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	Yutaro, Nagata, Toshi, Suda, Takafumi
	メールアドレス:
	所属:
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Development of a novel T cell-oriented vaccine using CTL/Th-hybrid epitope long peptide and biodegradable microparticles, against an intracellular bacterium

Kazuki Tanaka (41231644@hama-med.ac.jp)¹, Noriyuki Enomoto (norieno@hama-med.ac.jp)^{1, 2, *}, Masahiro Uehara (ueueueu@gmail.com)^{1, 3}, Kazuki Furuhashi (k.furu@hama-med.ac.jp)¹, Shogo Sakurai (sakushow@hama-med.ac.jp)¹, Hideki Yasui (yassy19781119@gmail.com)¹, Masato Karayama (karayama@hama-med.ac.jp)¹, Hironao Hozumi (hozumi@hama-med.ac.jp)¹, Yuzo Suzuki (yuzosuzu@hama-med.ac.jp)¹, Tomoyuki Fujisawa (fujisawa@hama-med.ac.jp)¹, Naoki Inui (inui@hama-med.ac.jp)⁴, Yutaro Nakamura (nakayuta@hama-med.ac.jp)¹, Toshi Nagata (tnagata@hama-med.ac.jp)⁵, and Takafumi Suda (suda@hama-med.ac.jp)¹

¹ Second Division, Department of Internal Medicine, Hamamatsu University School of Medicine, Hamamatsu, Japan

² Health Administration Center, Hamamatsu University School of Medicine, Hamamatsu, Japan

 ³ Department of Respiratory Medicine, Fujieda Municipal General Hospital, Fujieda, Japan
 ⁴ Department of Clinical Pharmacology and Therapeutics, Hamamatsu University School of Medicine, Hamamatsu, Japan

⁵ Department of Health Science, Hamamatsu University School of Medicine, Hamamatsu, Japan

*Corresponding author: Noriyuki Enomoto, M.D., Ph.D.

Health Administration Center, Hamamatsu University School of Medicine, 1-20-1

Handayama, Hamamatsu 431-3192, Japan

E-mail: <u>norieno@hama-med.ac.jp</u>

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Abbreviation list

BCG: Bacille Calmette-Guerin BMDC: bone marrow-derived dendritic cell CFSE: carboxyfluorescein diacetate succinimidyl ester CFU: colony forming units CTL: cytotoxic T-lymphocytes DC: dendritic cell IFN-γ: interferon-γ LLO: listeriolysin O LPS: lipopolysaccharide OVA: ovalbumin PLGA: poly (lactic-co-glycolic acid) TCR: T-cell receptor Th: helper T-lymphocytes

1 Abstract

2

3 Antigen-specific CD8+ T-lymphocytes (cytotoxic T-lymphocytes: CTL), as well as CD4+ 4 T-lymphocytes (helper T-lymphocytes: Th), simultaneously play an important role in 5 elimination of intracellular bacteria such as *Mycobacterium tuberculosis* and *Listeria* 6 monocytogenes. Administration of T-cell epitope short peptide needs large numbers of 7 peptides for effective vaccination due to its easily degradable nature *in vivo*. In this respect, 8 biocompatible and biodegradable microparticles combined with CTL/Th-hybrid epitope long 9 peptide (long peptide) have been used to diminish the loaded peptide degradation. The aim of 10 this study is to develop a novel T cell-oriented vaccine against intracellular bacteria that is 11 composed of long peptide and poly (lactic-co-glycolic acid) (PLGA) microparticles. Mouse 12 bone marrow-derived dendritic cells (BMDCs) were loaded with L. monocytogenes 13 listeriolysin O (LLO)-derived or ovalbumin (OVA)-derived long peptide/PLGA or other 14 comparative antigens. The antigen-loaded BMDCs were subcutaneously injected into flank of 15 mice twice, and then, the spleens were collected and lymphocyte proliferation and 16 interferon- γ production were evaluated. The median diameter of PLGA spheres was 1.38 μ m. 17 Both LLO- and OVA-long peptide/PLGA showed significantly more robust CTL and Th 18 proliferations with higher interferon- γ production than the long peptide alone or CTL and Th 19 short peptides/PLGA vaccination. Furthermore, the LLO-long peptide/PLGA vaccination 20 showed significantly lower bacterial burden in spleens compared with the long peptide alone 21 or the CTL and Th short peptides/PLGA vaccination after the challenge of lethal amounts of L. 22 monocytogenes. These results suggest that the novel vaccine taking advantages of 23 CTL/Th-hybrid epitope long peptide and PLGA microparticle is effective for protection 24 against intracellular bacteria.

