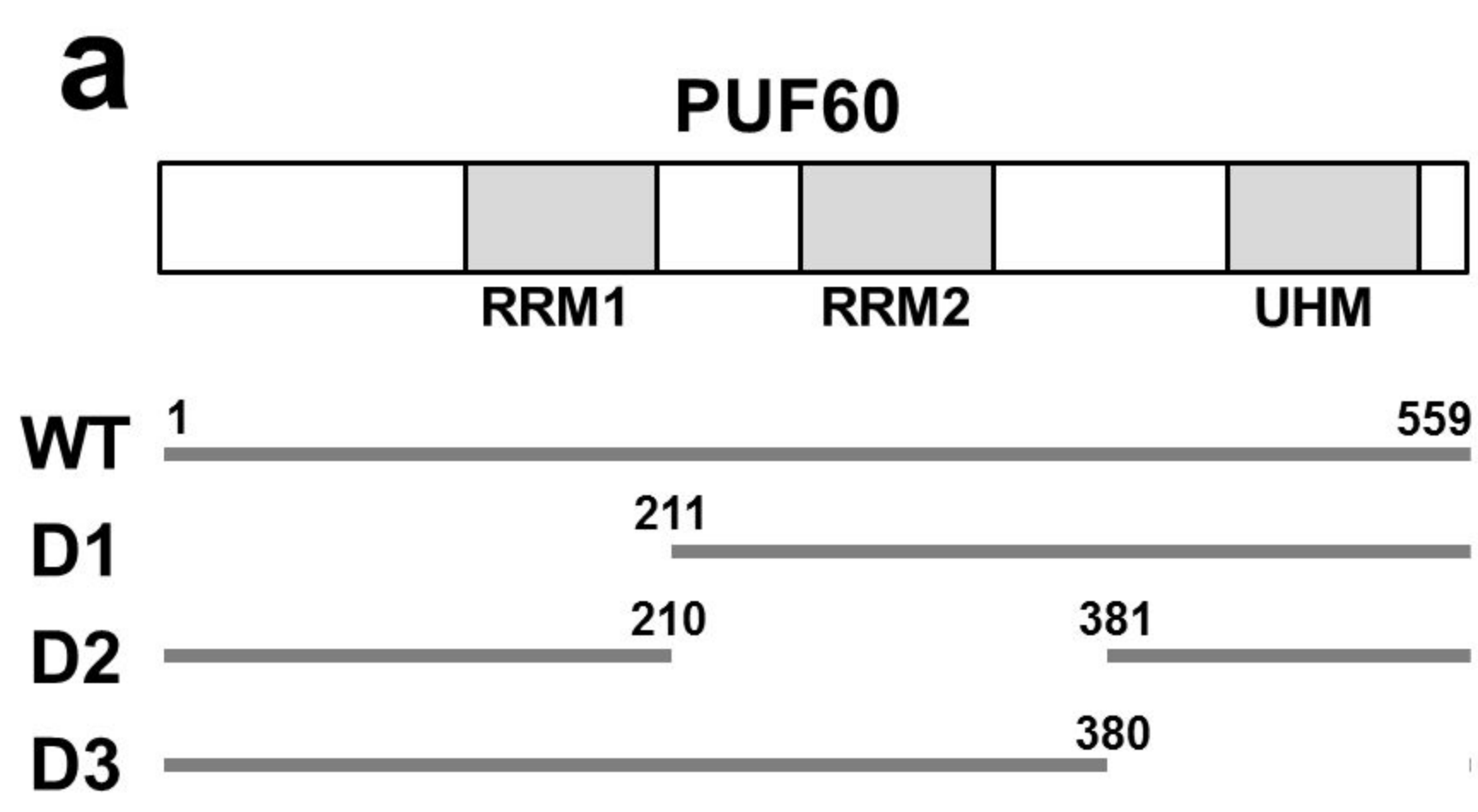
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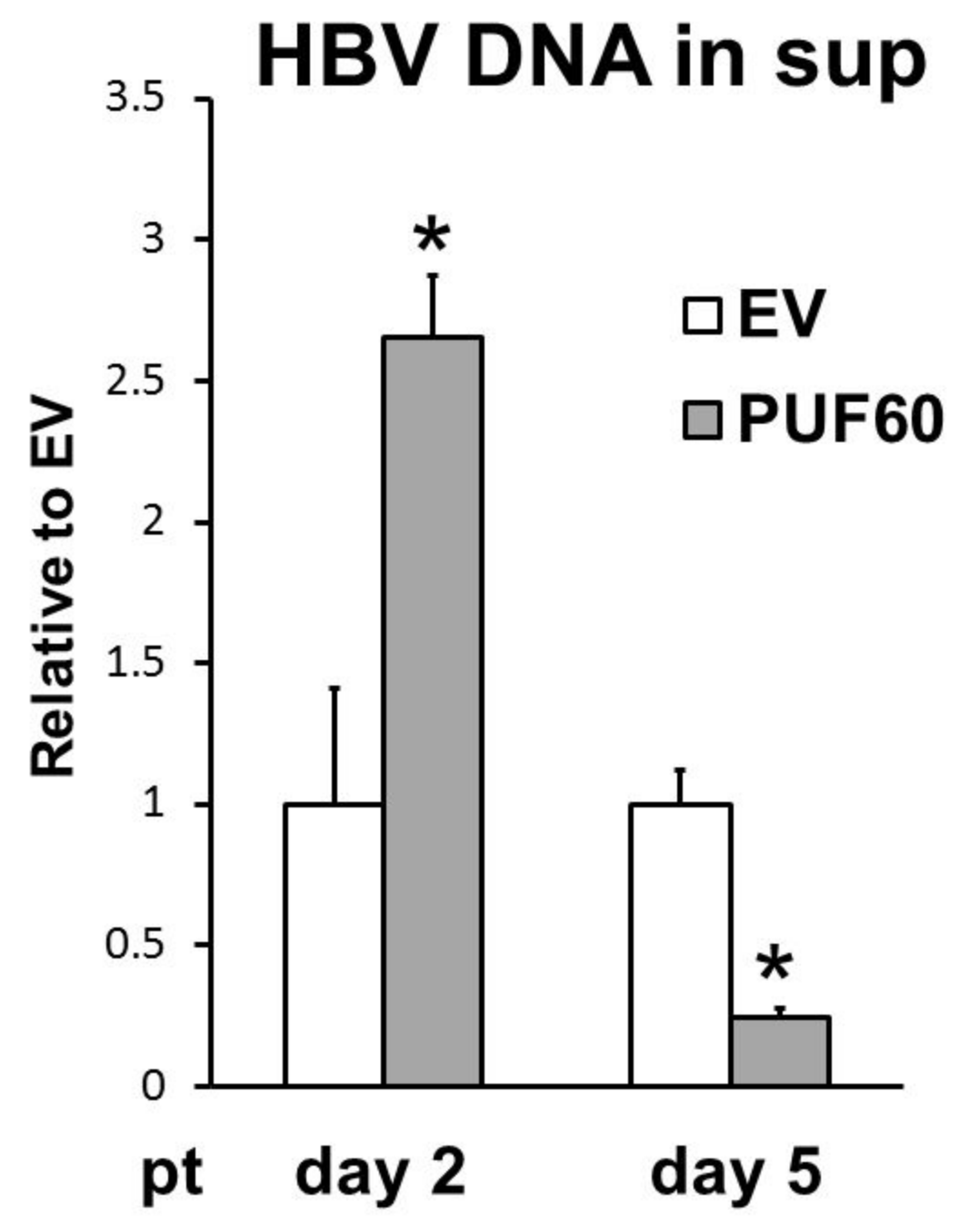
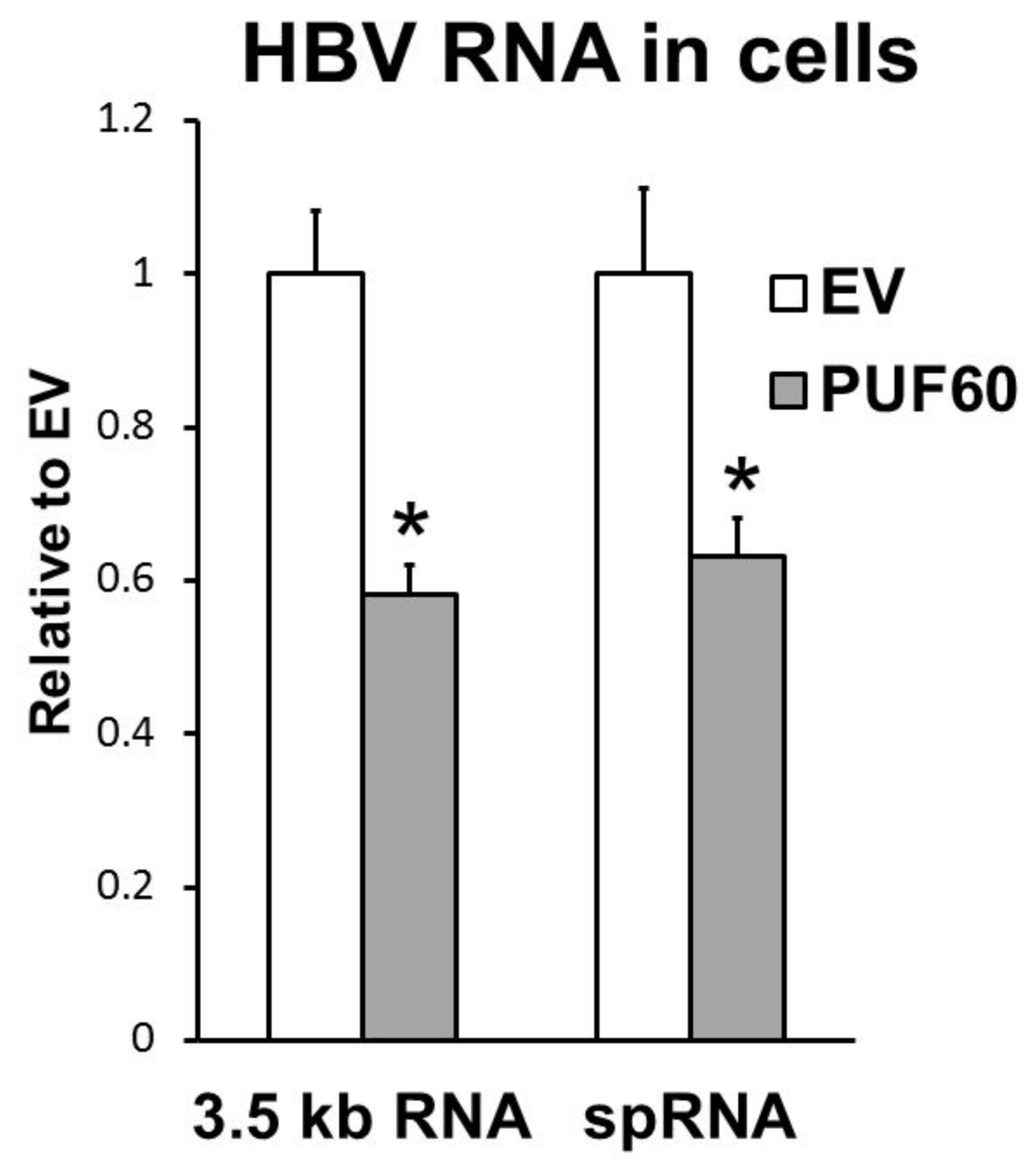
952 Fig. 7 Involvement of PUF60 in HBV 3.5 kb RNA degradation. (a) Time course  
953 changes in HBV 3.5 kb RNA and HSPA1B mRNA levels were determined. At  
954 day 2 pt with pUC-HB-Ce and pcDNA-F-PUF60, -PUF60-D1 or empty vector  
955 (EV), aliquots of cells were harvested (0 h) and the remaining cells were  