25

- 1 **Keywords:** CTL/Th-hybrid epitope long peptide; Cross-presentation; Intracellular bacteria;
- 2 Microparticle; PLGA

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1 1. INTRODUCTION

2 Intracellular bacterial infection, especially by Mycobacterium tuberculosis, is a significant cause of death worldwide¹. An estimated 10 million people develop tuberculosis and 1.3 3 million people are estimated to die from this infection each year ¹. Although Bacille 4 5 Calmette-Guerin (BCG) vaccine can protect children from severe tuberculosis, this protective 6 capacity is not enough or cannot induce long-term immunity in the adult population ¹. 7 Because of the low safety of live attenuated vaccines in immunocompromised individuals and 8 their variable effectiveness, the development of new, improved vaccines against intracellular 9 bacteria is a current research priority. 10 Host cellular immune response is extremely important for protection against intracellular bacteria. Antigen-specific CD4+ T-lymphocytes (helper T-lymphocytes: Th), especially 11 12 interferon- γ (IFN- γ) producing Th1 cells, play an important role in elimination of intracellular 13 bacteria². CD8+ T-lymphocytes (cytotoxic T-lymphocytes: CTL) are also significant for 14 elimination of cells infected by intracellular bacteria³. CD4+ T-cell help facilitates interaction 15 between dendritic cells (DCs) and CTL, and downregulates PD-1 expression, consequently enhancing CTL response⁴. In addition, to induce an antigen-specific CTL response, antigen 16 cross-presentation in DC is indispensable ^{5, 6}, and CD4+ T-cell help also enhances this 17 18 cross-presentation⁷. 19 Listeria monocytogenes is a Gram-positive facultative intracellular bacterium that causes 20 life-threatening infection during pregnancy and in immunocompromised individuals. Murine 21 L. monocytogenes infection has been studied as a good model system for intracellular 22 bacterial infection to evaluate the protective immune system⁸. We reported that single CTL or

- 23 Th epitope DNA vaccination induces protective immunity ^{9, 10}. Listeriolysin O (LLO) is the
- critical virulence factor and also a T-cell target molecule of *L. monocytogenes*. LLO₉₁₋₉₉ was
- 25 reported as an immunodominant CTL epitope in BALB/c mice (H2^d) ¹¹. LLO₂₁₅₋₂₂₆ was first

1	identified as a Th epitope in C3H mice (H2 ^k), and we previously confirmed that LLO ₂₁₅₋₂₂₆
2	worked as an immunodominant Th1 epitope also in BALB/c mice by DNA vaccination ¹² .
3	CTL-epitope peptide is composed of 8–10 amino acids crucial for antigen-specific CTL
4	induction. However, the peptide itself is easily degradable in vivo, and vaccination with it
5	needs a large amount of the peptide and is highly expensive. In addition, administration of
6	CTL-epitope peptide induces immunologic tolerance via interaction with T- and B-cells but
7	not with DCs ¹³ . In contrast, synthetic epitope long peptide is more stable than short peptide,
8	and can avoid immunologic tolerance ¹³ . Furthermore, T-cell epitope long peptide facilitates
9	DC cross-presentation ¹⁴ . Takahashi et al. ¹⁵ reported artificially synthesized T-cell epitope
10	long peptide comprising CTL-epitope peptide and Th-epitope peptide with a glycine linker,
11	and administration of the peptide could decrease pulmonary metastasis of colon cancer.
12	Therefore, CTL/Th-hybrid epitope long peptide vaccination is a promising strategy to
13	simultaneously induce both CTL and Th responses.
14	Biodegradable micro- or nano-particles have been used as vaccine or drug delivery carriers.
15	One of the most successfully used biomaterials for the particles is poly (lactic-co-glycolic
16	acid) (PLGA). PLGA is safe and clinically approved by the USA Food and Drug
17	Administration. It is reported to diminish the loaded protein or peptide degradation, and
18	extends the antigen-presentation period by antigen-presenting cells (APCs) ¹⁶ . Furthermore,
19	PLGA particles also facilitate DC cross-presentation ^{17, 18} . These results led us to the
20	hypothesis that a novel vaccine in combination with CTL/Th-hybrid epitope long peptide and
21	PLGA particles may be able to facilitate antigen cross-presentation and to simultaneously
22	induce strong CTL and Th responses against intracellular bacteria.
23	In the present study, we developed the novel vaccine taking advantages of CTL/Th-hybrid
24	epitope long peptide and PLGA particle, and evaluated the efficacy of this vaccine against
25	intracellular bacteria. To the best of our knowledge, this is the first report to show that the

1	combination of CTL/Th-hybrid epitope long peptide and PLGA particles could induce strong
2	CTL/Th responses and protective immunity against an intracellular bacterial infection.
3	
4	
5	2. MATERIALS AND METHODS
6	2.1. Mice
7	Female C57BL/6 and BALB/c (8–12 weeks of age) mice were purchased from SLC Japan
8	(Hamamatsu, Japan). OT-I and OT-II T-cell receptor (TCR)-transgenic mice were from the
9	Jackson Laboratory (Sacramento, CA) and the Center for Animal Resources and
10	Development in Kumamoto University, respectively. OT-I mice carry a transgenic TCR
11	specific for fragment 257-264 of ovalbumin (OVA) protein in association with H2-K ^{b 19} ,
12	while OT-II mice carry a transgenic TCR specific for fragment 323-339 of OVA protein in
13	association with H2-A ^{b 20} . All experimental protocols were approved by the Animal Care
14	and Use Committee of Hamamatsu University School of Medicine and all experiments were
15	conducted according to guidelines of this Committee.
16	
17	2.2. In vitro culture media and reagents
18	All cultures were in a complete medium composed of Iscove's modified Dulbecco's medium,
19	10% heat inactivated fetal bovine serum, and 1% penicillin-streptomycin (all from Invitrogen,
20	Carlsbad, CA). Lipopolysaccharide (LPS) from Escherichia coli O111 was from
21	Sigma-Aldrich (St. Louis, MO).
22	
23	2.3. Culture of bone marrow-derived dendritic cells (BMDCs)
24	To generate BMDCs, bone marrow cells from C57BL/6 or BALB/c mice were cultured at 4 $ imes$

- 10^5 cells/ml in complete medium containing 400 U/ml IL-4 and 1000U /ml GM-CSF for 7

1	days, as described ²¹ , and activated by adding 100 ng/ml LPS during the last 16 hours of
2	culture. BMDCs were collected from the culture by gentle pipetting and were $\ge 80\%$
3	CD11c-positive by flow cytometry.

4

5 2.4. T-cell epitope short and long peptides

6 As for T-cell epitope short peptides, the OVA_{257–264} (SIINFEKL) and OVA₃₂₃₋₃₃₉

7 (ISQAVHAAHAEINEAGR) peptides were from MBL (Nagoya, Japan). LLO₉₁₋₉₉

8 (GYKDGNEYI) and LLO₂₁₅₋₂₂₆ (SQLIAKFGTAFK) were from MBL and SCRUM (Tokyo,

9 Japan), respectively. In terms of T-cell epitope long peptides, as shown in FIGURE 1, OVA

10 epitope long peptide was synthesized by conjugating CTL (OVA₂₅₇₋₂₆₄) and Th (OVA₃₂₃₋₃₃₉)

11 epitope peptides with glycine-linker ²² in Fmoc solid-phase peptide synthesis method

12 (SCRUM; FIGURE 1A). In the same way, we synthesized LLO T-cell epitope long peptide

13 by conjugating CTL (LLO₉₁₋₉₉) and Th (LLO₂₁₅₋₂₂₆) epitope peptides with glycine-linker

14 (SCRUM; FIGURE 1B). Synthesized peptides were purified by high-pressure liquid

- 15 chromatography in >95% purity.
- 16

17 2.5. PLGA microparticles

18 PLGA microparticles were purchased from Phosphorex (Hopkinton, MA). Microparticles

19 were prepared by the water-in-oil-in-water (w/o/w) modified double emulsion solvent

20 extraction technique ^{23, 24}. Briefly, 0.2 mg of PLGA was dissolved in 1 ml of methylene

chloride. Then antigens (epitope short or long peptides) in PBS with 50% acetonitrile, 0.25

22 mM NaOH, and 50 mM Hepes was added to PLGA solution (w/o: primary emulsion). Then,

- 23 the w/o emulsion was poured slowly in 10 ml of 2.5% W/V polyvinyl alcohol (w/o/w:
- 24 secondary emulsion). The secondary emulsion was agitated as fast as possible using a
- 25 magnetic stirrer at 40°C in the safety hood for 2 hours until the methylene chloride evaporated.

1 Finally, the microparticles were washed with PBS and lyophilized in a freeze-dryer (Speed Vac[®] Plus SC110A, Savant instruments, Holbrook, NY) for 6 hours, and stored at -30°C for 2 3 later use. Scanning electron microscopy (S-4800, HITACHI, Tokyo, Japan) was used to 4 confirm size distribution and morphology of the particles. The sizes of the particles were 5 determined using Image-J (US NIH, Bethesda, MD). To evaluate the release profile of peptide (OVA257-264 and LLO91-99) from microparticles, 6 0.2 mg of PLGA particles was resuspended in 1 ml of PBS. Tubes were kept under agitation 7 8 at 100 rpm at 37°C. At each time point, microparticles were centrifuged and supernatant were 9 collected and stored at -30°C for further analysis. Then, PLGA particles were replenished 10 with fresh PBS and placed back in the incubator for further measurement. The concentrations 11 of OVA₂₅₇₋₂₆₄ and LLO₉₁₋₉₉ released from PLGA particles were measured by Pierce 12 quantitative fluorometric peptide assay kit (Thermo Fisher Scientific, Waltham, MA). 13 14 2.6. Uptake of PLGA by BMDCs in vitro

15 On day 5 of BMDC culture, fluorescent PLGA (FITC-conjugated PLGA, Phosphorex) was

16 added to the culture medium. After 2 hours or 2 days co-culture of fluorescent PLGA with

17 BMDCs, BMDCs were fixed with 4% paraformaldehyde phosphate (Wako, Osaka, Japan) for

18 15 minutes at room temperature. After washing, early endosomes were stained with

19 anti-mouse EEA-1 (early endosome antigen-1) mAb (Santa Cruz Biotechnology, Dallas, TX),

20 and late endosomes were stained with anti-mouse LAMP-1 (lysosome associated membrane

21 protein 1) mAb (Santa Cruz Biotechnology). As for the staining of acidic

22 endosomes/lysosomes, BMDCs were stained with LysoTracker® Red (Thermo Fisher

23 Scientific). Nuclei were stained with Hoechst. After staining, micrographs were taken with a

24 fluorescent microscope (BZ-9000, KEYENCE, Osaka, Japan).

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1 2.