956 treated with actinomycin D, followed by 6- or 12 h culture. At each time point,  
957 total RNA was extracted and the RNA levels were assessed by RT-qPCR. The  
958 values of each group at 0 h were set to 1. Expression of PUF60, PUF60-D1  
959 and GAPDH was detected by immunoblotting. (b) Interaction of PUF60 with  
960 3.5 kb RNA in cells was examined. HuH-7 cells were transfected with  
961 pUC-HB-Ce and pcDNA-F-PUF60, -PUF60-D1 or EV, followed by  
962 immunoprecipitation (IP) with anti-FLAG antibody at day 2 pt. HBV 3.5 kb RNA  
963 level in the precipitates was determined by RT-qPCR (left). The precipitates  
964 and whole cell lysates used in IP were examined by immunoblotting using  
965 anti-FLAG or anti-GAPDH antibody. An arrowhead indicates non-specific  
966 bands. (c) Effects of PUF60 and PUF60-D1 on 3.5 kb RNA and spRNA levels  
967 were tested. Cells were transfected with pUC-HB-Ce and pcDNA-F-PUF60,  
968 -PUF60-D1 or EV, followed by RT-qPCR for 3.5 kb RNA at days 2 and 4 pt  
969 (left). At day 2 pt, spRNA levels in each transfectant were determined by  
970 RT-qPCR (middle) and semi-quantitative RT-PCR (right). The values in cells  
971 transfected with EV are set to 1. (d) Effect of PUF60 knockdown on the ratio of  
972 spliced/unspliced 3.5 kb RNA at day 2 and 4 pt. (e) Effect of PUF60-D1  
973 over-expression on HBV production was assessed. Particle-associated HBV  
974 DNA in culture supernatants as well as 3.5 kb RNA in these cells transfected

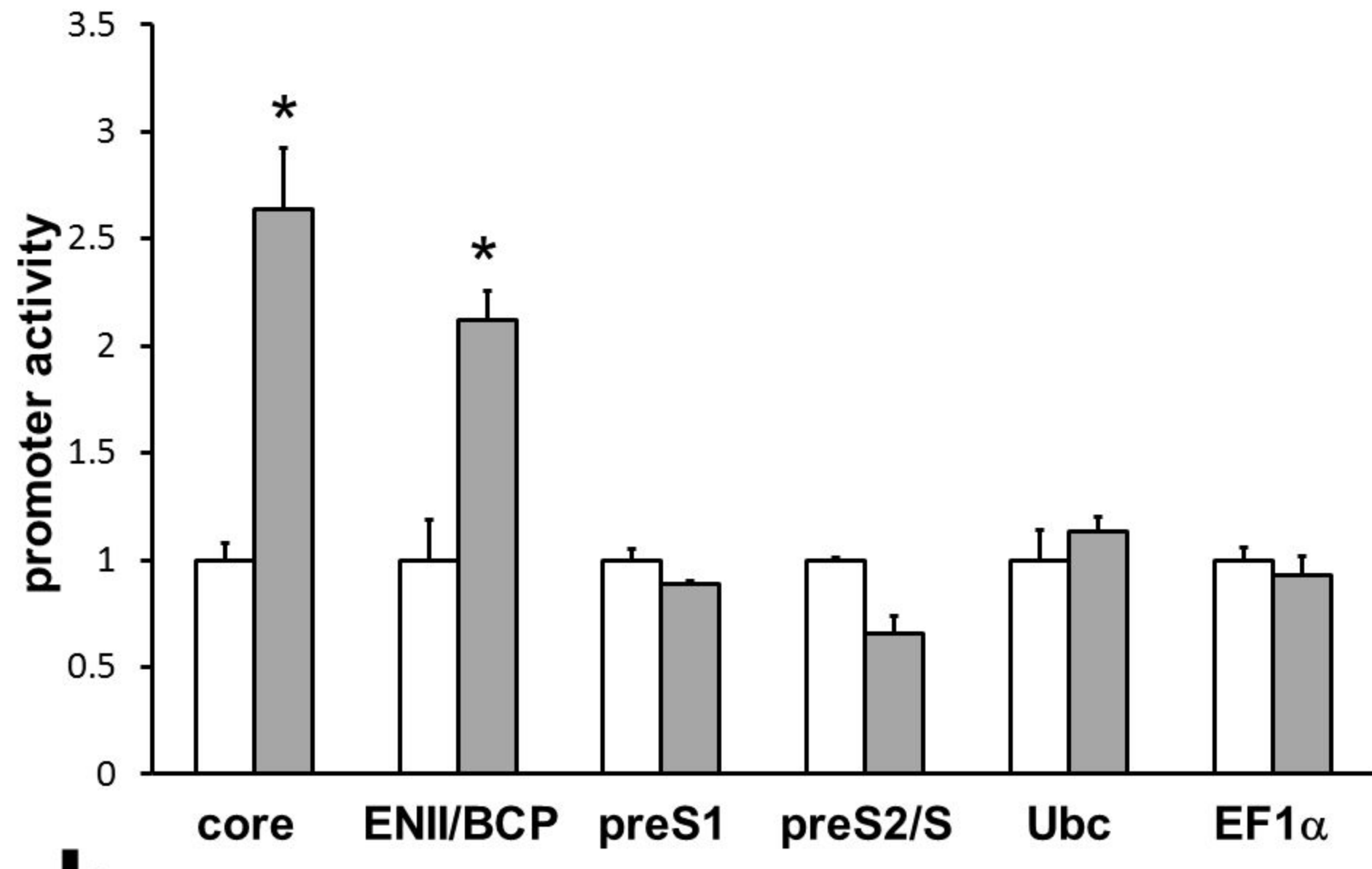