2.7. Lymphocytes proliferation assay in vitro

2 On day 5 of BMDC culture, CTL/Th-hybrid epitope long peptides and/or PLGA particles were added to the culture medium. Furthermore, splenocytes of OT-I and OT-II mice were 3 4 collected, and cells were stained with 100 µM carboxyfluorescein diacetate succinimidyl ester 5 (CFSE) to evaluate cell proliferation. Then, BMDCs and splenocytes of OT-I and OT-II were 6 co-cultured at 1:4:4 ratio for 2 days. On day 2 of co-culture, proliferations of CD8+ and 7 CD4+ cells were measured by CFSE dilution assay with flow cytometry. Data analysis was 8 performed using FlowJo v10.6.1 (BD, Flanklin Lakes, NJ). 9 10 2.8. Immunization of mice with peptides and PLGA particles, and ex vivo proliferation 11 assay 12 On day 5 of BMDC culture, LLO-long peptides or PLGA particles with/without epitope 13 peptides were added to the culture medium. 2×10^5 cells of antigen-loaded BMDCs were 14 injected twice into flanks of BALB/c mice subcutaneously with a two-week interval. Three 15 days after the second immunization, spleens were removed and splenocytes were incubated 16 with 10 µM of CTL-epitope (LLO₉₁₋₉₉) and Th-epitope (LLO₂₁₅₋₂₂₆) peptides for 7 days. The 17 concentrations of IFN- γ and Interleukin-4 (IL-4) in culture supernatant were evaluated by 18 enzyme-linked immunosorbent assay (ELISA, R & D systems, Minneapolis, MN) and 19 Cytometric Bead Array (BD Bioscience, San Jose, CA), respectively. Furthermore, 20 splenocytes were labeled with 1 µM CFSE, and proliferations of CD8+ and CD4+ cells were 21 measured by CFSE-dilution assay with flow cytometry. 22 23 2.9. L. monocytogenes challenge to vaccinated mice

24 For the evaluation of protection capacity of a novel vaccine, the immunized BALB/c mice

25 were challenged intraperitoneally with 1×10^5 colony forming units (CFU) of *L*.

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1	monocytogenes (10403S strain) (LD50 dose) four weeks after the last immunization. Bacterial
2	numbers in the spleens were determined 72 hours after the challenge infection by plating 10-
3	or 100-fold dilutions of tissue homogenates on brain heart infusion agar plates (Nissui
4	Seiyaku, Tokyo, Japan).
5	

6 2.10. Flow cytometry

Alexa Fluor 647-conjugated anti-CD8 mAb (53–6.7) was from BD Biosciences (Franklin
Lakes, NJ) and PerCP-Cy5.5-conjugated anti-CD4 mAb (GK1.5) was from Biolegend (San
Diego, CA). CFSE was from DOJINDO (Kumamoto, Japan). To remove dead cells, a
Live/Dead fixable aqua dead cell stain kit was used at flow cytometry (Thermo Fisher
Scientific).

12

13 2.11. Statistical analysis

14 Data from multiple experiments were expressed as the mean \pm standard error of the mean

15 (SEM). Data were analyzed using the Tukey-Kramer or Steel-Dwass test for the comparison

16 of three or more groups, or using a Wilcoxon signed rank test for the comparison of two

17 related datasets. Statistical analysis was performed using JMP 14.0. (SAS Institute, Cary, NC).

18 All tests were two-sided and performed at the 0.05 significance level.

19

20

3. RESULTS

- As shown in FIGURE 1, OVA-derived (FIGURE 1A) and LLO-derived (FIGURE 1B)
- 25 CTL/Th- hybrid epitope long peptides were synthesized with glycine-linker. Several epitope

^{3.1.} Characteristics of long-epitope/PLGA particles and release of peptides from PLGA
particles

1	peptides-loaded PLGA particles were prepared with a double emulsion solvent evaporation
2	method. Pictures of PLGA particles from scanning electron microscopy are shown in
3	FIGURE 1C and 1D. Spheres of PLGA were uniform, but their sizes were variable. Their
4	diameters were ranged from 0.45 to 6.44 μm with a median diameter of 1.38 μm (FIGURE
5	1E). As for peptide-releasing capacity, gradual releases of peptides from PLGA particles were
6	confirmed (FIGURE 1F). At 2 days of incubation, 46.0% of OVA peptides (OVA257-264) and
7	25.0% of LLO peptide (LLO ₉₁₋₉₉) were released from PLGA particles in vitro. At 21 days of
8	incubation, 76.5% of OVA peptide and 53.0% of LLO peptide were released. Compared to the
9	release of OVA peptide, LLO peptide was more slowly released from PLGA.
10	
11	3.2. PLGA particles exist in endosomes and lysosomes of BMDCs
12	On day 5 of BMDC culture, fluorescent PLGA particles (green) were added to the culture
13	medium (FIGURE 2). Two hours after co-culture of fluorescent PLGA particles with BMDCs,
14	PLGA particles were present in 29.6±1.56% of total BMDCs (FIGURE 2A). After 2 days,
15	they were found in 48.8±2.26% of total BMDCs, and the significant increase in uptake was
16	found between 2 hours and 2 days ($p < 0.01$). Next, localization of PLGA particles at 2 days
17	co-culture was confirmed by fluorescent antibodies to early endosome (EEA-1 [red] which
18	exclusively localizes to early endosomes), late endosome (LAMP-1 [red] which localizes late
19	endosomes and lysosomes), lysosome (LysoTracker® [red] which selectively accumulates in
20	cellular compartments with low internal pH), and Hoechst (blue) (FIGURE 2B). PLGA
21	particles were found in vacuoles such as early endosome, late endosome, and lysosome,
22	without escaping into cytosol.
23	

3.3. Long peptide/PLGA-loaded BMDCs induce robust OVA-specific lymphocyte
proliferation *in vitro*

1	To evaluate the antigen-specific lymphocyte-induction capacity of a novel long peptide/PLGA
2	vaccine, OVA long peptide (FIGURE 1A) and transgenic mouse cells (OT-I and OT-II),
3	which have OVA-specific TCR on CTL and Th, respectively, were used in vitro. Splenocytes
4	of naïve OT-I and OT-II mice were collected, and cells were strained with CFSE. Then
5	antigen-loaded BMDCs and OT-I and OT-II cells were mixed with a 1:4:4 ratio and
6	co-cultured for 2 days. On day 2 of co-culture, proliferations of CD8+ (OT-I) and CD4+
7	(OT-II) cells were measured by CFSE-dilution assay. Representative results of CFSE-dilution
8	assay are shown in FIGURE 3A, and percentages of divided cells in each group are shown in
9	FIGURE 3B. The most robust proliferation of CD8+ cells was found in the long
10	peptide/PLGA group (50.6±3.6%, FIGURE 3A and 3B). Furthermore, potent proliferation of
11	CD4+ cells was also noted in both long peptide alone and long peptide/PLGA groups
12	(41.4 \pm 9.2% and 48.1 \pm 2.4%, respectively). The most elevated IFN- γ level in culture
13	supernatant was found in the long peptide/PLGA group (3.21±0.29 ng/mL, FIGURE 3C).
14	These results of CD8+ and CD4+ cells, and IFN- γ in the long peptide/PLGA group reached
15	statistical significance compared with those in other groups (FIGURE 3B and 3C). There
16	were no significant differences in the production of IL-4 between groups (data not shown).
17	
18	3.4. Long peptide/PLGA-loaded BMDC vaccination induces potent <i>L</i> .
19	monocytogenes-specific lymphocyte-proliferation ex vivo
20	To evaluate the LLO-specific lymphocyte-induction capacity of a novel long peptide/PLGA
21	vaccine, BMDCs loaded with LLO-long peptide/PLGA, LLO-long peptide alone, or LLO
22	CTL- and Th-epitope short peptides/PLGA were administered in vivo. These antigen-loaded
23	BMDCs were subcutaneously injected into the flanks of BALB/c mice on two occasions
24	separated by a two-week interval (FIGURE 4A). Three days after the second immunization,
25	spleens were removed and splenocytes were incubated with CTL-epitope (LLO91-99) and

1	Th-epitope (LLO ₂₁₅₋₂₂₆) peptides for 7 days. Representative results of CFSE-dilution assay are
2	shown in FIGURE 4B. The most robust proliferation of CD8+ cells was found in the long
3	peptide/PLGA group (43.5±6.2%, FIGURE 4B and 4C). The most robust proliferation of
4	CD4+ cells was also noted in the long peptide/PLGA group (13.7±2.0%, FIGURE 4B and 4C).
5	The most elevated IFN-y level in culture supernatant was found in the long peptide/PLGA
6	group (1.30±0.31 ng/mL, FIGURE 4D). These results of CD8+ and CD4+ cells, and IFN- γ in
7	the long peptide/PLGA group reached statistical significance compared with those in other
8	groups (FIGURE 4C and 4D). The production of IL-4 did not significantly differ between
9	groups (data not shown). As for direct immunization without BMDCs, CD8+ and CD4+ cells
10	were slightly proliferated in vaccination with the long peptide/PLGA (SUPPLEMENTARY
11	FIGURE 1). Proliferation of CD4+ cells were significantly higher in vaccination with long
12	peptide/PLGA compared to that with PLGA (p=0.020) or the mixture of the short epitope
13	peptides/PLGA (p=0.032). However, these proliferations were obviously lower than those by
13 14	peptides/PLGA (p=0.032). However, these proliferations were obviously lower than those by BMDC-vaccination (FIGURE 4). In addition, the production of IFN-γ in culture supernatants
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1 group than in other groups (149.5×10³±38.2 CFU/spleen, p < 0.05, FIGURE 5B)