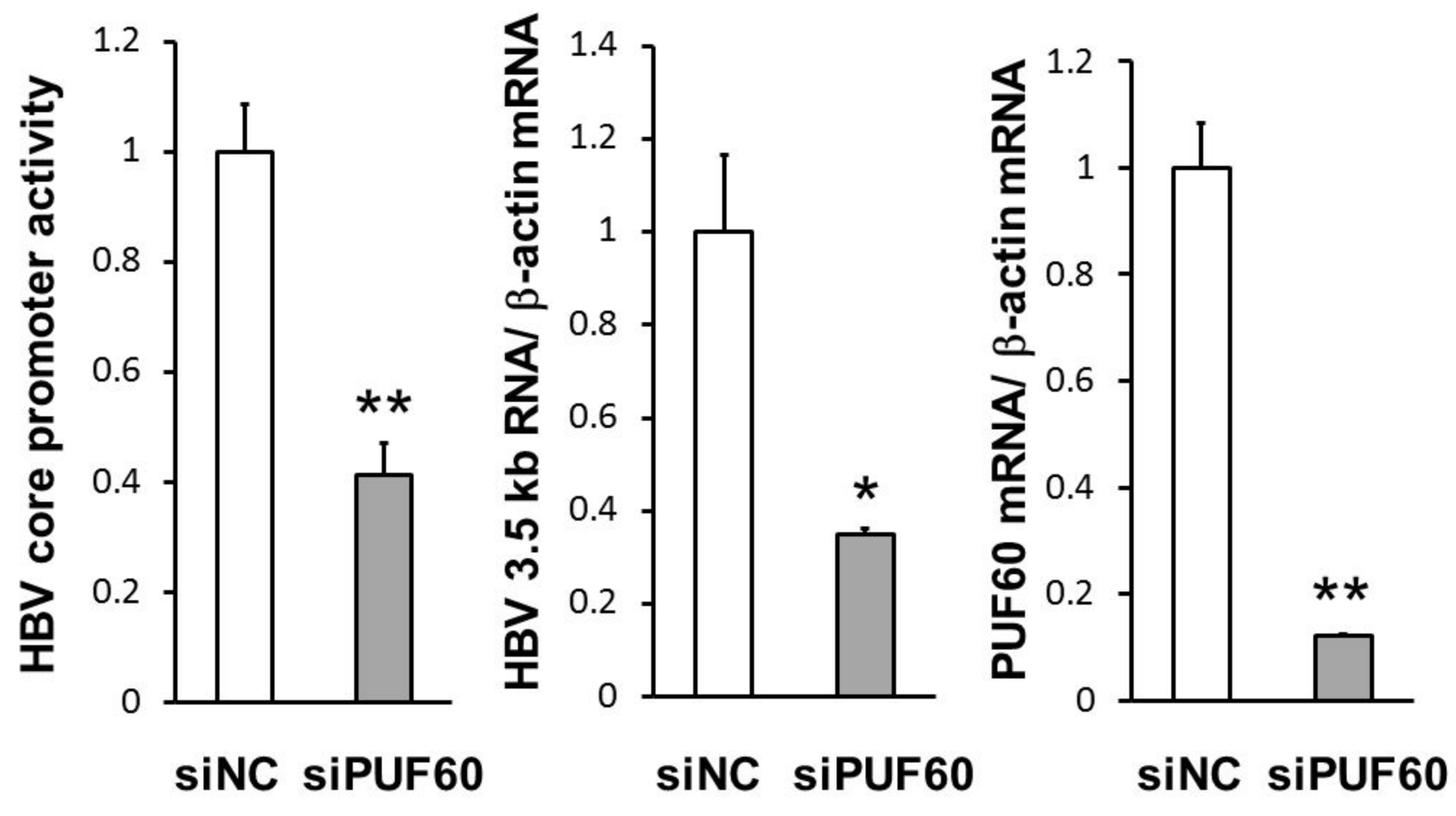
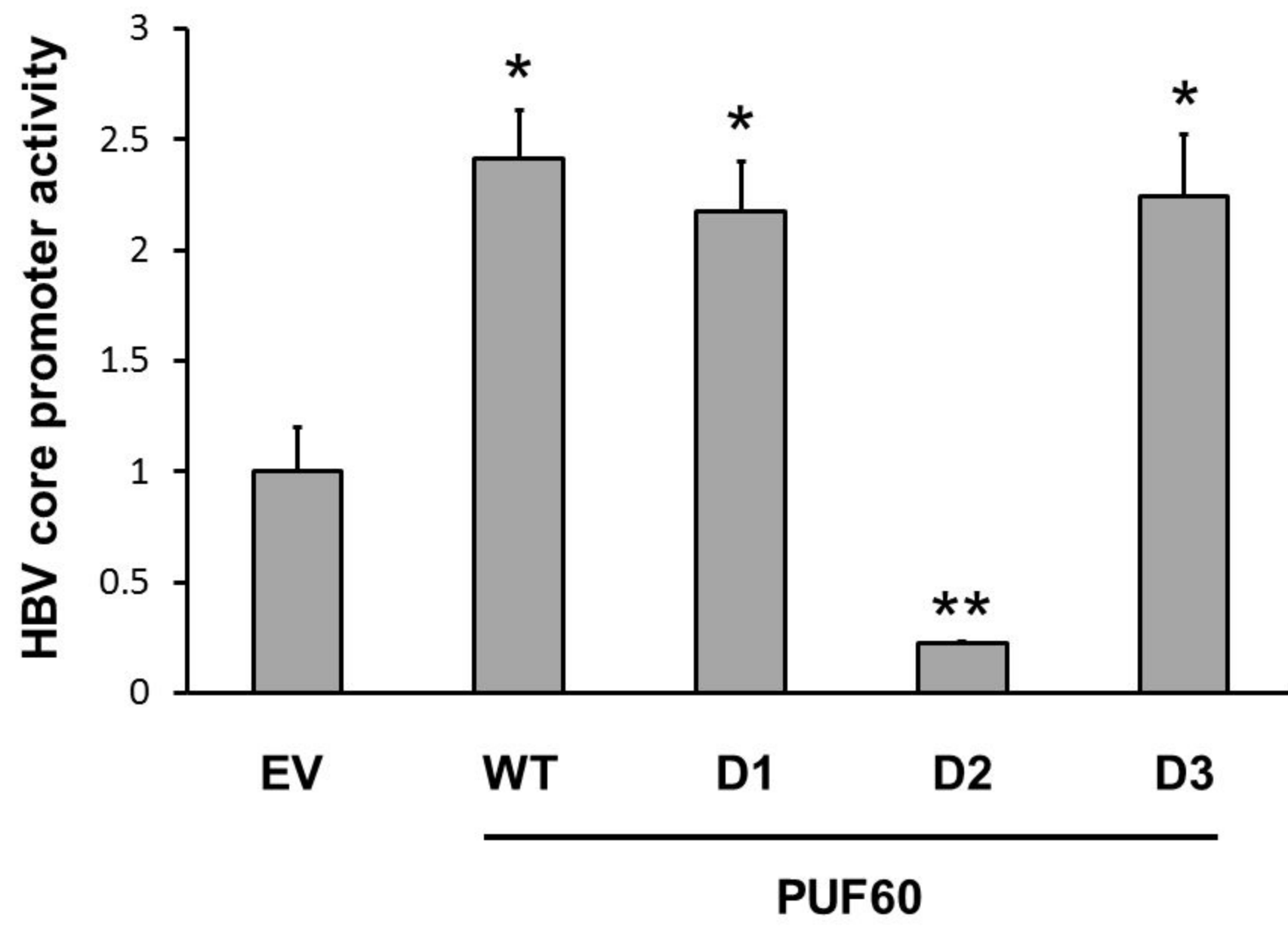
975 with pUC-HB-Ce and pcDNA-F-PUF60-D1 or EV were measured at day 5 pt.  
976 (a) - (e) Assays were performed in triplicate and results are presented as  
977 means  $\pm$  SD. Statistical differences compared with the control (EV) are shown.  
978 \* $p < 0.05$ , \*\* $p < 0.01$ , one-way ANOVA followed by Tukey's test (a) or Student's t  
979 test (b-e). Full-length blots in (a), (b) and (c) are presented in Supplementary  