2

3

4 4. DISCUSSION

5 The present study is the first to demonstrate excellent efficacy of the novel vaccine taking 6 advantages of CTL/Th-hybrid epitope long peptide/PLGA microparticles against intracellular 7 pathogen infection. In vitro, OVA-hybrid epitope long peptide/PLGA-loaded DCs strongly 8 induced OVA-specific CTL and Th proliferations as well as IFN-y production. Furthermore, 9 administration of DCs loaded with LLO-specific CTL/Th-hybrid epitope long peptide/PLGA 10 microparticles elicited more robust LLO-specific CTL and Th proliferations and IFN- γ 11 production than those of other vaccinations. Finally, this novel vaccine induced the most 12 potent protective immunity against lethal L. monocytogenes infection. 13 Biodegradable PLGA micro- or nano-particles are safe carriers of antigenic peptides. PLGA particles protect peptides from degradation. Indeed, immunization with a 63 14 15 times-reduced dose of peptides encapsulated in PLGA particles was shown to induce a more robust CTL response than that of peptides in incomplete Freund's adjuvant²⁵. Furthermore, 16 17 immunization of PLGA particles containing antigenic proteins, which were synthesized by a 18 double emulsion solvent evaporation method ²⁶, can increase expression of costimulatory 19 molecules on DCs, IL-12 production from DCs, and IL-2 secretion from CTL²⁴, indicating 20 that PLGA particles have potent adjuvant activity. Moreover, PLGA particles also facilitate DC cross-presentation ^{17, 18}. In terms of the particle size, those with a diameter of 500 nm or 21 more 27 or 1.1–1.4 μ m for PLGA particles 28 efficiently induced DC cross-presentation. Our 22 23 PLGA particles had a median diameter of 1.38 µm, which was appropriate for efficient 24 induction of DC cross-presentation. The feature of controlled release of peptides from PLGA 25 particles will contribute to sustained immune responses in vivo.

1	Antigen-specific CTL as well as Th1 play a pivotal role in establishing significant
2	immunologic protection against intracellular bacteria, such as Mycobacterium tuberculosis ^{2, 3} ,
3	and the close cooperation between CTL and Th1 is crucial ^{4, 7} . Several studies, including ours,
4	showed some usefulness of CTL short peptide-loaded DC vaccines against intracellular
5	pathogen infection ^{29, 30} . However, these DC vaccines only induced peptide-specific CTL, but
6	not Th cells. When loading Th-epitope short peptides on DC vaccines, it is difficult to induce
7	peptide-specific Th cells because of their poor accessibility to MHC class II molecules at the
8	cell surface. In this regard, CTL/Th-hybrid epitope long peptides, containing both CTL- and
9	Th-epitopes, were reported to successfully induce both antigen-specific CTL and Th1 ^{15, 22} .
10	Unlike T-cell epitope short peptides, T-cell epitope long peptides are taken up mainly by
11	APCs and can avoid immunologic tolerance induced by direct contact with T-cells and
12	B-cells ¹³ . In addition, T-cell epitope long peptides facilitate DC cross-presentation ¹⁴ and lead
13	to more potent antigen-specific CTL induction. In fact, vaccination with CTL/Th-hybrid
14	epitope long peptide suppressed tumor growth of lung metastasis from colon cancer ¹⁵ , and
15	reduced tumor size of breast cancer ³¹ . Furthermore, CTL/Th-hybrid epitope long peptide
16	induced more potent activation of antigen-specific CTL and Th1 ³¹ and longer lasting
17	proliferative capacity of those cells ²² compared with a mixture of CTL- and Th-epitope short
18	peptides. However, the efficacy of vaccines with CTL/Th-hybrid epitope long peptide against
19	intracellular bacterial infection has not been explored. In this regard, the present study showed
20	for the first time that DC vaccine with CTL/Th-hybrid epitope long peptides efficiently
21	induced CTL and Th, which conferred potent protective immunity against intracellular
22	bacterial infection, whereas those with a mixture of CTL- and Th-epitope short peptides did
23	not.
24	Regarding processing of antigenic peptides, the kinetics may differ between CTL- and Th

-epitope peptides ^{32, 33}. CTL-epitope peptide possibly remains in early endosome where a less

1	acidic environment exists ³⁴ , whereas processing of Th-epitope peptide and/or the peptide
2	loading on MHC class II may occur in late endosome/lysosome or MIIC compartments ³⁵ . In
3	the present study, vaccination with CTL/Th-hybrid epitope long peptide/PLGA significantly
4	induced more Th cells than the mixture of the short epitope peptides/PLGA (FIGURE 3, 4).
5	Th-epitope short peptides may be vulnerable for endocytic proteases abundant in late
6	endosome/lysosome, preventing efficient Th induction. In contrast, CTL/Th-hybrid epitope
7	long peptides may be feasible for processing and binding to MHC class II molecules, as the
8	peptide-binding groove of MHC class II molecules is open-ended. Therefore, Th-epitope
9	peptide within the CTL/Th-hybrid epitope long peptides is not necessary to be processed
10	precisely for MHC binding. Furthermore, Th-epitope peptide in the CTL/Th-hybrid epitope
11	long peptides is able to bind nascent or recycled MHC class II molecules in early endosome,
12	and then the peptides are trimmed by proteases ("bind first trim later" model) ³⁶ . This binding
13	to MHC class II protects Th-epitope determinant from proteases and may lead to significant
14	induction of Th response ³⁶ . These putative mechanisms are needed to be clarified in future
15	studies.
16	In terms of DC cross-presentation, all of long peptide ^{14, 37} , PLGA microparticle ^{17, 18, 28} ,
17	and Th1-help ⁷ can enhance cross-presentation. Therefore, our novel vaccine, which consists
18	of CTL/Th-hybrid epitope long peptide and PLGA particle and induces Th1, is likely to be
19	ideal for inducing robust DC cross-presentation. In the present study, PLGA particles were
20	found in endosome/lysosome but not in the cytosol. Phagosomal alkalinization is needed for
21	effective cross-presentation in DC ³⁴ , and long peptides can be cross-presented via vacuolar
22	pathway ^{5, 37} . Therefore, CTL/Th-hybrid epitope long peptide may be strong enough not to be
23	completely degraded in phagosomes of DCs, and may be cross-presented via this pathway. In
24	the present study, mice were immunized with BMDCs that were loaded with long
25	peptide/PLGA. Moreover, direct immunization without BMDCs could not induce robust

1	immune response. Therefore, it seems that direct vaccination with long peptide/PLGA needs
2	further potent adjuvant for future use.
3	In summary, we successfully developed the novel vaccine taking advantage of
4	CTL/Th-hybrid epitope long peptide/PLGA microparticles, which could induce strong
5	CTL/Th proliferation and IFN-γ production <i>in vitro</i> as well as <i>ex vivo</i> , and also confer potent
6	protective immunity against lethal L. monocytogenes infection in vivo. These results provide
7	important information about future development of efficient vaccines having the capability to
8	induce both CTL and Th cells against intracellular pathogens.
9	
10	
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17	decision to publish or preparation of the manuscript.
18	
19	CONFLICT OF INTEREST
20	The authors declare no conflict of interests.
21	
22	DATA AVAILABILITY
23	Research data are not shared.
24	

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1 Figure legends

2

3	FIGURE 1 Structure of synthetic T-cell epitope long peptides, morphology and size of
4	PLGA particles, and peptide-releasing profile from PLGA particles. OVA-derived
5	CTL/Th-hybrid epitope long peptide composed of CTL (OVA257-264) and Th (OVA323-339)
6	epitopes with glycine-linker (A). LLO-derived CTL/Th-hybrid epitope long peptide
7	composed of CTL (LLO ₉₁₋₉₉) and Th (LLO ₂₁₅₋₂₂₆) epitopes with glycine-linker (B). Pictures of
8	PLGA particles by scanning electron microscopy (SEM) showing spheres of different
9	diameters (C: low- and D: high-power field). Each scale bar represents 10 μ m (C) or 0.5 μ m
10	(D), respectively. Spheres of PLGA were uniform, but their sizes were variable. Distribution
11	of PLGA particle sizes is described (E). Their diameters ranged from 0.45 to 6.44 μ m with a
12	median diameter of 1.38 $\mu m.$ To evaluate the release profile of peptides (OVA_{257\text{-}264} and
13	LLO ₉₁₋₉₉) from PLGA particles <i>in vitro</i> , 0.2 mg of the particles was resuspended in PBS under
14	agitation at 37°C. At each time point, the concentrations of OVA257-264 (solid line) and
15	LLO ₉₁₋₉₉ (dotted line) in supernatant were measured. Compared to the release of OVA peptide,
16	LLO peptide was more slowly released from PLGA. At 2 days of incubation, 46.0% of OVA
17	peptides (OVA ₂₅₇₋₂₆₄) and 25.0% of LLO peptide (LLO ₉₁₋₉₉) were released from PLGA
18	particles in vitro. At 21 days of incubation, 76.5% of OVA peptide and 53.0% of LLO peptide
19	were released (F). Data are presented as percentages of the total amount of the peptide
20	initially encapsulated in the particles. Error bars represent SEM in three independent
21	experiments.
22	

FIGURE 2 Uptake and localization of PLGA particles in BMDCs. On day 5 of BMDC
culture, fluorescent PLGA particles (green) were added to culture medium. After 2 hours
co-culture of fluorescent PLGA particles with BMDCs, PLGA particles were found in 29.6 ±

1	1.6% of total BMDCs (A). After 2 days, they were found in $48.8\pm2.3\%$ of total BMDCs and a
2	significant increase in the uptake was found between 2 hours and 2 days ($p < 0.01$).
3	Localization of PLGA particles in BMDCs at 2 days co-culture were confirmed by fluorescent
4	antibodies to early endosome (EEA-1 [red] which exclusively localizes in early endosomes),
5	late endosome (LAMP-1 [red] which localizes in late endosomes and lysosomes), lysosome
6	(LysoTracker® [red] which selectively accumulates in cellular compartments with low
7	internal pH), and Hoechst (blue). Representative fluorescent and bright-field microscopic
8	images of BMDCs are shown (B, ×10000). PLGA particles are found in early endosome, late
9	endosome, and lysosome, but not in the cytosol. Insets show magnified images and red arrows
10	indicate PLGA particles. Error bars represent SEM in three independent experiments. ** $p <$
11	0.01.
12	
13	FIGURE 3 Long peptide/PLGA-loaded BMDCs induced robust OVA-specific lymphocyte
14	proliferation in vitro. Splenocytes of naïve OT-I and OT-II mice, which have OVA-specific
15	T-cell receptor on CTL and Th, were collected. Then peptide-loaded BMDCs and OT-I and
16	OT-II cells were co-cultured for 2 days. On day 2 of co-culture, proliferations of CD8+
17	(OT-I) and CD4+ (OT-II) cells were measured by CFSE-dilution assay. Representative results
18	of CFSE-dilution assay (A) and percentages of divided cells in each group are shown (B). The
19	most robust proliferation of CD8+ cells was found in long peptide/PLGA group (50.6±3.6%,
20	A and B). Furthermore, potent proliferation of CD4+ cells was found in both long peptide
21	alone and the long peptide/PLGA group (41.4 \pm 9.2% and 48.1 \pm 2.4%, respectively, A and B).
22	The most elevated IFN- γ level in culture supernatants was found in long peptide/PLGA group
23	(3.21±0.29 ng/mL, C). Error bars represent SEM in three independent experiments. ** $p <$
24	0.01. Long-p: CTL/Th-hybrid epitope long peptide, CTL-p: CTL-epitope short peptide, Th-p:
25	Th-epitope short peptide.