980 Figures S15, S16 and S17.

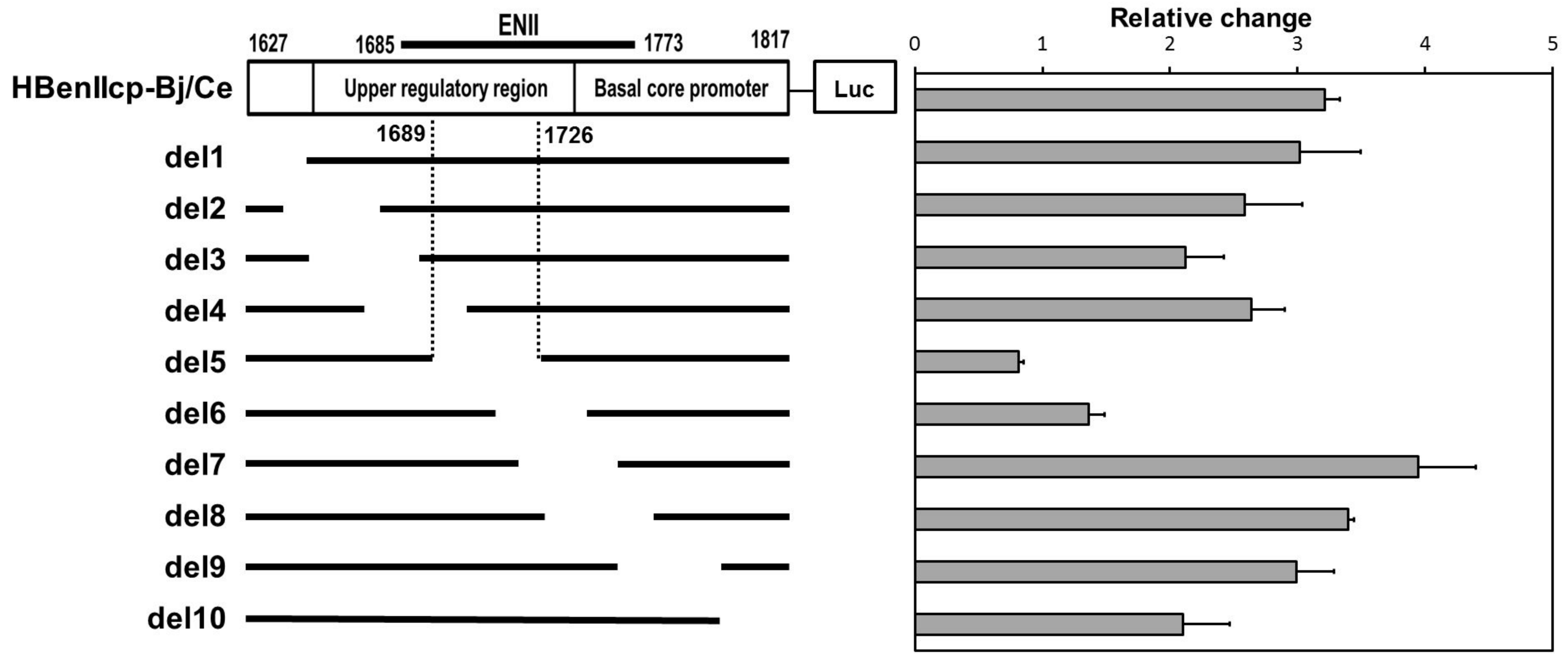




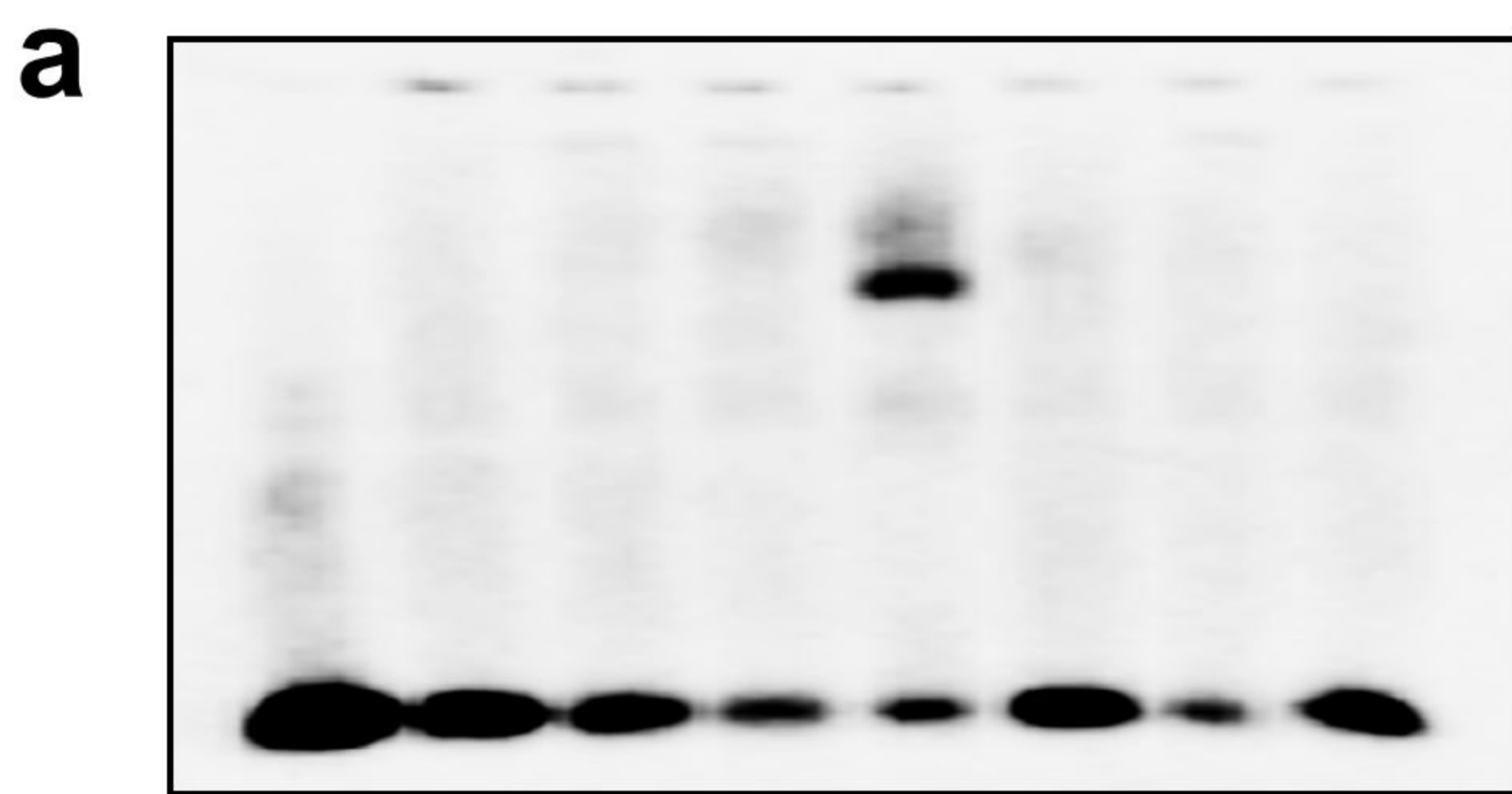


**a****b**

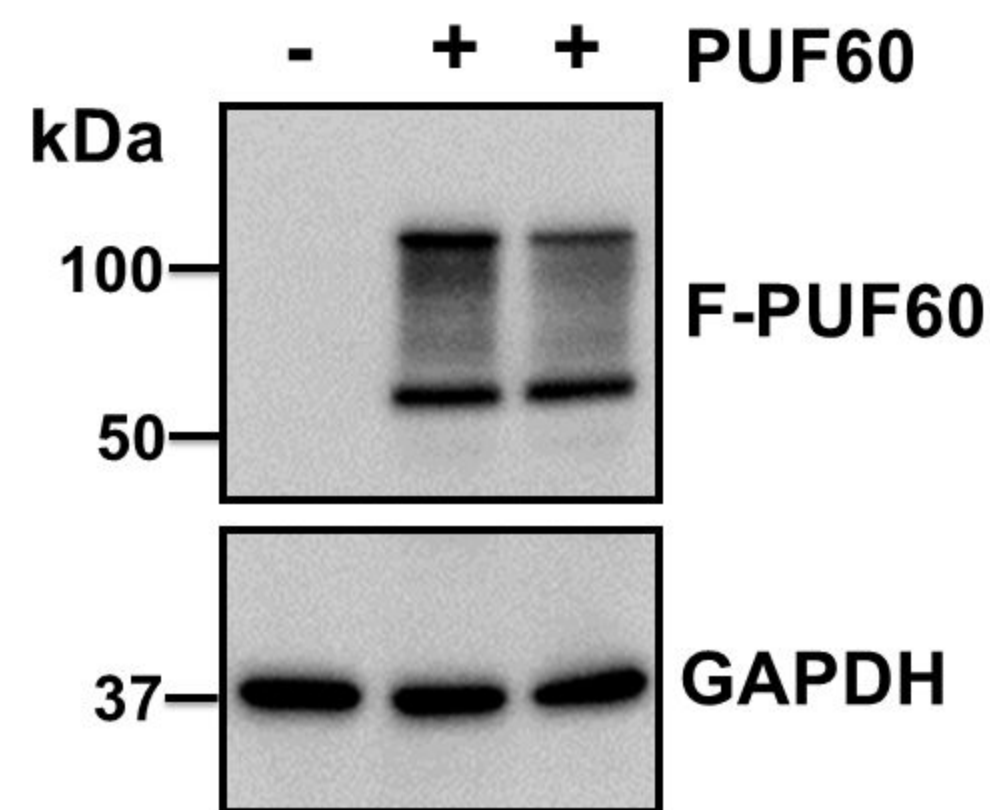
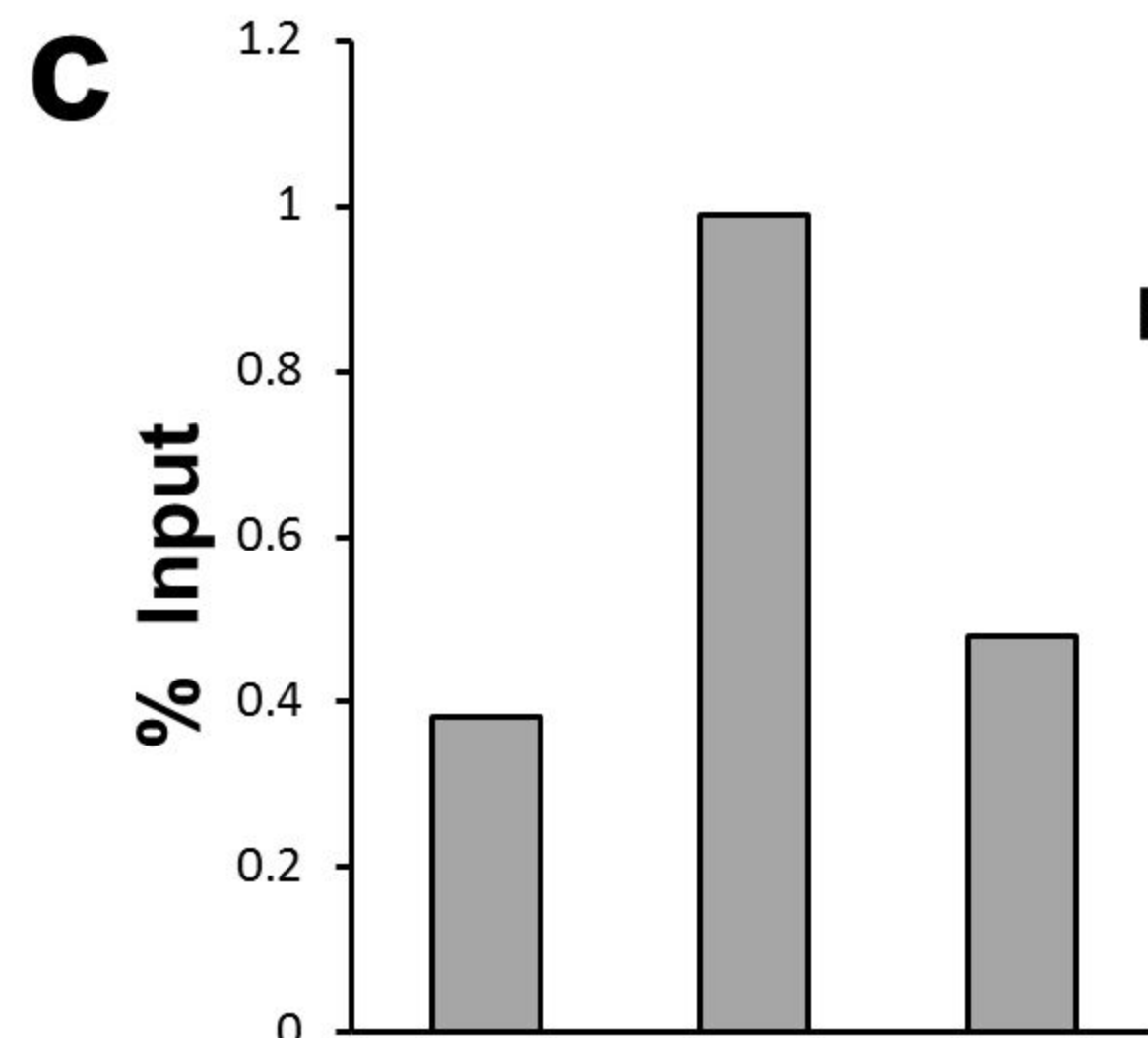
**a****b****c**



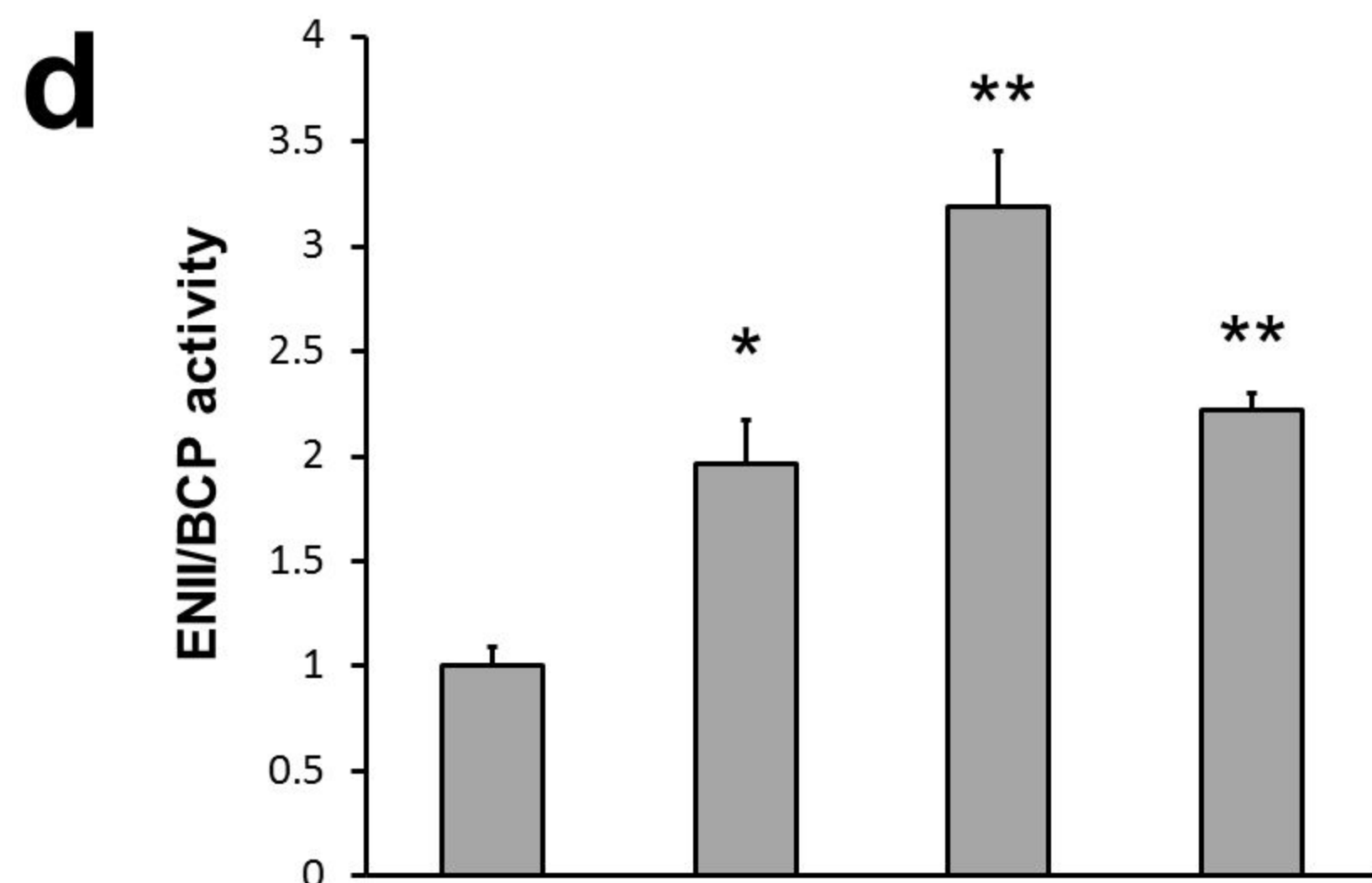




protein - PUF60 HNF1α SRY TCF7L2 SP1 FOXM1 KLF5



F-PUF60 - + +  
siTCF7L2 - - +



F-PUF60 - + + -  
F-TCF7L2 - - + +