1

2	FIGURE 4 L. monocytogenes listeriolysin O (LLO)-derived Long peptide/PLGA-loaded
3	BMDCs induced potent lymphocyte-proliferation ex vivo. LLO-peptides-loaded BMDCs were
4	injected into flank of BALB/c mice subcutaneously twice with a two-week interval in vivo
5	(A). Three days after second immunization, spleens were removed and the splenocytes were
6	incubated with CTL-epitope (LLO ₉₁₋₉₉) and Th-epitope (LLO ₂₁₅₋₂₂₆) peptides for 7 days.
7	Representative results of CFSE-dilution assay (B) and percentages of divided cells (C) in each
8	group are shown. The most robust proliferation of CD8+ cells was found in the long
9	peptide/PLGA group (43.5±6.2%, B and C). In addition, the most robust proliferation of
10	CD4+ cells was also found in the long peptide/PLGA group (13.7±2.0%, B and C). The most
11	elevated IFN- γ level in culture supernatant was found in the long peptide/PLGA group (D).
12	Error bars represent SEM in three independent experiments. * $p < 0.05$, ** $p < 0.01$. Long-p:
13	CTL/Th-hybrid epitope long peptide, CTL-p: CTL-epitope short peptide, Th-p: Th-epitope
14	short peptide.

15

16 FIGURE 5 Long peptide/PLGA-loaded BMDCs induced protective immunity against L. 17 monocytogenes infection in vivo. L. monocytogenes listeriolysin O (LLO)-derived 18 antigen-loaded BMDCs were injected into flanks of BALB/c mice subcutaneously twice with 19 a three-week interval (A). For the evaluation of protection capacity of long peptide/PLGA 20 vaccine against L. monocytogenes, the immunized mice were challenged intraperitoneally 21 with L. monocytogenes four weeks after the last immunization. Bacterial numbers in the 22 spleens three days after the challenge infection were significantly lower in the long 23 peptide/PLGA group than in other groups (B, p < 0.05, 149,500±38,200 CFU). Error bars 24 represent SEM in seven independent experiments. *p < 0.05. Long-p: CTL/Th-hybrid epitope 25 long peptide, CTL-p: CTL-epitope short peptide, Th-p: Th-epitope short peptide, CFU:

1 colony forming units.

2

3

4 **SUPPLEMENTARY FIGURE 1** Direct immunization with *L. monocytogenes* listeriolysin 5 O (LLO)-derived Long peptide/PLGA did not induce potent lymphocyte-proliferation ex vivo. 6 LLO-peptides/PLGA was injected into flank of BALB/c mice subcutaneously twice with a 7 one-week interval in vivo (A). Seven days after second immunization, spleens were removed 8 and the splenocytes were incubated with CTL-epitope (LLO₉₁₋₉₉) and Th-epitope (LLO₂₁₅₋₂₂₆) 9 peptides for 7 days. Representative results of CFSE-dilution assay (B) and percentages of 10 divided cells (C) in each group are shown. The most robust proliferation of CD8+ cells was 11 found in the long peptide/PLGA group (6.2±4.2%, B and C). In addition, the most robust 12 proliferation of CD4+ cells was also found in the long peptide/PLGA group $(3.0\pm1.1\%, B)$ and 13 C). Proliferation of CD4+ cells were significantly higher in vaccination with long 14 peptide/PLGA compared to that with PLGA alone (p=0.020) or the mixture of the short 15 epitope peptides/PLGA (p=0.032). However, these proliferation rates were clearly lower than 16 those by BMDC-vaccination (FIGURE 4). IFN-y in culture supernatants was not detected 17 across the groups. Error bars represent SEM in three independent experiments. *p < 0.05. 18 Long-p: CTL/Th-hybrid epitope long peptide, CTL-p: CTL-epitope short peptide, Th-p: 19 Th-epitope short peptide. 20 21

A OVA long peptide:

SIINFEKLGGGGGGISQAVHAAHAEINEAGR

(OVA 257-264:CTL-epitope)

(OVA 323-339:Th-epitope)

B LLO long peptide:

<u>GYKDGNEYI</u>GGGGG<u>SQLIAKFGTAFK</u></u>

(LLO 91-99: CTL-epitope)

(LLO 215-226: Th-epitope)





Α



В

Fluorescent PLGA

Hoechst

Merged

Bright-field



Α proliferation 43.2% prolife 57,7% CD8+ cells (OT-I cells) prolifer 47.6% CD4+ cells (OT-II cells) ICTL PLGA CFSE Long-P IPLGA Long-P PLGA PBS В CD8+ (OT-I) cells CD4+ (OT-II) cells



С







В * * * * 1,000,000 CFU / spleen à 100,000 : • • 10,000 1,000 PLGA Thipl Long P ICTL PLGA LONGA Long-P PBS

SUPPLEMENTARY FIGURE 1



CD8+ cells



